

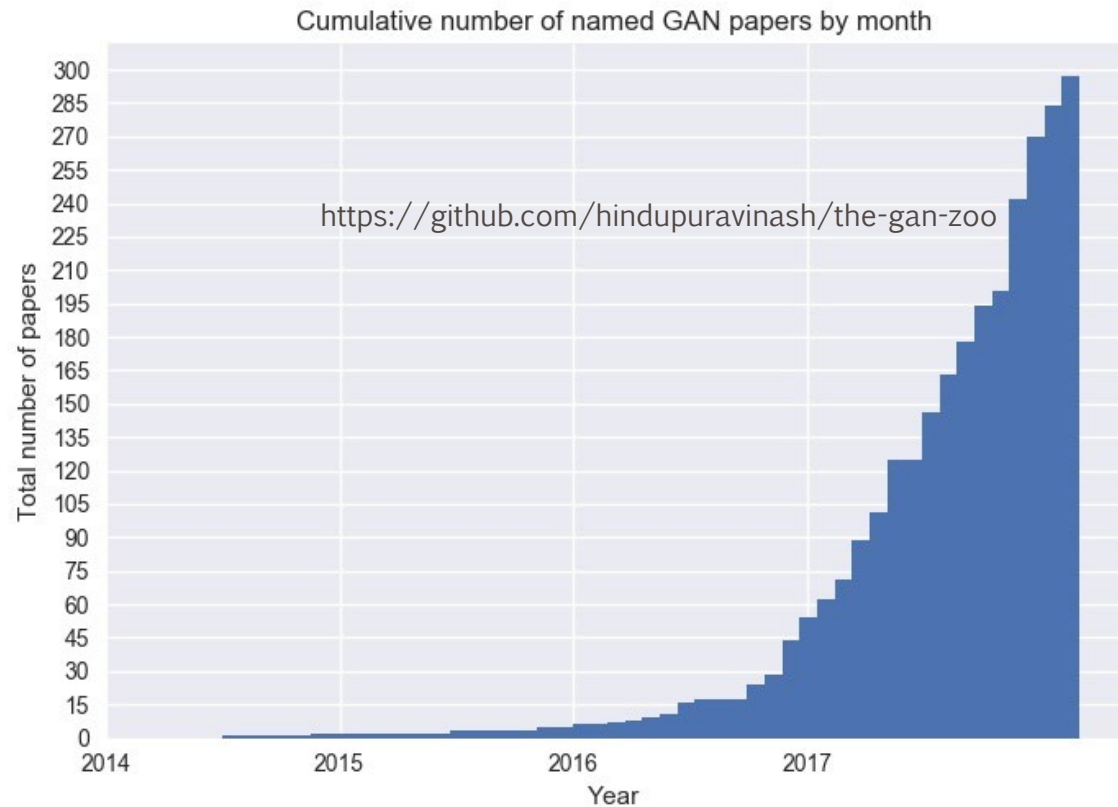
GENERATIVE MODELS

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<https://cchs.uinfo>



All Kinds of GAN ...

- GAN
- ACGAN
- BGAN
- CGAN
- DCGAN
- EBCGAN
- fGAN
- GoGAN
- ⋮



Mihaela Rosca, Balaji Lakshminarayanan, David Warde-Farley, Shakir Mohamed, "Variational Approaches for Auto-encoding Generative Adversarial Networks", arXiv, 2017

²We use the Greek α prefix for α -GAN, as AEGAN and most other Latin prefixes seem to have been taken
<https://deepmind.com/research/publications/2017/09/20170920-gan-zoo>

Chih-Chung Hsu@ACVLab

Supervised vs. Unsupervised

- Supervised learning:
 - We have correct answer to learn!!
 - Exactly learning the proper parameters!!
 - There are several state-of-the-art methods!!
- Unsupervised learning:
 - We don't have the correct answer
 - Only "guess"
 - Need to have a lot of strategies to fine-tune the estimated answer
 - Not good enough algorithm so far

Supervised vs Unsupervised Learning

- Supervised Learning
- Data: (x, y)
- x is data, y is label

- Goal: Learn a function to map $x \rightarrow y$
- Examples: Classification, regression, object detection, semantic segmentation, image captioning, etc.



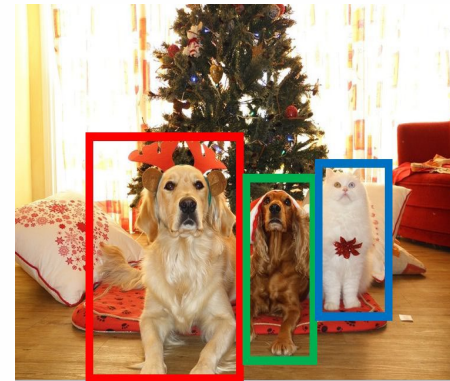
→ Cat

Classification

Supervised vs Unsupervised Learning

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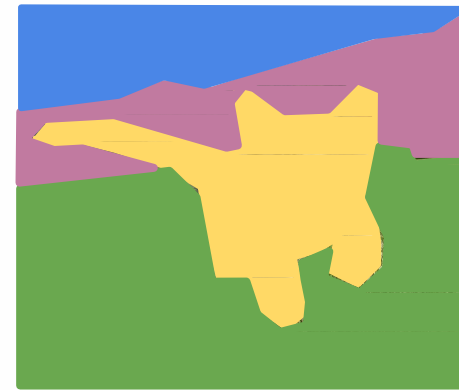
DOG, DOG, CAT

Object Detection

Supervised vs Unsupervised Learning

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GRASS, CAT,
TREE, SKY

Semantic Segmentation

Supervised vs Unsupervised Learning

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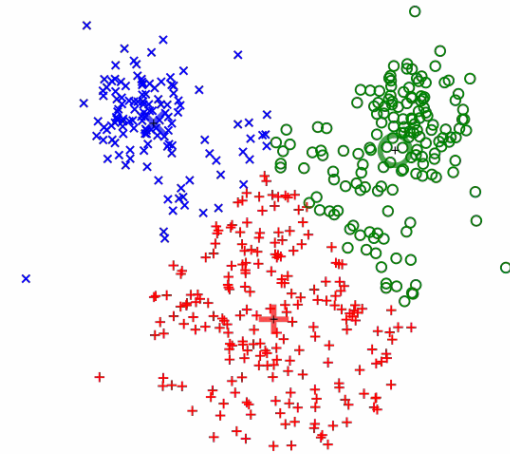
A cat sitting on a suitcase on the floor

Image captioning

Supervised vs Unsupervised Learning

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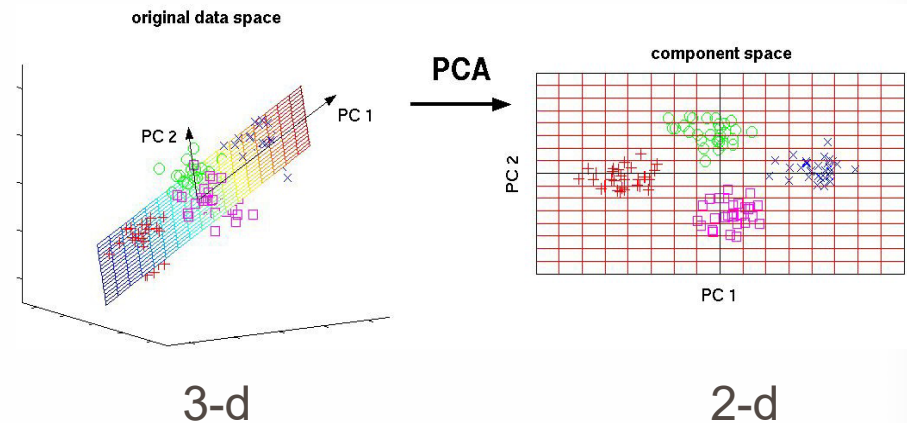


K-means clustering

Supervised vs Unsupervised Learning

- Supervised Learning
- Data: (x, y)
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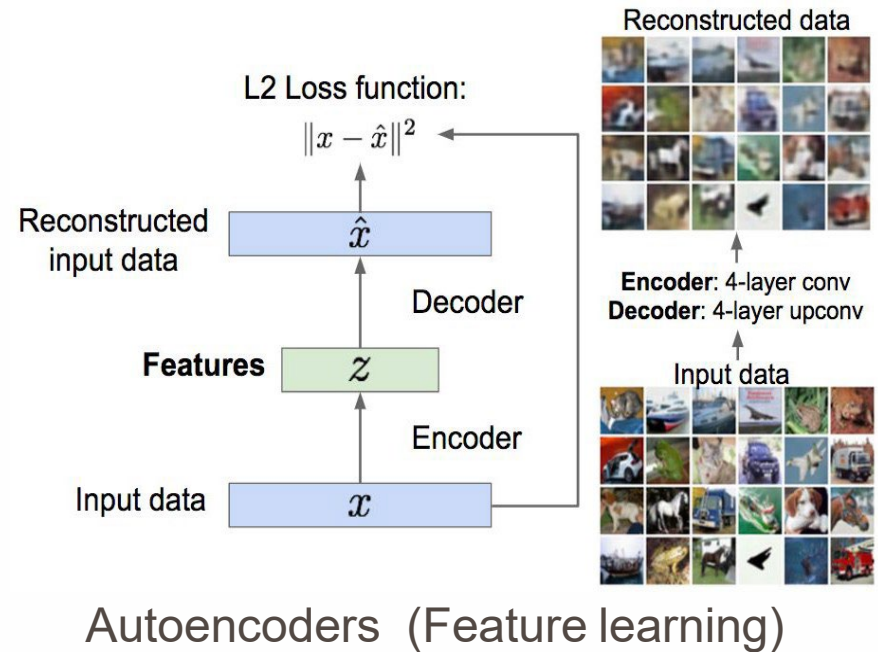
- Goal: Learn a function to map $x \rightarrow y$
- Examples: Classification, regression, object detection, semantic segmentation, image captioning, etc.



Principal Component
Analysis (Dimensionality
reduction)

Supervised vs Unsupervised Learning

- Supervised Learning
- Data: (x, y)
- x is data, y is label
- Goal: Learn a function to map $x \rightarrow y$
- Examples: Classification, regression, object detection, semantic segmentation, image captioning, etc.



Supervised vs Unsupervised Learning

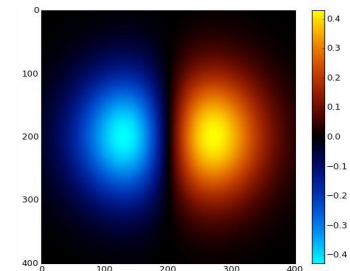
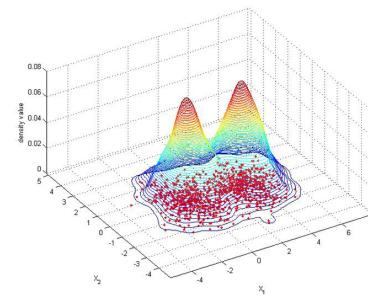
- Supervised Learning
- Data: (x, y)
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- Goal: Learn a function to map $x \rightarrow y$
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Figure copyright Ian Goodfellow, 2016. Reproduced with permission.

1-d density estimation

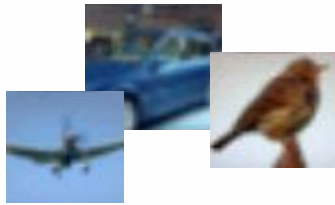


2-d density estimation

Supervised vs Unsupervised Learning

- Supervised Learning
 - Data: (x, y)
 - x is data, y is label
 - Goal: Learn a function to map $x \rightarrow y$
 - Examples: Classification, regression, object detection, semantic segmentation, image captioning, etc.
 - Unsupervised Learning
 - Data: x ← Training data is cheap
 - Just data, no labels!
 - Goal: Learn some underlying hidden structure of the data
 - Examples: Clustering, dimensionality reduction, feature learning, density estimation, etc.
- Solve unsupervised learning
=> understand structure of visual world

Generative Models



Training data $\sim p_{\text{data}}(x)$



Generated samples $\sim p_{\text{model}}(x)$

Want to learn $p_{\text{model}}(x)$ similar to $p_{\text{data}}(x)$

Given training data, generate new samples from same distribution

Addresses density estimation, a core problem in unsupervised learning

Several flavors:

- Explicit density estimation: explicitly define and solve for $p_{\text{model}}(x)$
- Implicit density estimation: learn model that can sample from $p_{\text{model}}(x)$ w/o explicitly defining it

Why Generative Models?

- Realistic samples for artwork, super-resolution, colorization, etc.



- Generative models of time-series data can be used for simulation and planning (reinforcement learning applications!)
- Training generative models can also enable inference of latent representations that can be useful as general features

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Unsupervised Learning

- More challenging than supervised learning :
 - No label or curriculum → self learning
- Traditional solutions:
 - Clustering
 - Linear / nonlinear dimensionality reduction
 - PCA vs. Manifold learning
- Some NN solutions :
 - Boltzmann machine
 - Auto-encoder or Variational Inference
 - Generative Adversarial Network

Unsupervised learning vs. Generative model

- Unsupervised learning

- $z=f(x)$

- Generative model

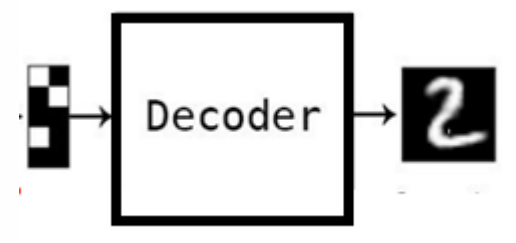
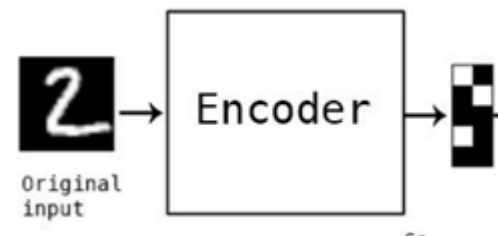
- $x=g(z)$

- It is ...

- $P(z|x)$ vs. $P(x|z)$

- An encoder vs. a decoder

- Encoder: Feature extraction / Dimensionality reduction
 - Decoder: Generator / Upsampling
 - $P(z|x) = P(x, z) / P(x) \rightarrow P(x)$ Intractable (ELBO)
 - $P(x|z) = P(x, z) / P(z) \rightarrow P(z)$ is prior



$P(x,z)$ is necessary!!

Some background first: Autoencoders

Unsupervised approach for learning a lower-dimensional feature representation from unlabeled training data

\mathbf{z} usually smaller than \mathbf{x}
(dimensionality reduction)

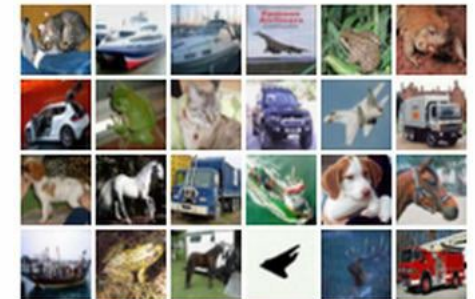
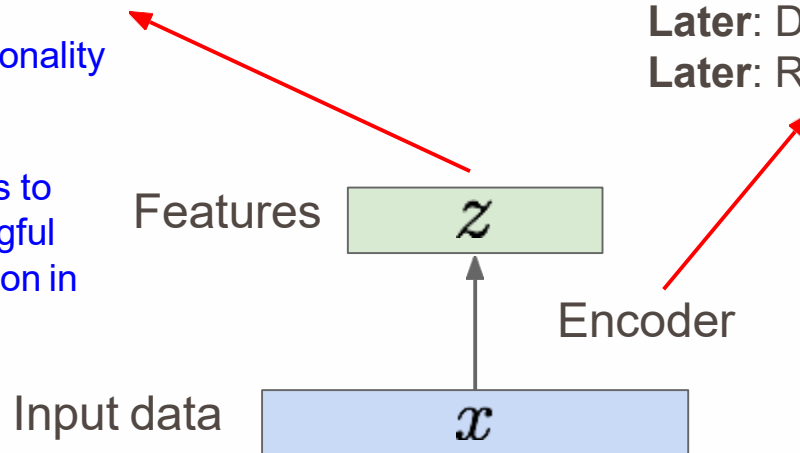
Q: Why dimensionality reduction?

A: Want features to capture meaningful factors of variation in data

Originally: Linear + nonlinearity (sigmoid)

Later: Deep, fully-connected

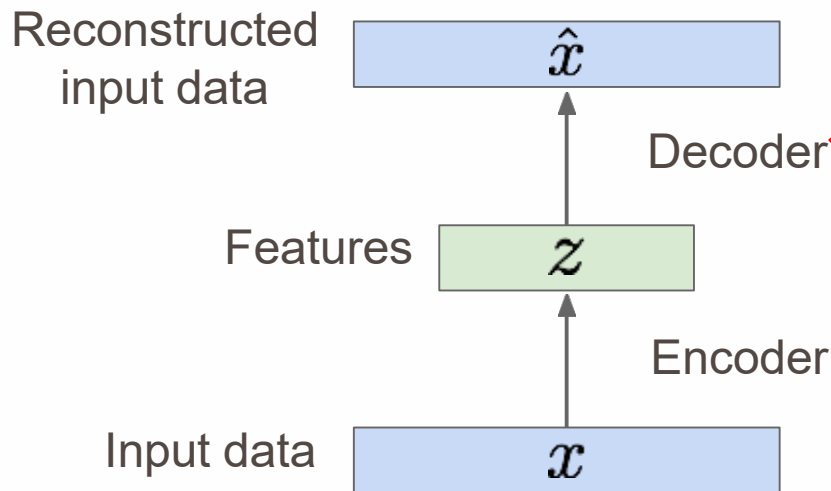
Later: ReLU CNN



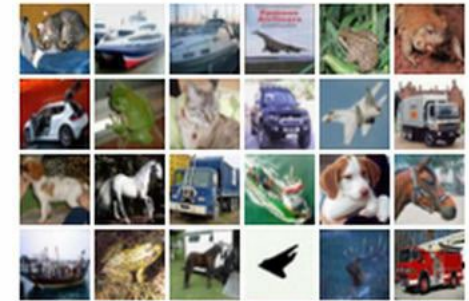
Some background first: Autoencoders

How to learn this feature representation?

Train such that features can be used to reconstruct original data "Autoencoding" - encoding itself



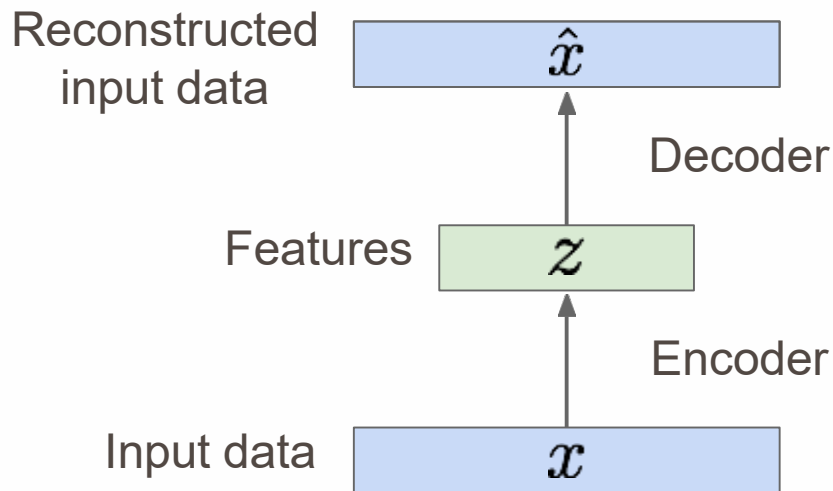
Originally: Linear + nonlinearity (sigmoid)
Later: Deep, fully-connected
Later: ReLU CNN (upconv)



Some background first: Autoencoders

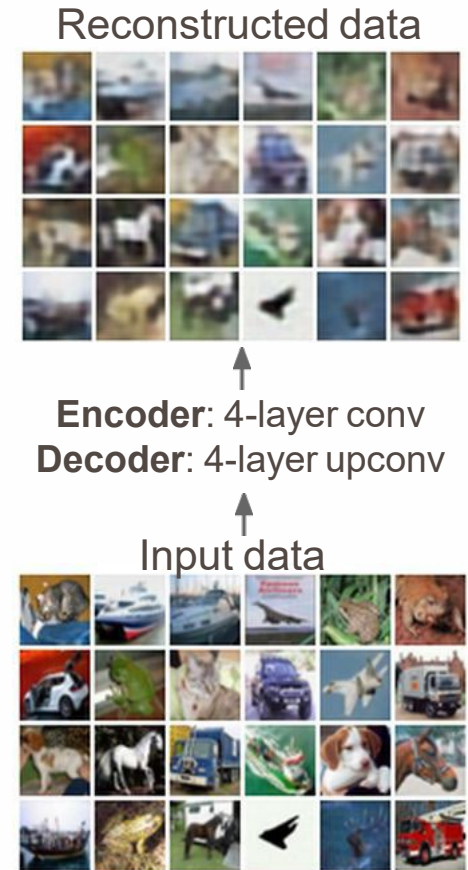
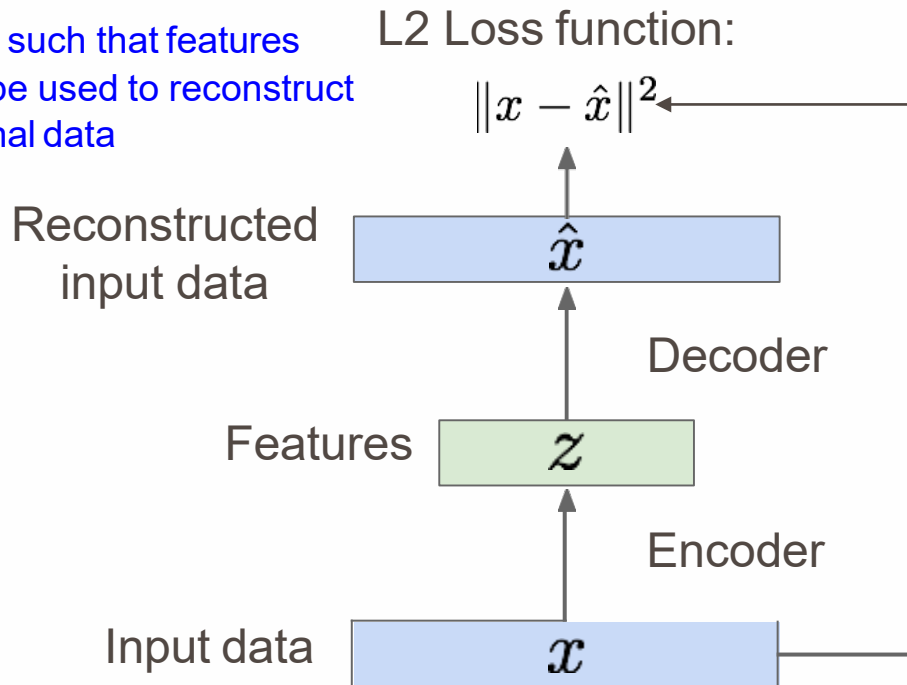
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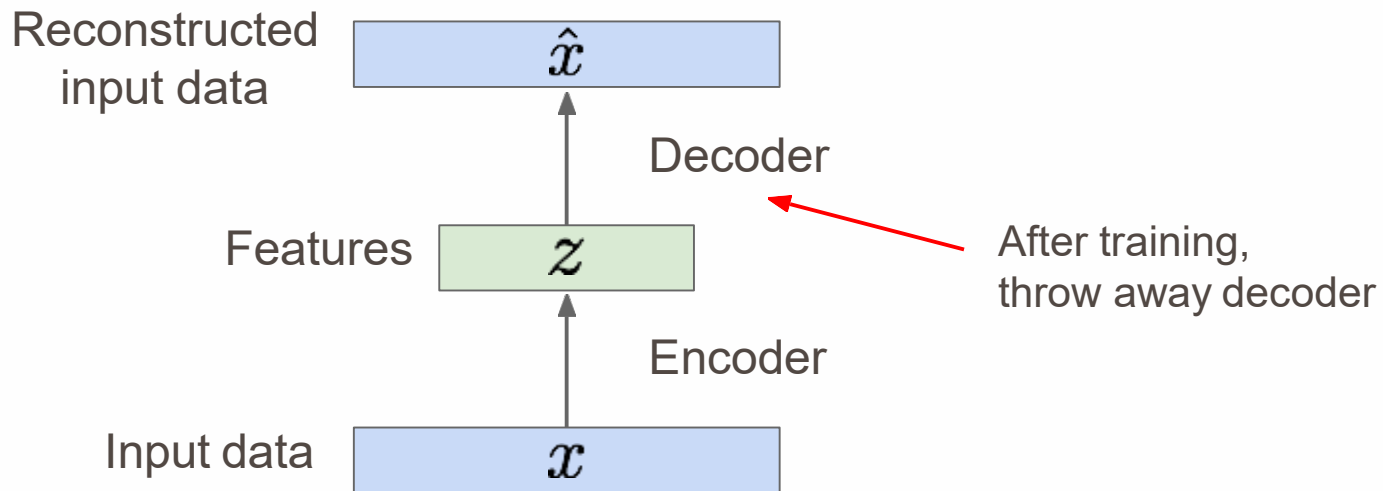


Some background first: Autoencoders

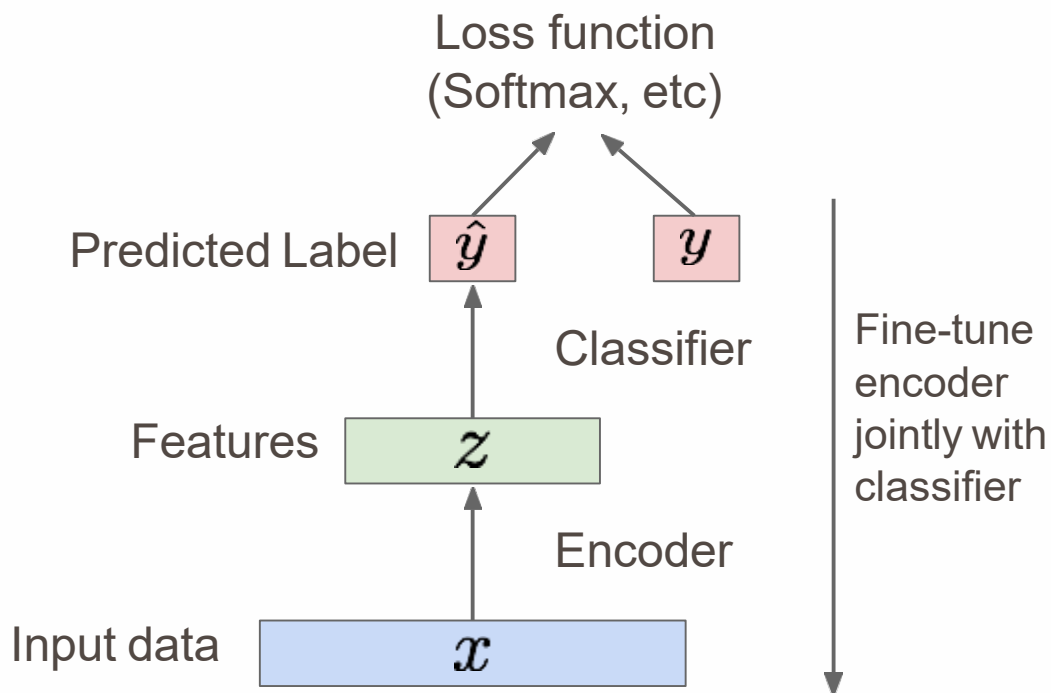
Train such that features can be used to reconstruct original data



Some background first: Autoencoders

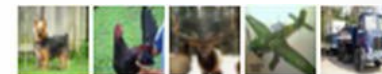


Some background first: Autoencoders



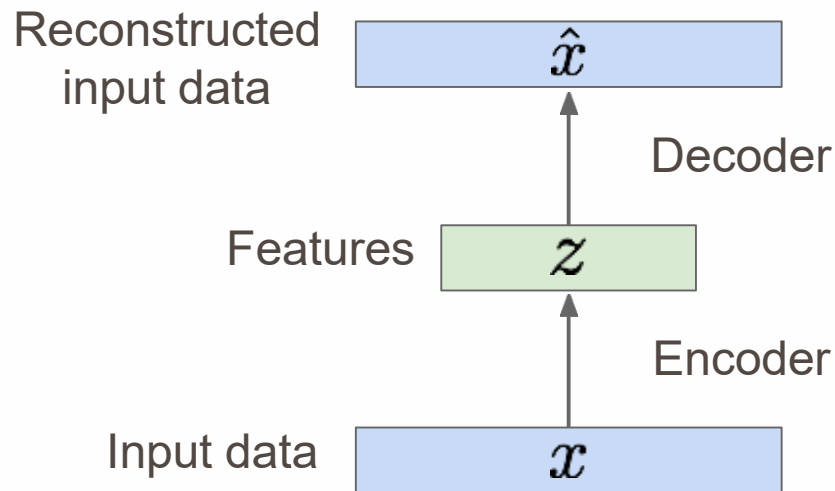
bird plane
dog deer truck

Train for final task
(sometimes with
small data)



Encoder can be used to initialize a **supervised** model

Some background first: Autoencoders



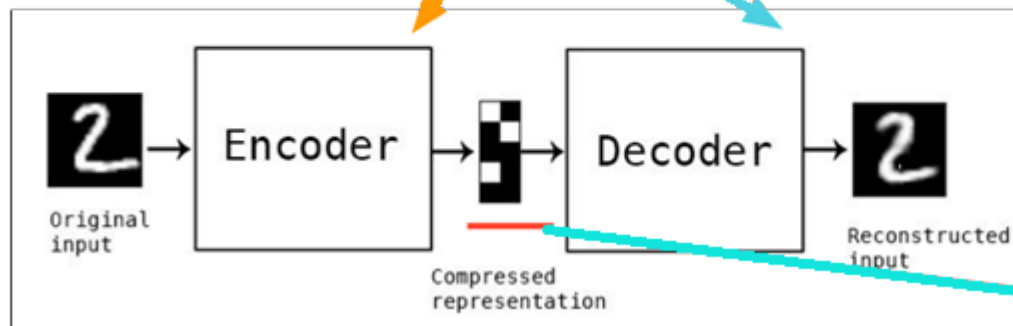
Autoencoders can reconstruct data, and can learn features to initialize a supervised model

Features capture factors of variation in training data. Can we generate new images from an autoencoder?

Unsupervised Deep Learning: AutoEncoder

- With no answer “data”
 - Use “Reconstruction” to learn!!
 - A good representation should keep the information well (reconstruction error)
 - Deep + nonlinearity might help enhance the representation power

$$\min_{\mathbf{w}_1, \mathbf{w}_2} \|\mathbf{x}_i - g(f(\mathbf{x}_i; \mathbf{w}_1); \mathbf{w}_2)\|_2^2$$



Credit: LeCun

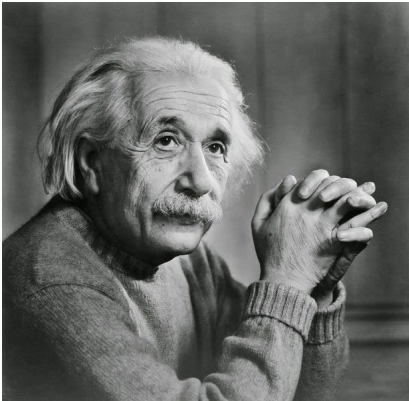
Learnt representation

Deep Version of AutoEncoder

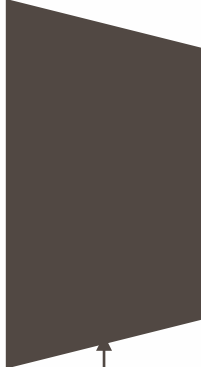
- Stacked autoencoder (SAE)
- Similar to AE but deeper
- Use CNN/Fully connected layers

What Exactly AE is?

High dimensional data



Encoder



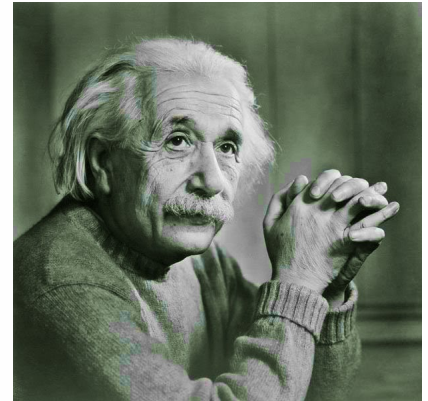
Low dim. variables



Decoder



Reconstructed image



Latent variables
Or features

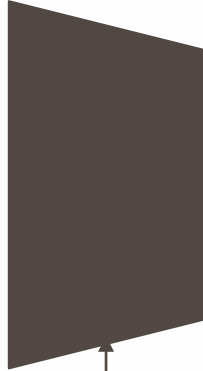
AE/SAE

What Exactly AE is? (cont.)

High dimensional data



Encoder



Low dim. variables



Decoder



Reconstructed image



Latent variables
Or features

AE/SAE

What Exactly AE is? (cont.)

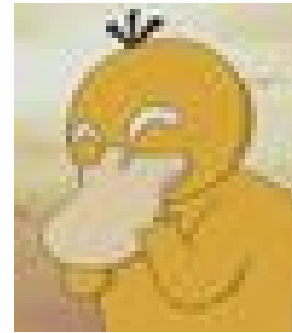
Low dim.
variables 1

Decoder



For example
 $0.666 =$ 可達鴨

Reconstructed
image 1



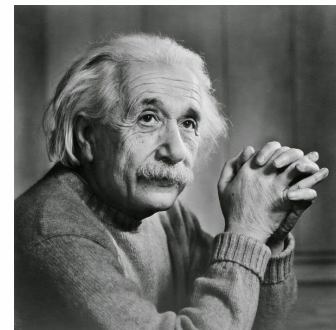
Low dim.
Variables 2

Decoder



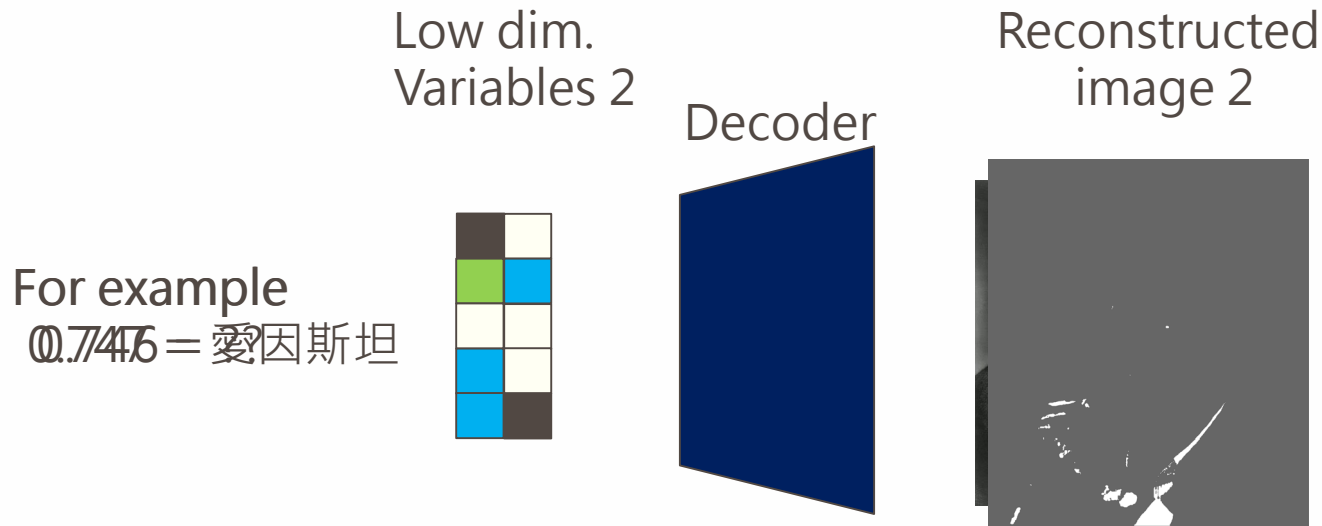
For example
 $0.747 =$ 愛因斯坦

Reconstructed
image 2



Problem in SAE/AE

- One feature corresponds to one reconstructed image!
 - Feature is generated from Encoder....
 - Such AE/SAE cannot be used to generate arbitrary images

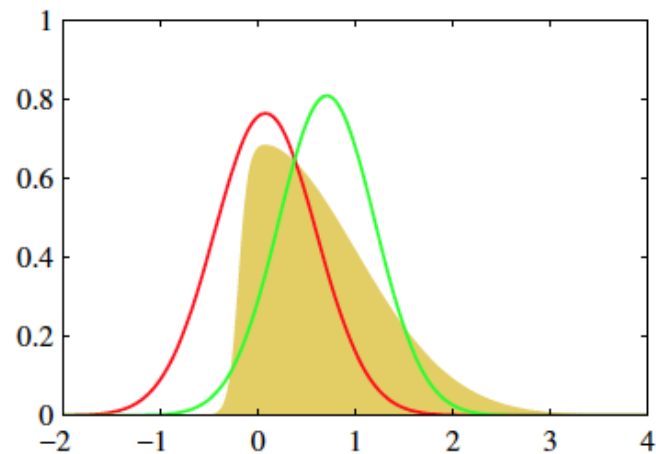


Improved AutoEncoder

- Variational autoencoder - VAE
- Kingma et al, “Auto-Encoding Variational Bayes”, 2013.
 - Generative Model + Stacked Autoencoder
 - Based on Variational approximation
- From AE to VAE
 - Since the feature (latent variable) is not continuous
 - Explicit feature is required for generating an image
 - MODELING feature instead!!

Variational Inference

- Target: p
 - Hard to find their distribution
- We assumed that it likes to Gaussian distributions, green and red lines q
 - Which one closes to the real distribution p , then we choose that q as the solution
 - Minimize distance between distributions!!



Variational Autoencoders

Probabilistic spin on autoencoders - will let us sample from the model to generate data!

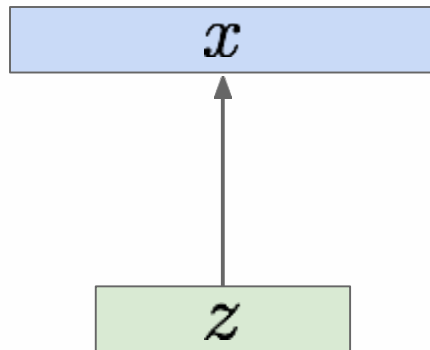
Assume training data $\{x^{(i)}\}_{i=1}^N$ is generated from underlying unobserved (latent) representation z

Sample from true conditional

$$p_{\theta^*}(x | z^{(i)})$$

Sample from true prior

$$p_{\theta^*}(z)$$



Intuition (remember from autoencoders!):
x is an image, **z** is latent factors used to generate **x**: attributes, orientation, etc.

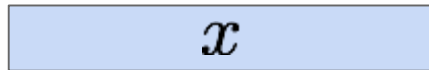
Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders

We want to estimate the true parameters θ^* of this generative model.

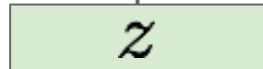
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Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

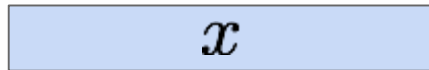
Variational Autoencoders

We want to estimate the true parameters θ^* of this generative model.

How should we represent this model?

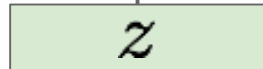
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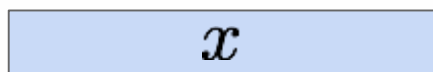


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Variational Autoencoders

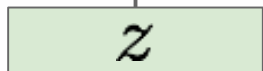
Sample from
true conditional

$$p_{\theta^*}(x | z^{(i)})$$



Sample from
true prior

$$p_{\theta^*}(z)$$



We want to estimate the true parameters θ^* of this generative model.

How should we represent this model?

Choose prior $p(z)$ to be simple, e.g. Gaussian. Reasonable for latent attributes, e.g. pose, how much smile.

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

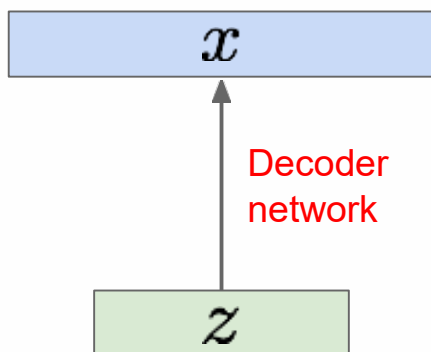
Variational Autoencoders

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Sample from
true prior

$$p_{\theta^*}(z)$$



We want to estimate the true parameters θ^* of this generative model.

How should we represent this model?

Choose prior $p(z)$ to be simple, e.g. Gaussian.

Conditional $p(x|z)$ is complex (generates image) => represent with neural network

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders

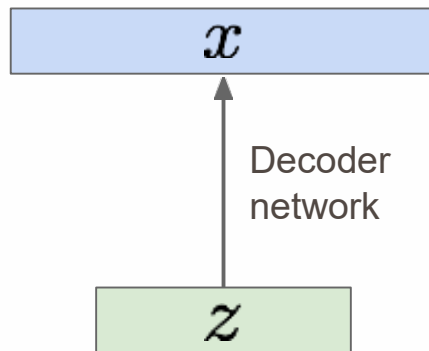
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How to train the model?

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

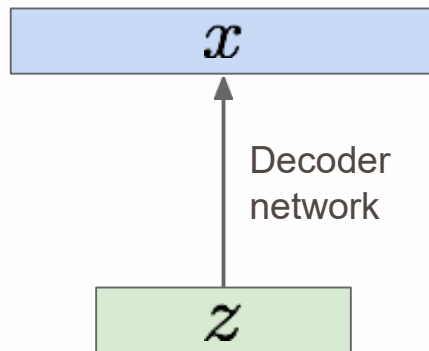
Variational Autoencoders

Sample from
true conditional

$$p_{\theta^*}(x | z^{(i)})$$

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We want to estimate the true parameters θ^* of this generative model.

How to train the model?

Strategy for training generative models from FVBNs (fully visible belief networks, Deep Belief nets). Learn model parameters to maximize likelihood of training data

$$p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$$

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

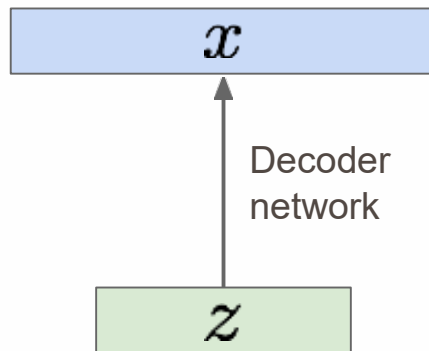
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How to train the model?

Strategy for training generative models from FVBNs. Learn model parameters to maximize likelihood of training data

$$p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$$

Now with latent z

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

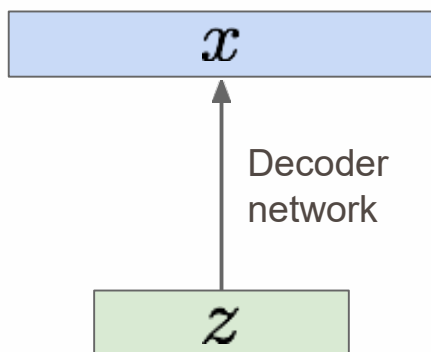
Variational Autoencoders

Sample from
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Sample from
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We want to estimate the true parameters θ^* of this generative model.

How to train the model?

Strategy for training generative models from FVBNS, Learn model parameters to maximize likelihood of training data

$$p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$$

Q: What is the problem with this?

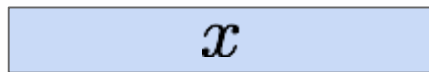
Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders

We want to estimate the true parameters θ^* of this generative model.

Sample from true conditional

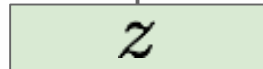
$$p_{\theta^*}(x | z^{(i)})$$



Decoder network

Sample from true prior

$$p_{\theta^*}(z)$$



How to train the model?

Remember strategy for training generative models from FVBNS. Learn model parameters to maximize likelihood of training data

$$p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$$

Q: What is the problem with this?

Intractable!

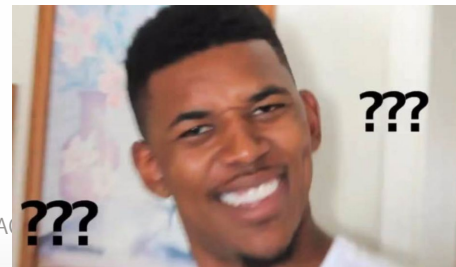
Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

$$p(x) = \frac{p(x, z)}{p(z|x)} \quad \rightarrow \quad p(z|x) = \frac{p(x, z)}{p(x)}$$

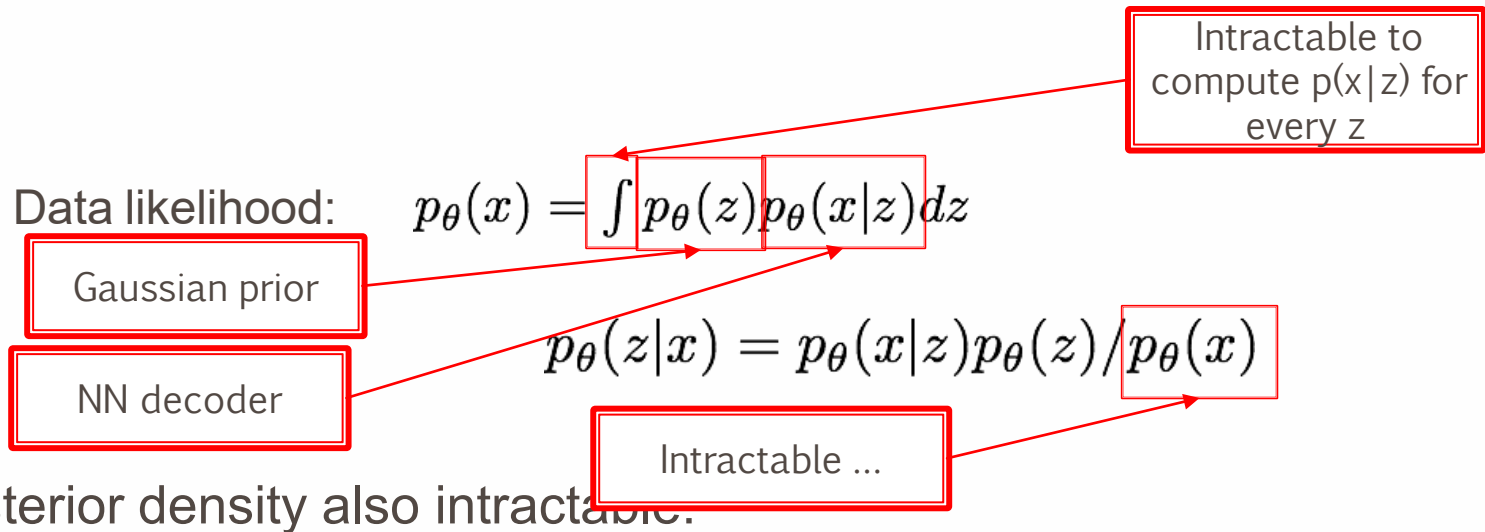
2024/5/23

Given $p(x)$

Chih-Chung Hsu@AC



Variational Autoencoders: Intractability

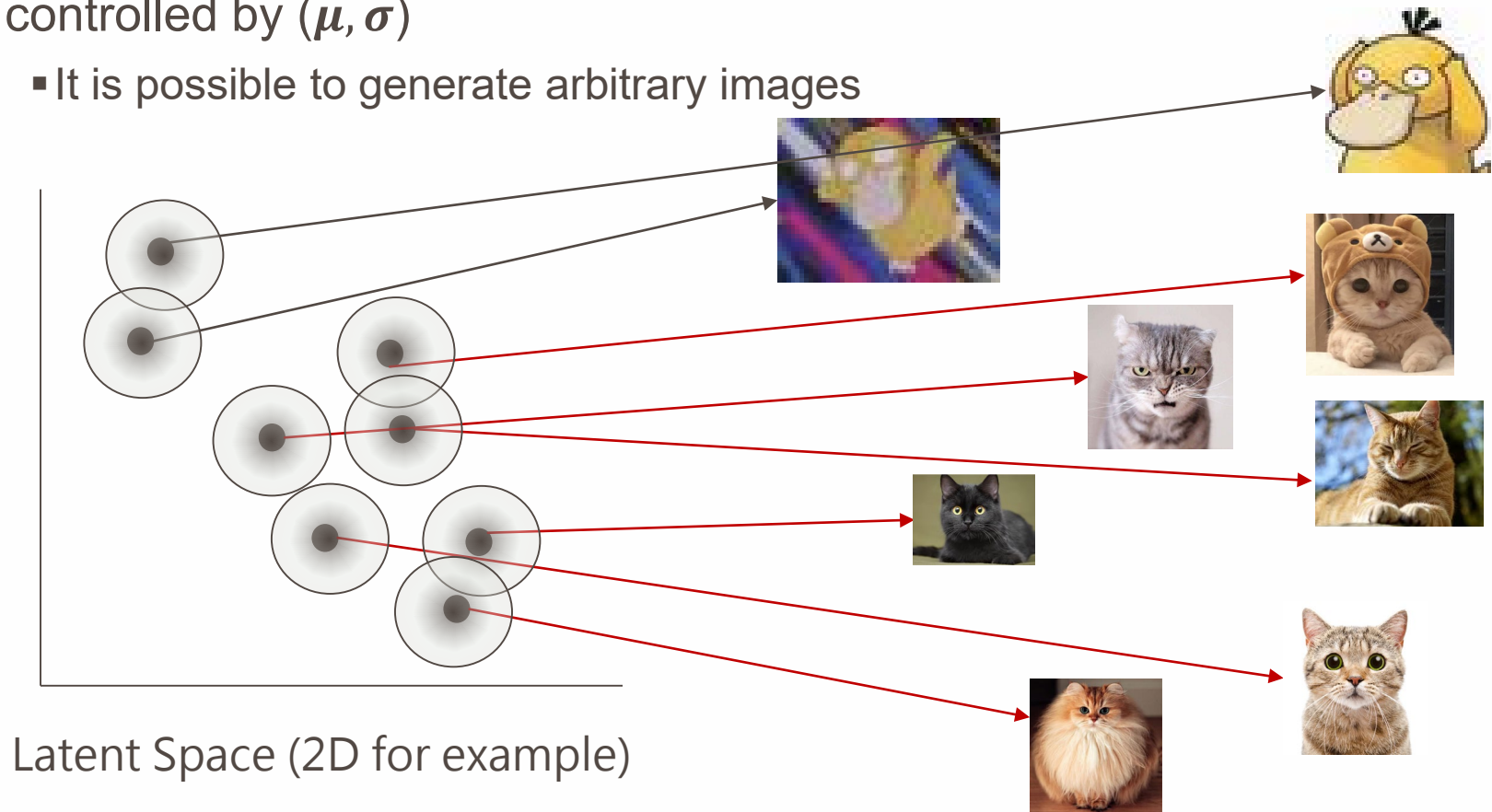


Solution: In addition to decoder network modeling $p_{\theta}(x|z)$, define additional encoder network $q_{\phi}(z|x)$ that approximates $p_{\theta}(z|x)$,

- Will see that this allows us to derive a lower bound on the data likelihood that is tractable, which we can optimize

From AE to VAE

- Modeling: Assume the feature is sampled from Gaussian controlled by (μ, σ)
 - It is possible to generate arbitrary images



From AE to VAE

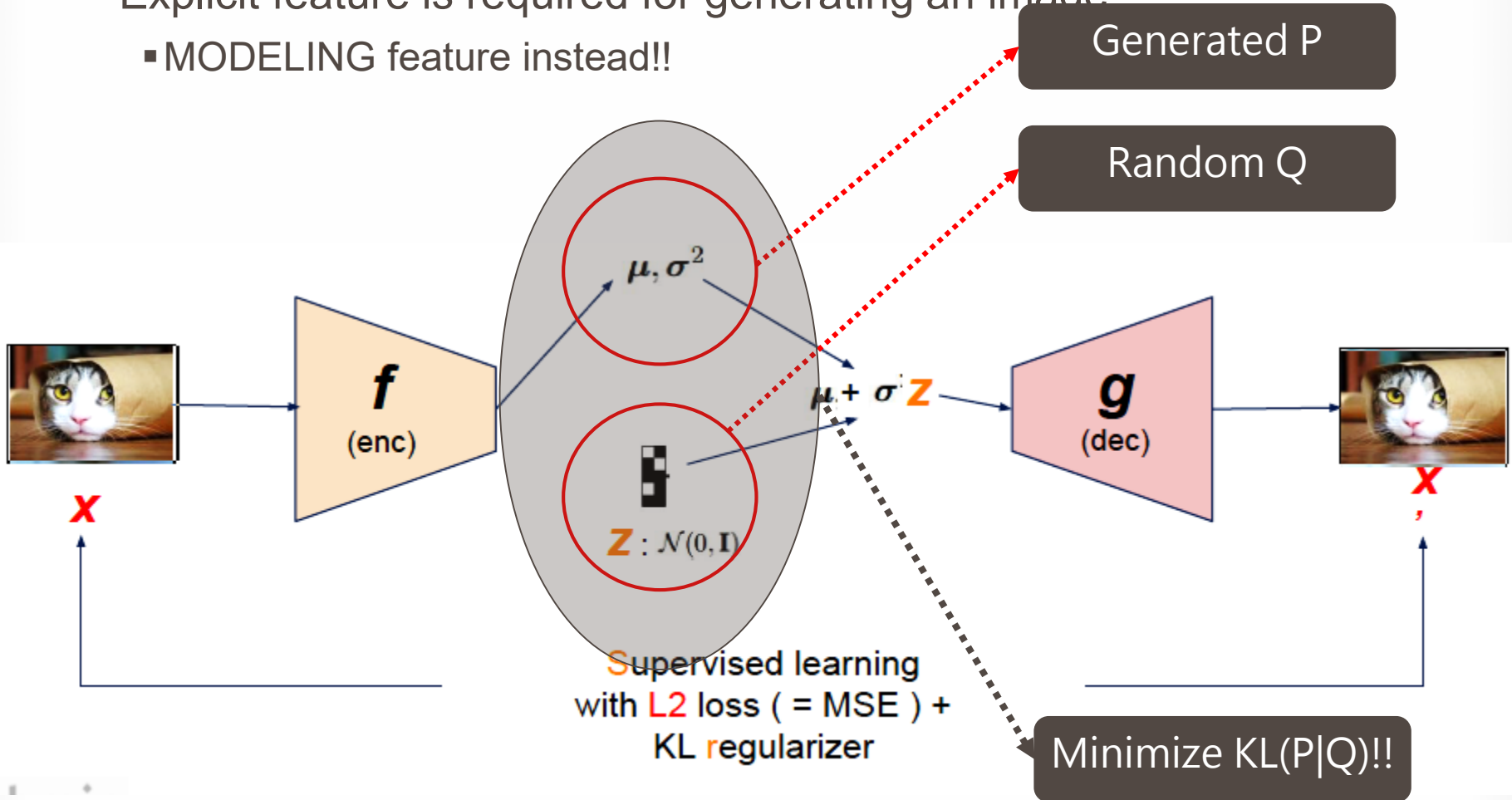
- In this way, loss function can be defined as
 - $L_{data} = \|X - \bar{X}\|_2^2$, where \bar{X} is the reconstructed image
 - $L_{latent} = \text{KL}(P|Q) \rightarrow \text{KL}(\text{Latent variables}, \text{Gaussian})$
 - $L = L_{data} + L_{latent}$
- Difficult to optimize L
 - The distribution of latent variables is unknown & uncontrollable.
- Solution:
 - Force latent variable to be a parameters of a specified distribution:
Encoder $\rightarrow (\mu, \sigma)$

Variational AE (VAE)

- Minimize $KL(P|Q)!!$
 - \rightarrow Variational inference!!
- Recall that
 - $P(z|x) = P(x, z) / P(x) \rightarrow P(x)$ Intractable (ELBO)
 - Approximation solution
 - Use $q(z|\theta)$ to approximate $P(z|x)$
 - Variational inference!
- Shortcoming
 - Blurred images will be generated (no guarantee its quality)

From AE to VAE

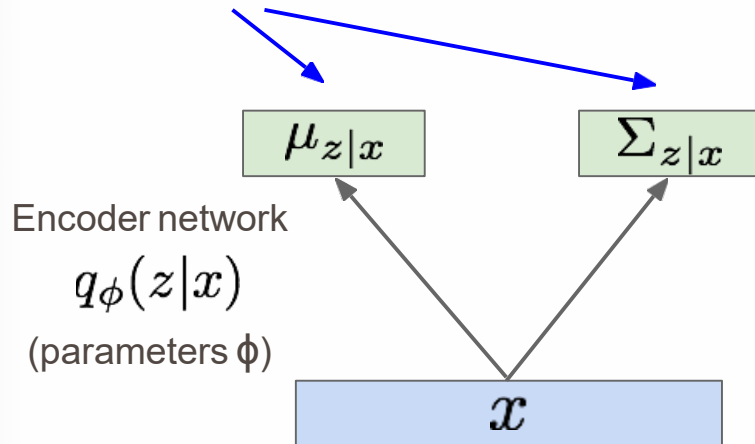
- Explicit feature is required for generating an image
 - MODELING feature instead!!



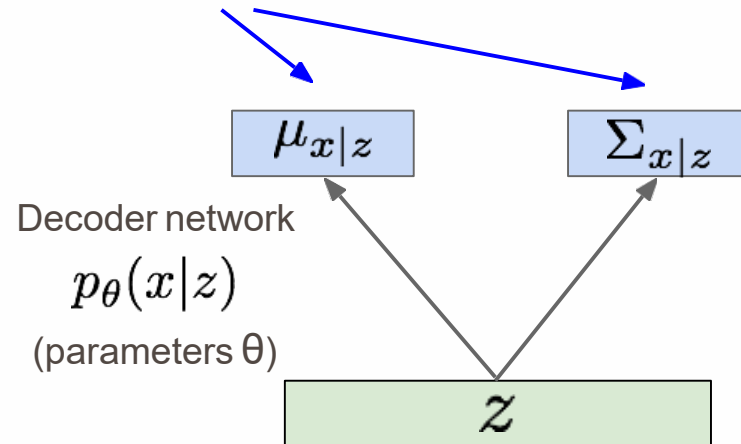
Variational Autoencoders

Since we're modeling probabilistic generation of data, encoder and decoder networks are probabilistic

Mean and (diagonal) covariance of $\mathbf{z} | \mathbf{x}$



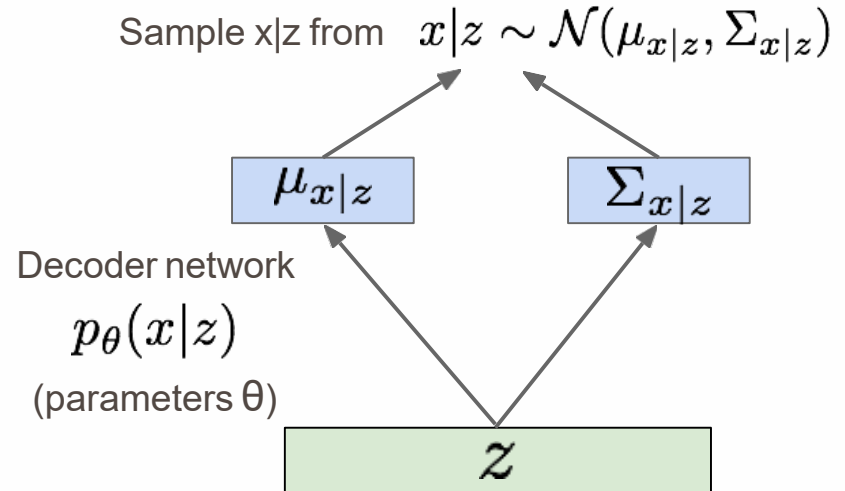
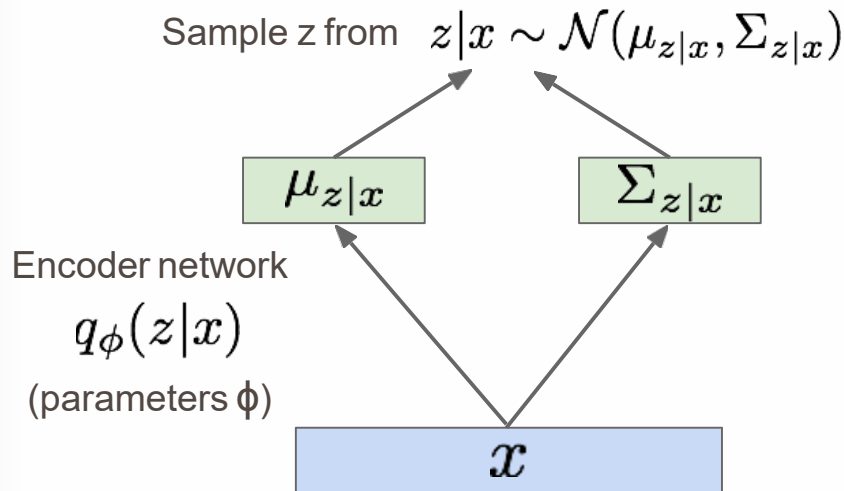
Mean and (diagonal) covariance of $\mathbf{x} | \mathbf{z}$



Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders

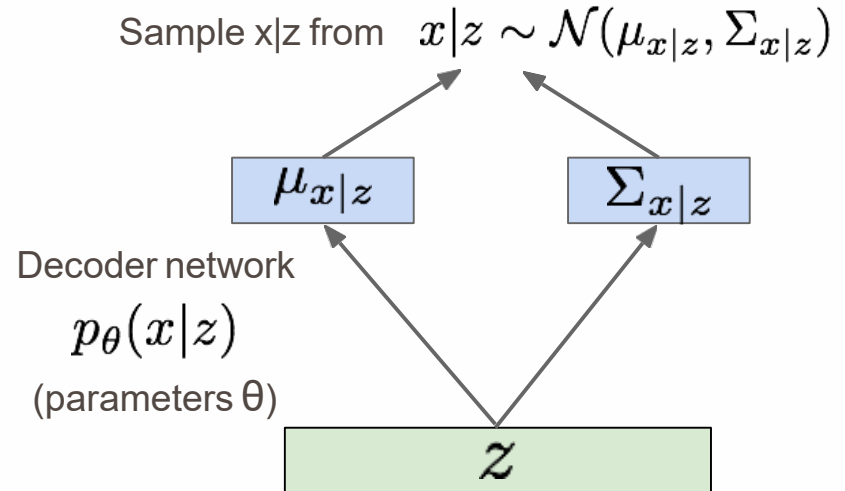
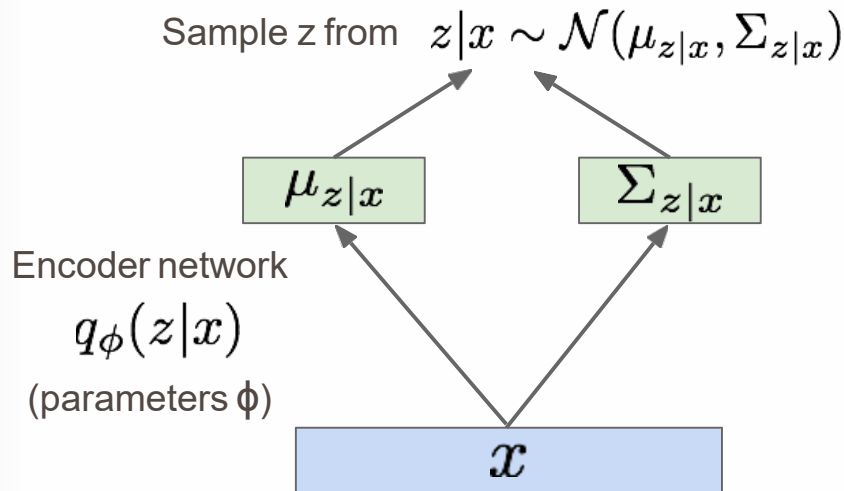
Since we're modeling probabilistic generation of data, encoder and decoder networks are probabilistic



Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders

Since we're modeling probabilistic generation of data, encoder and decoder networks are probabilistic



Encoder and decoder networks also called
“recognition”/“inference” and “generation” networks

Kingma and Welling, “Auto-Encoding Variational Bayes”, ICLR 2014

Variational Autoencoders: Generating Data!



32x32 CIFAR-10



Labeled Faces in the Wild

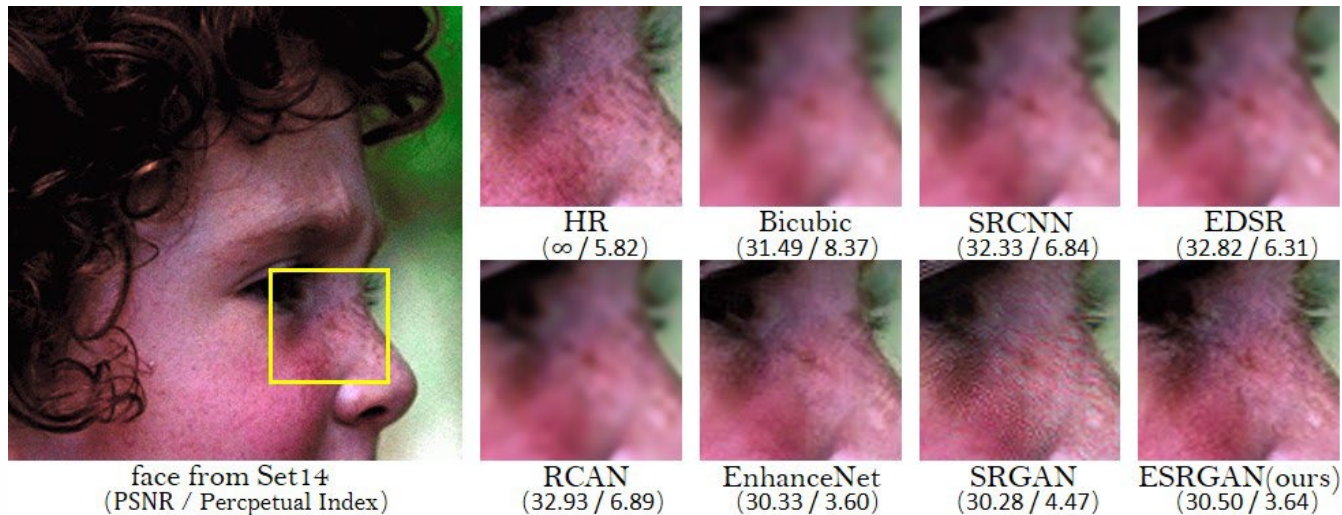
Figures copyright (L) Dirk Kingma et al. 2016; (R) Anders Larsen et al. 2017. Reproduced with permission.

Variational Autoencoders

- Probabilistic spin to traditional autoencoders => allows generating data
- Defines an intractable density => derive and optimize a (variational) lower bound
- Pros:
 - Principled approach to generative models
 - Allows inference of $q(z|x)$, can be useful feature representation for other tasks
- Cons:
 - Maximizes lower bound of likelihood: okay, but not as good evaluation as PixelRNN/PixelCNN
 - Samples blurrier and lower quality compared to state-of-the-art (GANs)
- Active areas of research:
 - More flexible approximations, e.g. richer approximate posterior instead of diagonal Gaussian, e.g., Gaussian Mixture Models (GMMs)
 - Incorporating structure in latent variables, e.g., Categorical Distributions

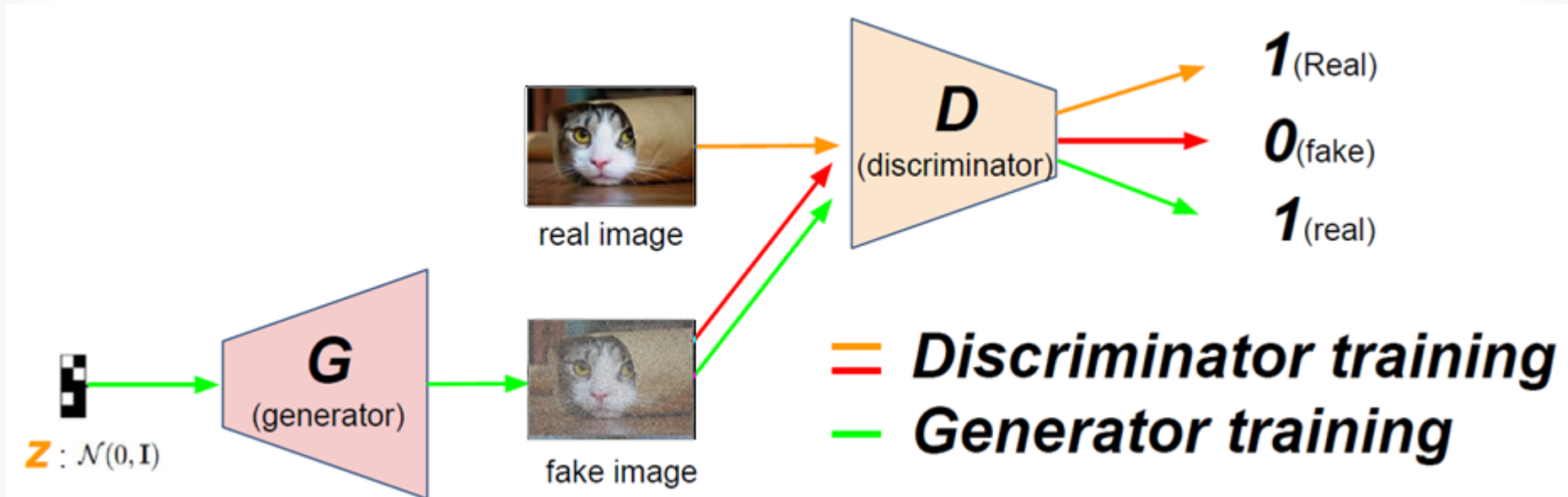
But Why?

- Recall that we use “pixel” to measure the quality
 - So what?
 - It is well-known that there is no promising metric that can reflect the truly perceptual quality (visual quality)
- Example
 - PSNR
 - Some perceptual index were proposed to resolve this issue.



Unsupervised Deep Learning

- How to generate an image with good quality?
 - Generative adversarial network (GAN)



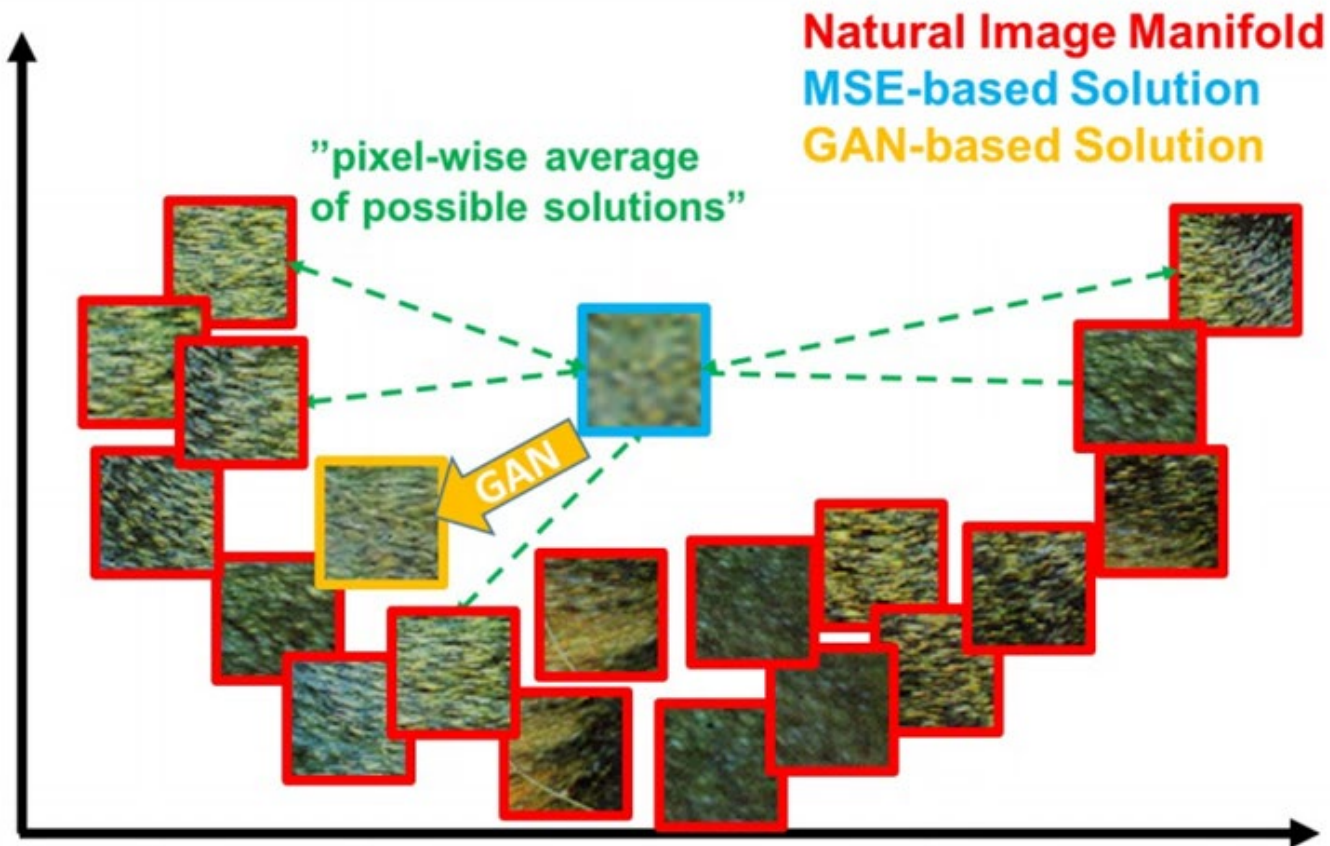
Goodfellow, Ian, et al. "Generative adversarial nets." *Advances in neural information processing systems*. 2014.

Why Generative Models?

- Excellent test of our ability to use high-dimensional, complicated probability distributions
- Simulate possible futures for planning or simulated RL
- Missing data
 - Semi-supervised learning
- Multi-modal outputs
- Realistic generation tasks

Generating an Image using GAN

- Learn and predict $P(x|z)$



Training Procedure

- Use SGD-like algorithm of choice (Adam) on two mini-batches simultaneously:
 - A mini-batch of training examples
 - A mini-batch of generated samples
- Optional: run k steps of one player for every step of the other player.

The Cost Function of GAN

■ Notation

The diagram shows the GAN cost function with several annotations:

- Value of**: points to $V(D, G)$
- Expectation**: points to \mathbb{E}
- prob. of D(real)**: points to $\log D(\mathbf{x})$
- prob. of D(fake)**: points to $\log(1 - D(G(\mathbf{z})))$
- Minimize G**: points to \min_G
- Maximize D**: points to \max_D
- x is sampled from real data**: points to $\mathbf{x} \sim p_{\text{data}}(\mathbf{x})$
- z is sampled from N(0, I)**: points to $\mathbf{z} \sim p_z(\mathbf{z})$
- fake**: points to $D(G(\mathbf{z}))$

$$\min_G \max_D V(D, G) = \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_z(\mathbf{z})} [\log(1 - D(G(\mathbf{z})))]$$

Ian Goodfellow et al., "Generative Adversarial Nets", NIPS 2014

Training GANs: Two-player game

- Generator network: try to fool the discriminator by generating real-looking images
- Discriminator network: try to distinguish between real and fake images
- Train jointly in minimax game
- Minimax objective function:

Discriminator outputs likelihood in (0,1) of real image

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Discriminator output
for real data xDiscriminator output for
generated fake data G(z)

- Discriminator (θ_d) wants to **maximize objective** such that $D(x)$ is close to 1 (real) and $D(G(z))$ is close to 0 (fake)
- Generator (θ_g) wants to **minimize objective** such that $D(G(z))$ is close to 1 (discriminator is fooled into thinking generated $G(z)$ is real)

Training GANs: Two-player game

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

1. **Gradient ascent** on discriminator

$$\max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. **Gradient descent** on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

Training GANs: Two-player game

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

1. **Gradient ascent** on discriminator

$$\max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

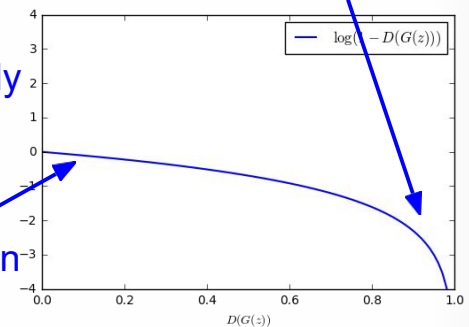
2. **Gradient descent** on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

In practice, optimizing this generator objective does not work well!

When sample is likely fake, want to learn from it to improve generator. But gradient in this region is relatively flat!

Gradient signal dominated by region where sample is already good



Training GANs: Two-player game

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

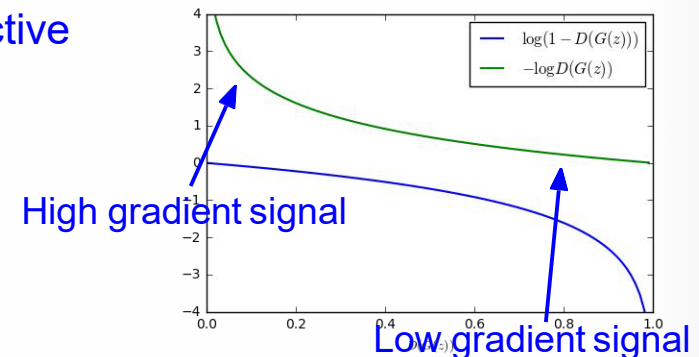
1. **Gradient ascent** on discriminator

$$\max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. **Instead: Gradient ascent** on generator, **different objective**

$$\max_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(D_{\theta_d}(G_{\theta_g}(z)))$$

Instead of minimizing likelihood of discriminator being correct, now maximize likelihood of discriminator being wrong.
Same objective of fooling discriminator, but now higher gradient signal for bad samples => works much better! Standard in practice.



Training GANs: Two-player game

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

1. **Gradient ascent** on discriminator

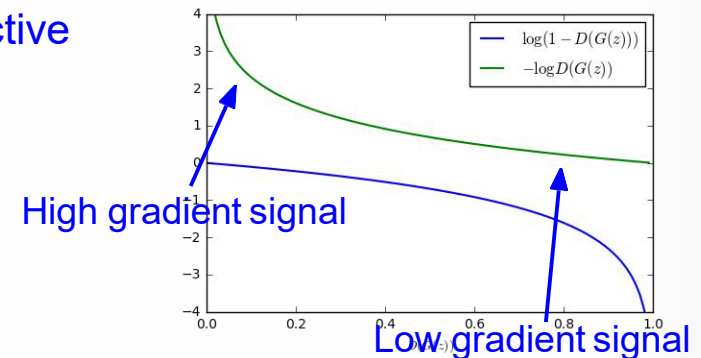
$$\max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

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$$\max_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(D_{\theta_d}(G_{\theta_g}(z)))$$

Instead of minimizing likelihood of discriminator being correct, now maximize likelihood of discriminator being wrong.
Same objective of fooling discriminator, but now higher gradient signal for bad samples => works much better! Standard in practice.

Aside: Jointly training two networks is challenging, can be unstable. Choosing objectives with better loss landscapes helps training, is an active area of research.



Training GANs: Two-player game

Putting it together: GAN training algorithm

for number of training iterations **do**

for k steps **do**

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Sample minibatch of m examples $\{x^{(1)}, \dots, x^{(m)}\}$ from data generating distribution $p_{\text{data}}(x)$.
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D_{\theta_d}(x^{(i)}) + \log(1 - D_{\theta_d}(G_{\theta_g}(z^{(i)}))) \right]$$

end for

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Update the generator by ascending its stochastic gradient (improved objective):

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log(D_{\theta_d}(G_{\theta_g}(z^{(i)})))$$

end for

Training GANs: Two-player game

Putting it together: GAN training algorithm

for number of training iterations **do**

for k steps **do**

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
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$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D_{\theta_d}(x^{(i)}) + \log(1 - D_{\theta_d}(G_{\theta_g}(z^{(i)}))) \right]$$

end for

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Update the generator by ascending its stochastic gradient (improved objective):

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log(D_{\theta_d}(G_{\theta_g}(z^{(i)})))$$

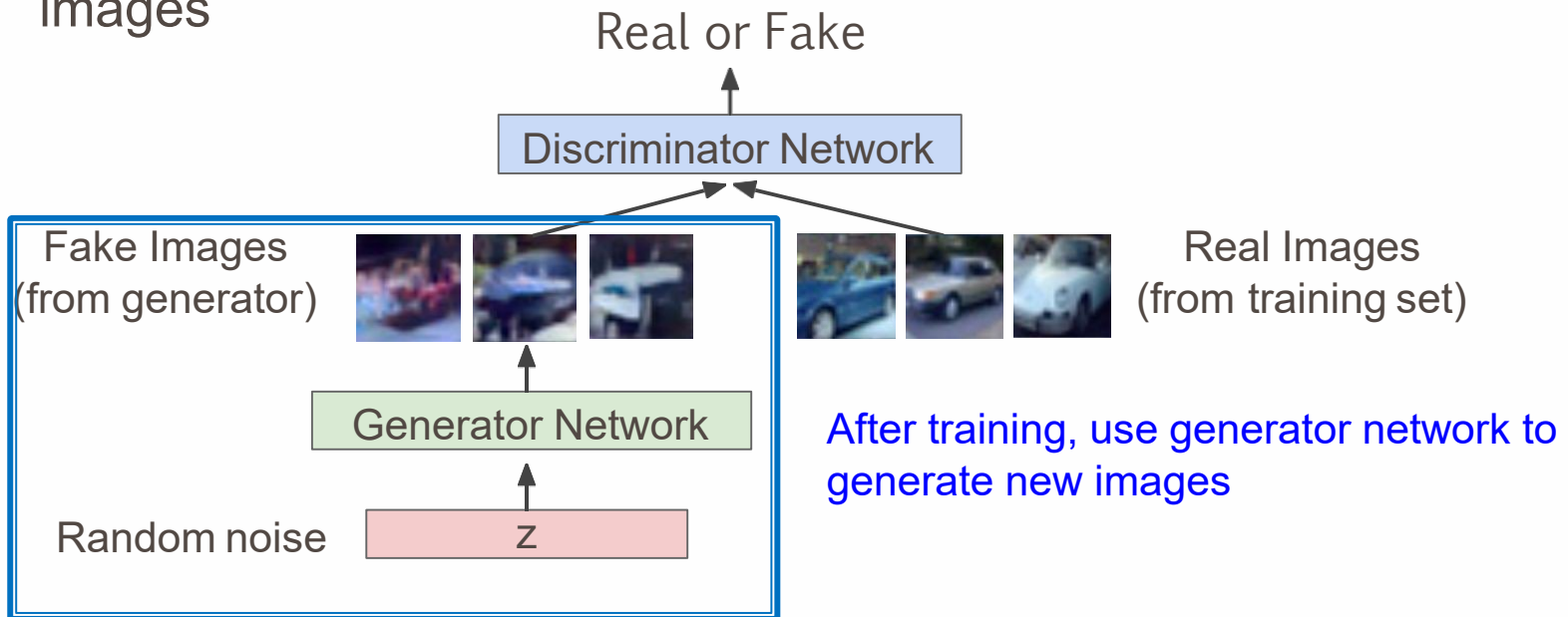
end for

Some find $k=1$
more stable,
others use $k > 1$,
no best rule.

Recent work (e.g.
Wasserstein GAN)
alleviates this
problem, better
stability!

Training GANs: Two-player game

- Generator network: try to fool the discriminator by generating real-looking images
- Discriminator network: try to distinguish between real and fake images



Problems in GANs

- No guarantee to equilibrium
 - Mode collapsing
 - All smoothing results
 - Oscillation
 - May never converge
 - No indicator when to finish
- All generative models
 - Evaluation metrics (predefined)
 - Robust but difficult to train
 - Diversity testing is required

GAN's Ways

- Theatrical analysis of the nature of the GANs
 - WGAN
 - Wasserstein GAN (Replace KL with Wasserstein)
 - Solved the issue when there is no overlapping between distributions of generated & ground truth samples
 - BEGAN
 - WGAN-GP
 - RAGAN
 - ...etc
- Applications
 - Based on a state-of-the-art GAN and fine-tune it.

Improved GAN: DCGAN

- Radford et al, Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks, 2015
 - Tricks for gradient flow
 - Max pooling → Strided convolution or average pooling
 - Use LeakyReLU instead of ReLU
 - Other tricks
 - Use batch normal both generator and discriminator
 - Use Adam optimizer ($\text{lr} = 0.0002$, $\alpha = 0.9$, $\beta = 0.5$)

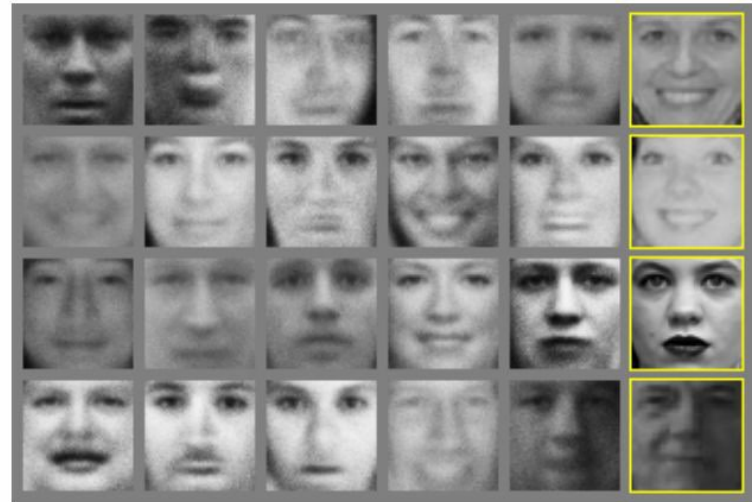
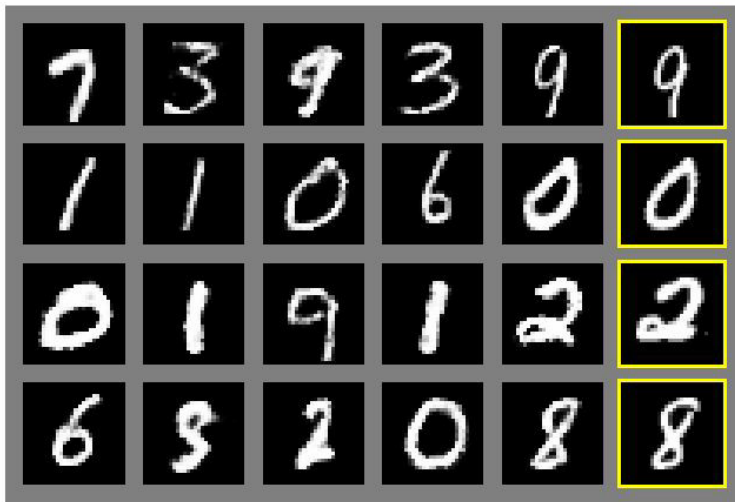
DCGAN

- Convert max-pooling layers to convolution layers
- Convert fully connected layers to global average pooling layers in the discriminator
- Use batch normalization layers in the generator and the discriminator
- Use leaky-ReLU activation functions in the discriminator
- Other tricks
 - Use Adam optimizer ($\text{lr} = 0.0002$, $\alpha = 0.9$, $\beta = 0.5$)

Radford, Alec, Luke Metz, and Soumith Chintala. "Unsupervised representation learning with deep convolutional generative adversarial networks." *arXiv preprint arXiv:1511.06434* (2015).

Generative Adversarial Nets

Generated samples



Nearest neighbor from training set



Figures copyright Ian Goodfellow et al., 2014. Reproduced with permission.

Generative Adversarial Nets

Generated samples (CIFAR-10)



Nearest neighbor from training set

Figures copyright Ian Goodfellow et al., 2014. Reproduced with permission.

Generative Adversarial Nets: Convolutional Architectures

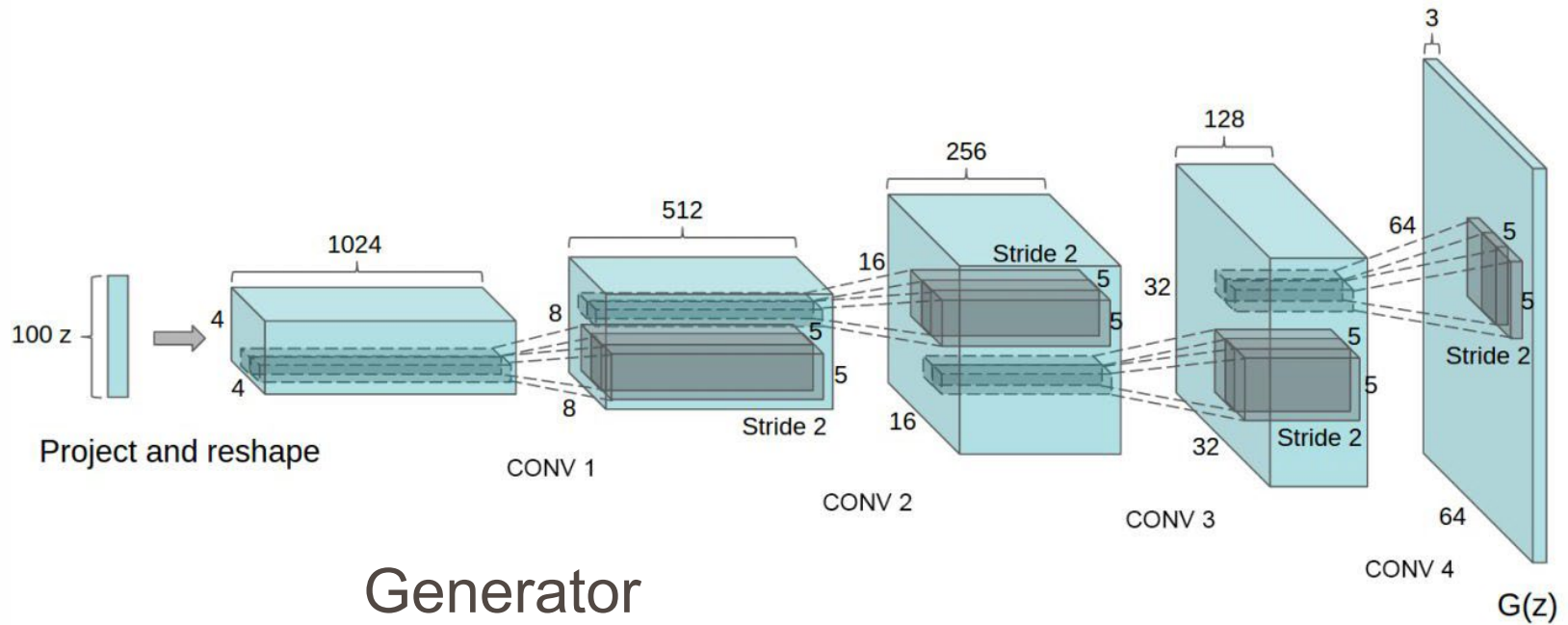
Generator is an upsampling network with fractionally-strided convolutions
Discriminator is a convolutional network

Architecture guidelines for stable Deep Convolutional GANs

- Replace any pooling layers with strided convolutions (discriminator) and fractional-strided convolutions (generator).
- Use batchnorm in both the generator and the discriminator.
- Remove fully connected hidden layers for deeper architectures.
- Use ReLU activation in generator for all layers except for the output, which uses Tanh.
- Use LeakyReLU activation in the discriminator for all layers.

Radford et al, "Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks", ICLR 2016

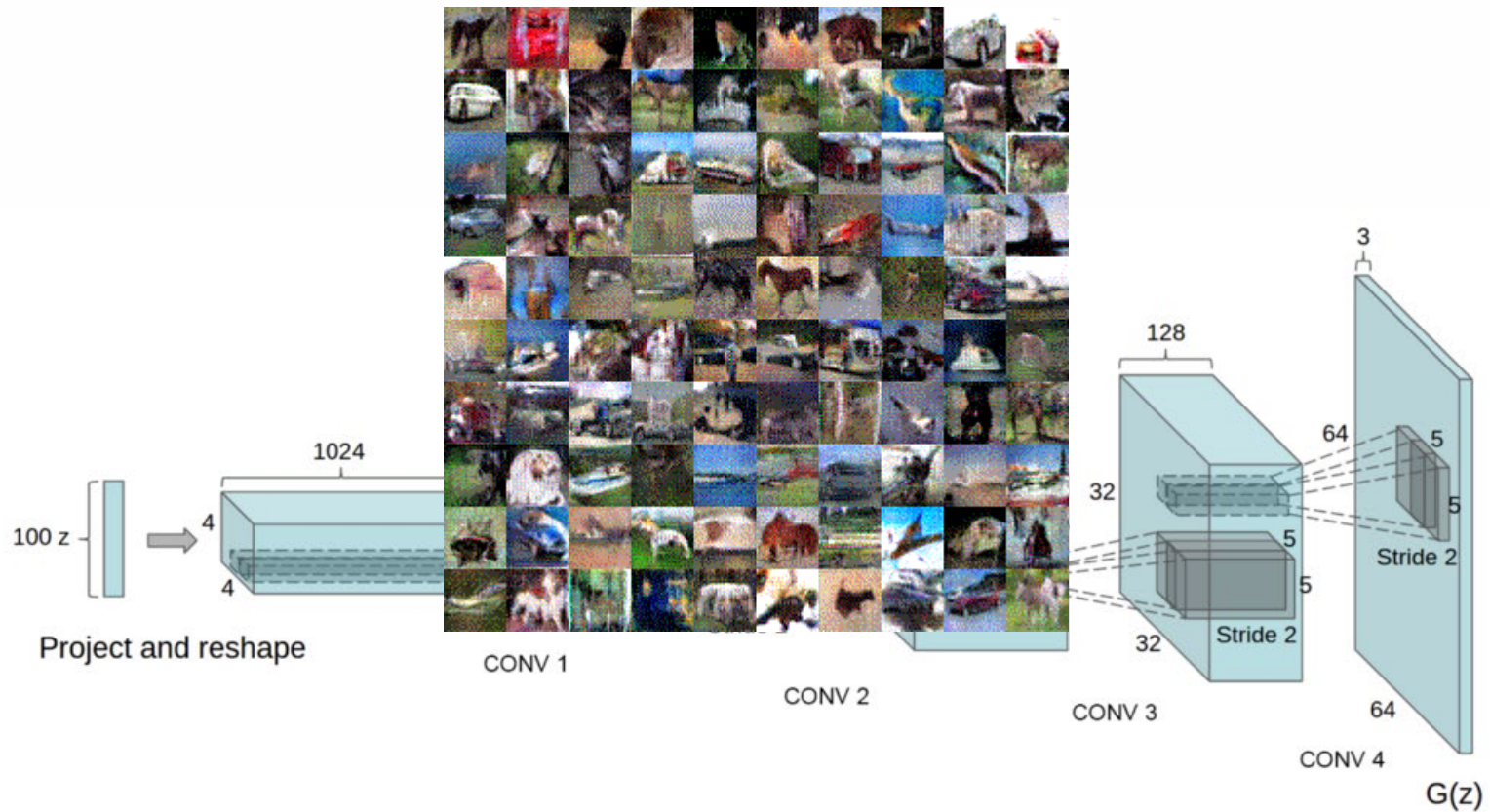
Generative Adversarial Nets: Convolutional Architectures



Generator

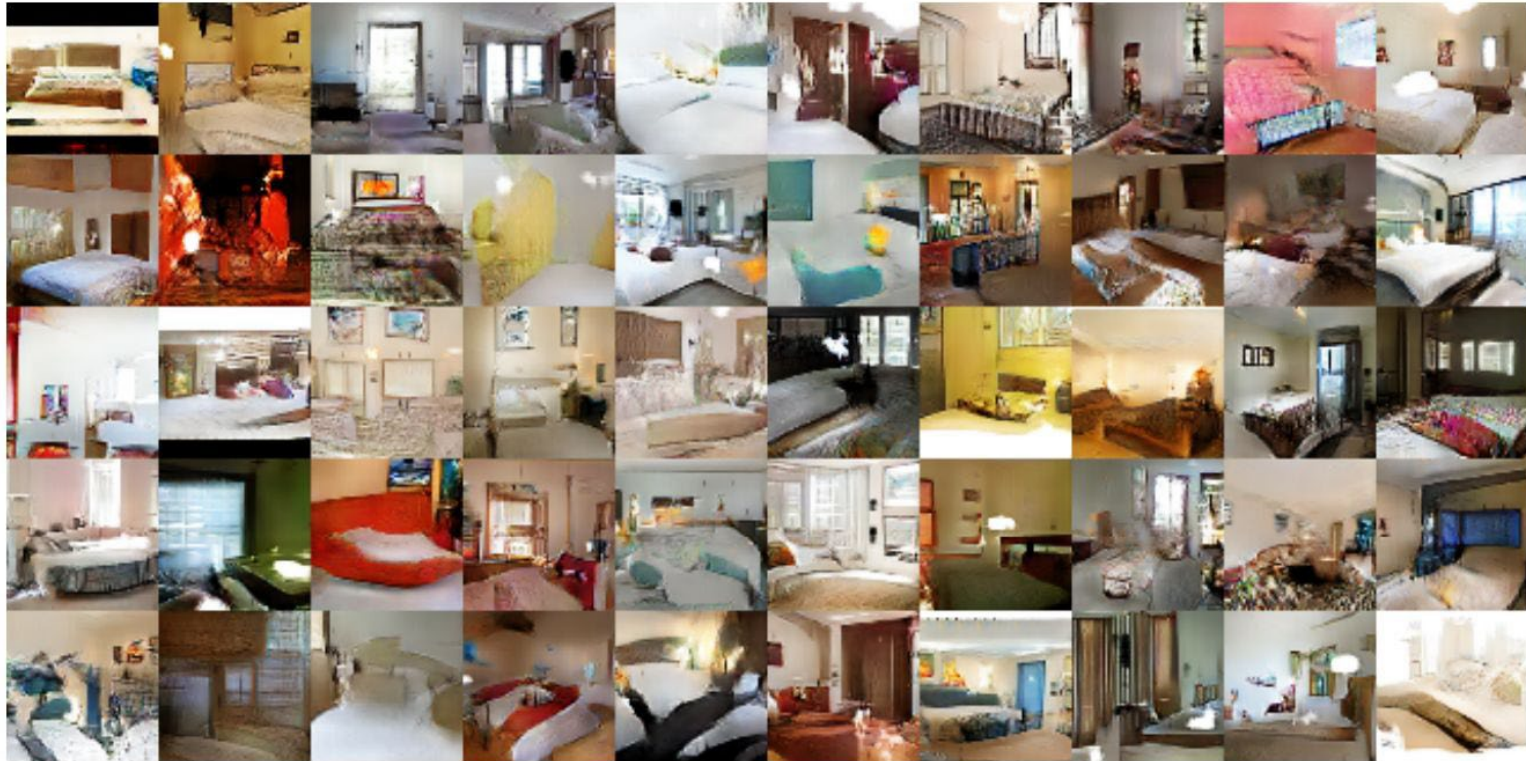
Radford et al, "Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks", ICLR 2016

DCGAN: Generate the images with Deep Convolutional GAN



Generative Adversarial Nets: Convolutional Architectures

Samples from the model look much better!



Radford et al,
ICLR 2016

Generative Adversarial Nets: Convolutional Architectures

Interpolating
between
random
points in latent
space



Radford et al,
ICLR 2016

Generative Adversarial Nets: Interpretable Vector Math

Radford et al, ICLR 2016

Smiling woman

Neutral woman

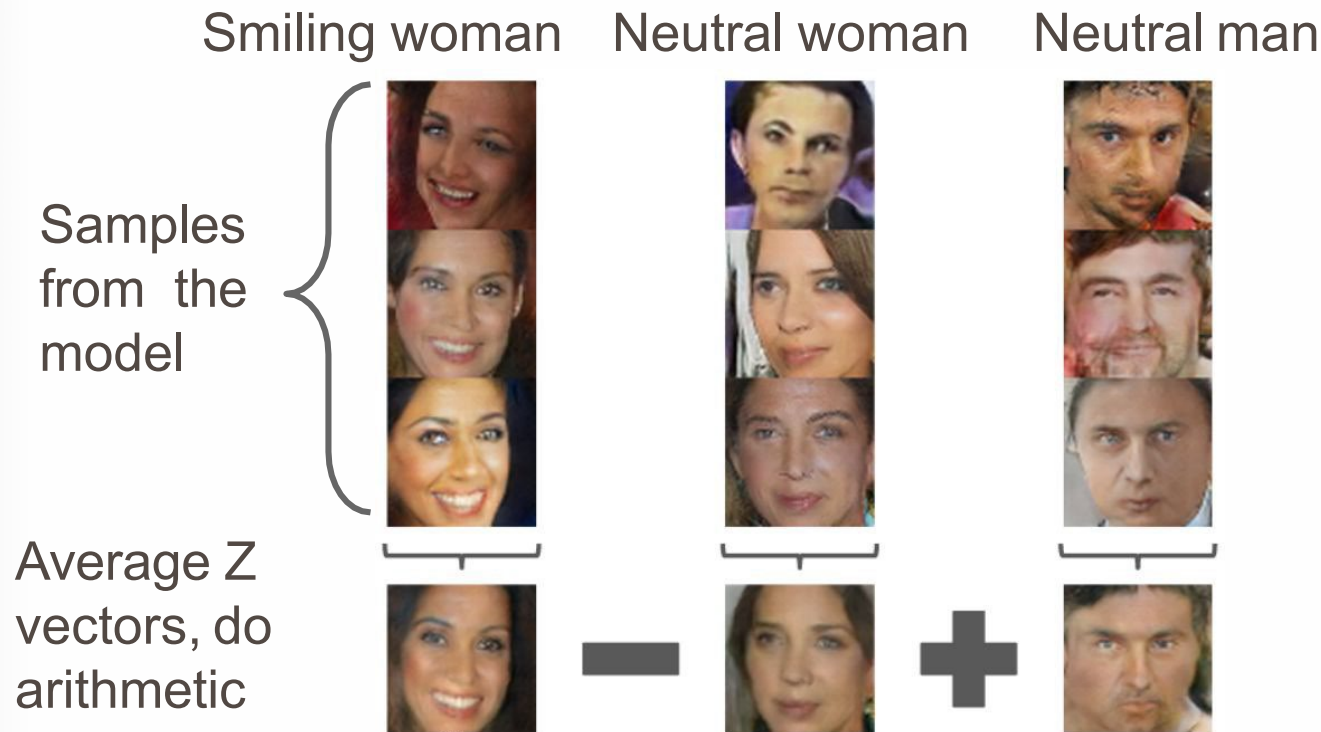
Neutral man

Samples
from the
model



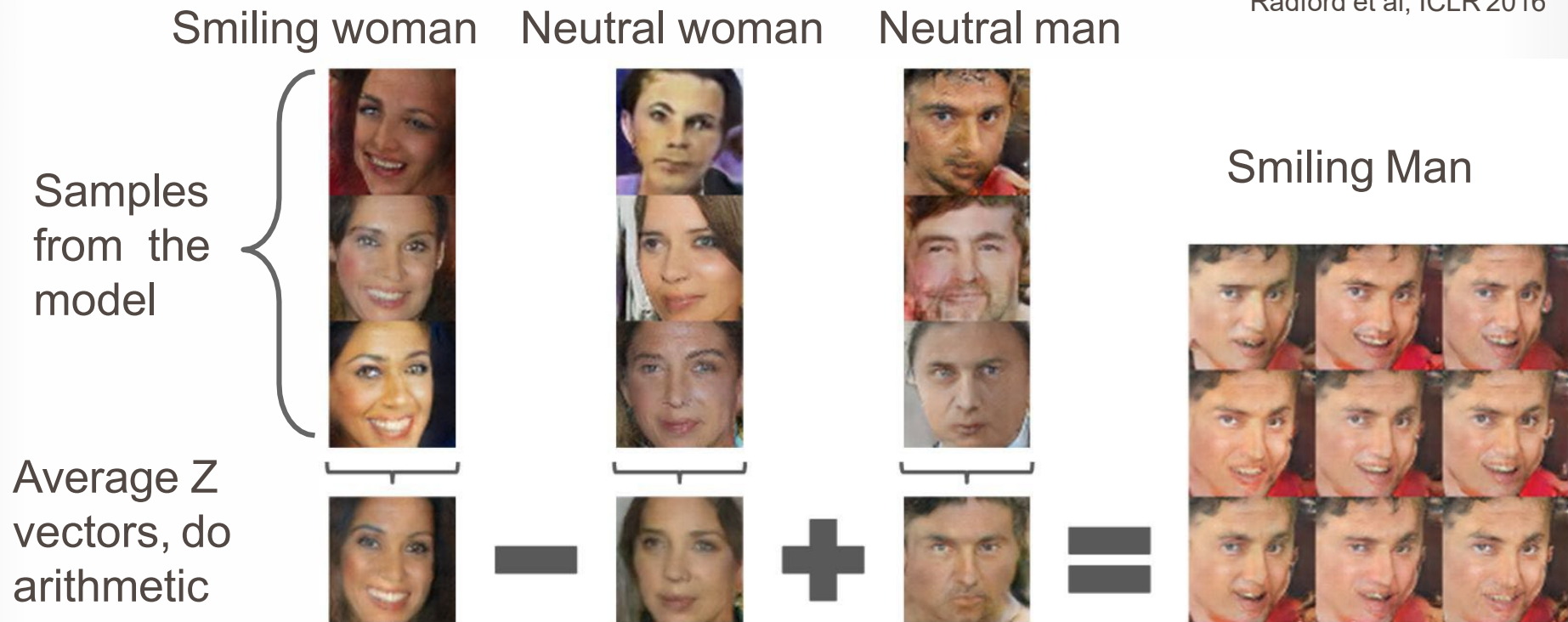
Generative Adversarial Nets: Interpretable Vector Math

Radford et al, ICLR 2016



Generative Adversarial Nets: Interpretable Vector Math

Radford et al, ICLR 2016



Generative Adversarial Nets: Interpretable Vector Math

Glasses man



No glasses man



No glasses woman



Radford et al,
ICLR 2016

Generative Adversarial Nets: Interpretable Vector Math

Glasses man



No glasses man



No glasses woman



-

+

=

Woman with glasses



Radford et al,
ICLR 2016

<https://github.com/soumith/ganhacks>

and tricks for trainings GANs

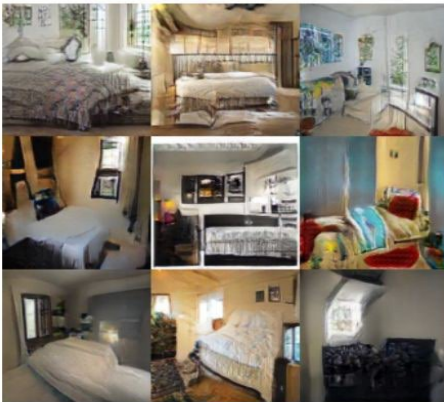
“The GAN Zoo”

- GAN - Generative Adversarial Networks
- 3D-GAN - Learning a Probabilistic Latent Space of Object Shapes via 3D Generative-Adversarial Modeling
- acGAN - Face Aging With Conditional Generative Adversarial Networks
- AC-GAN - Conditional Image Synthesis With Auxiliary Classifier GANs
- AdaGAN - AdaGAN: Boosting Generative Models
- AEGAN - Learning Inverse Mapping by Autoencoder based Generative Adversarial Nets
- AffGAN - Amortised MAP Inference for Image Super-resolution
- AL-CGAN - Learning to Generate Images of Outdoor Scenes from Attributes and Semantic Layouts
- ALI - Adversarially Learned Inference
- AM-GAN - Generative Adversarial Nets with Labeled Data by Activation Maximization
- AnoGAN - Unsupervised Anomaly Detection with Generative Adversarial Networks to Guide Marker Discovery
- ArtGAN - ArtGAN: Artwork Synthesis with Conditional Categorical GANs
- b-GAN - b-GAN: Unified Framework of Generative Adversarial Networks
- Bayesian GAN - Deep and Hierarchical Implicit Models
- BEGAN - BEGAN: Boundary Equilibrium Generative Adversarial Networks
- BiGAN - Adversarial Feature Learning
- BS-GAN - Boundary-Seeking Generative Adversarial Networks
- CGAN - Conditional Generative Adversarial Nets
- CaloGAN - CaloGAN: Simulating 3D High Energy Particle Showers in Multi-Layer Electromagnetic Calorimeters with Generative Adversarial Networks
- CCGAN - Semi-Supervised Learning with Context-Conditional Generative Adversarial Networks
- CatGAN - Unsupervised and Semi-supervised Learning with Categorical Generative Adversarial Networks
- CoGAN - Coupled Generative Adversarial Networks
- Context-RNN-GAN - Contextual RNN-GANs for Abstract Reasoning Diagram Generation
- C-RNN-GAN - C-RNN-GAN: Continuous recurrent neural networks with adversarial training
- CS-GAN - Improving Neural Machine Translation with Conditional Sequence Generative Adversarial Nets
- CVAE-GAN - CVAE-GAN: Fine-Grained Image Generation through Asymmetric Training
- CycleGAN - Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks
- DTN - Unsupervised Cross-Domain Image Generation
- DCGAN - Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks
- DiscoGAN - Learning to Discover Cross-Domain Relations with Generative Adversarial Networks
- DR-GAN - Disentangled Representation Learning GAN for Pose-Invariant Face Recognition
- DualGAN - DualGAN: Unsupervised Dual Learning for Image-to-Image Translation
- EBGAN - Energy-based Generative Adversarial Network
- f-GAN - f-GAN: Training Generative Neural Samplers using Variational Divergence Minimization
- FF-GAN - Towards Large-Pose Face Frontalization in the Wild
- GAWWN - Learning What and Where to Draw
- GeneGAN - GeneGAN: Learning Object Transfiguration and Attribute Subspace from Unpaired Data
- Geometric GAN - Geometric GAN
- GoGAN - Gang of GANs: Generative Adversarial Networks with Maximum Margin Ranking
- GP-GAN - GP-GAN: Towards Realistic High-Resolution Image Blending
- IAN - Neural Photo Editing with Introspective Adversarial Networks
- iGAN - Generative Visual Manipulation on the Natural Image Manifold
- IcGAN - Invertible Conditional GANs for image editing
- ID-CGAN - Image De-raining Using a Conditional Generative Adversarial Network
- Improved GAN - Improved Techniques for Training GANs
- InfoGAN - InfoGAN: Interpretable Representation Learning by Information Maximizing Generative Adversarial Nets
- LAGAN - Learning Particle Physics by Example: Location-Aware Generative Adversarial Networks for Physics Synthesis
- LAPGAN - Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks

<https://github.com/hindupuravinash/the-gan-zoo>

2017: Explosion of GANs

Better training and generation



LSGAN, Zhu 2017.



Wasserstein GAN,
Arjovsky 2017.
Improved Wasserstein
GAN, Gulrajani 2017.



Progressive GAN, Karras 2018.

Improved Versions of GAN

- There are more than 100 improved GANs/Applications since 2014!!
 - A hot topic in deep learning

Baseline (G : DCGAN, D : DCGAN)



G : No BN and a constant number of filters, D : DCGAN



Image Super-Resolution

bicubic
(21.59dB/0.6423)



SRResNet
(23.53dB/0.7832)



SRGAN
(21.15dB/0.6868)

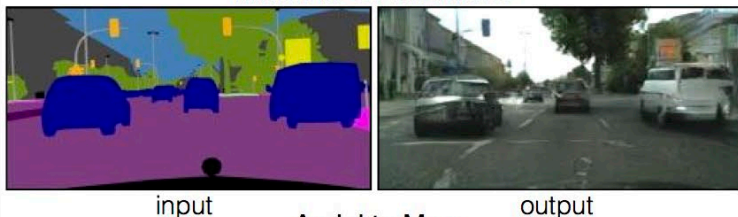


original



Image-to-Image Translation

Labels to Street Scene



input

output

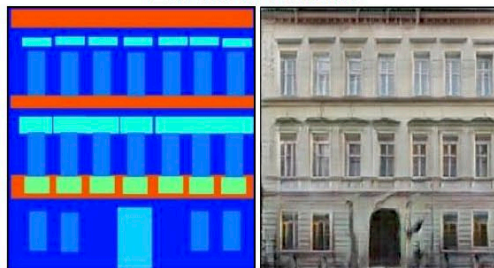
Aerial to Map



input

output

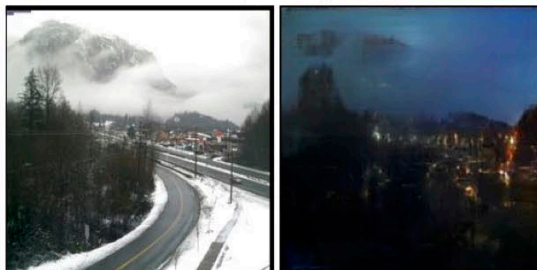
Labels to Facade



input

output

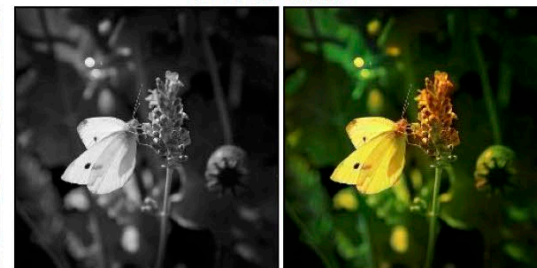
Day to Night



input

output

BW to Color



input

output

Edges to Photo



input

output

Text2Image

this small bird has a pink breast and crown, and black primaries and secondaries.



this magnificent fellow is almost all black with a red crest, and white cheek patch.



the flower has petals that are bright pinkish purple with white stigma



this white and yellow flower have thin white petals and a round yellow stamen



StackGAN

This bird has a yellow belly and tarsus, grey back, wings, and brown throat, nape with a black face

This bird is white with some black on its head and wings, and has a long orange beak

This flower has overlapping pink pointed petals surrounding a ring of short yellow filaments

(a) Stage-I images



(b) Stage-II images



Style Transfer



winter Yosemite → summer Yosemite



summer Yosemite → winter Yosemite

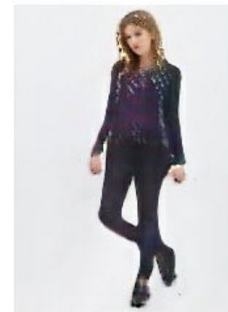
Style Transfer



Pose Generation



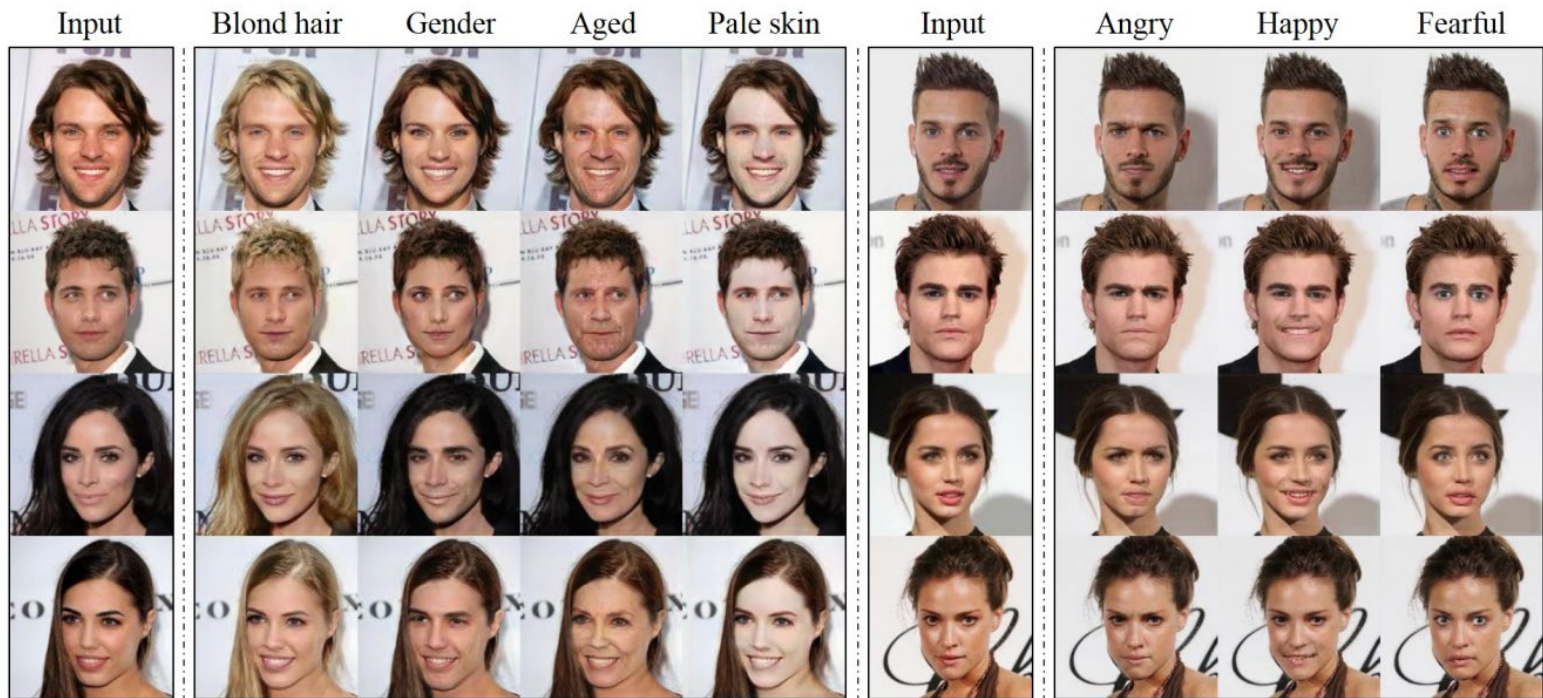
Ground truth



Generated

Source: <https://papers.nips.cc/paper/6644-pose-guided-person-image-generation.pdf>

StarGAN



Source: <https://arxiv.org/pdf/1711.09020.pdf>

Change the Cloth

Example results on LOOKBOOK dataset(top), left is input, right is generated clothes. Results on a similar dataset (bottom). More results will be added soon.



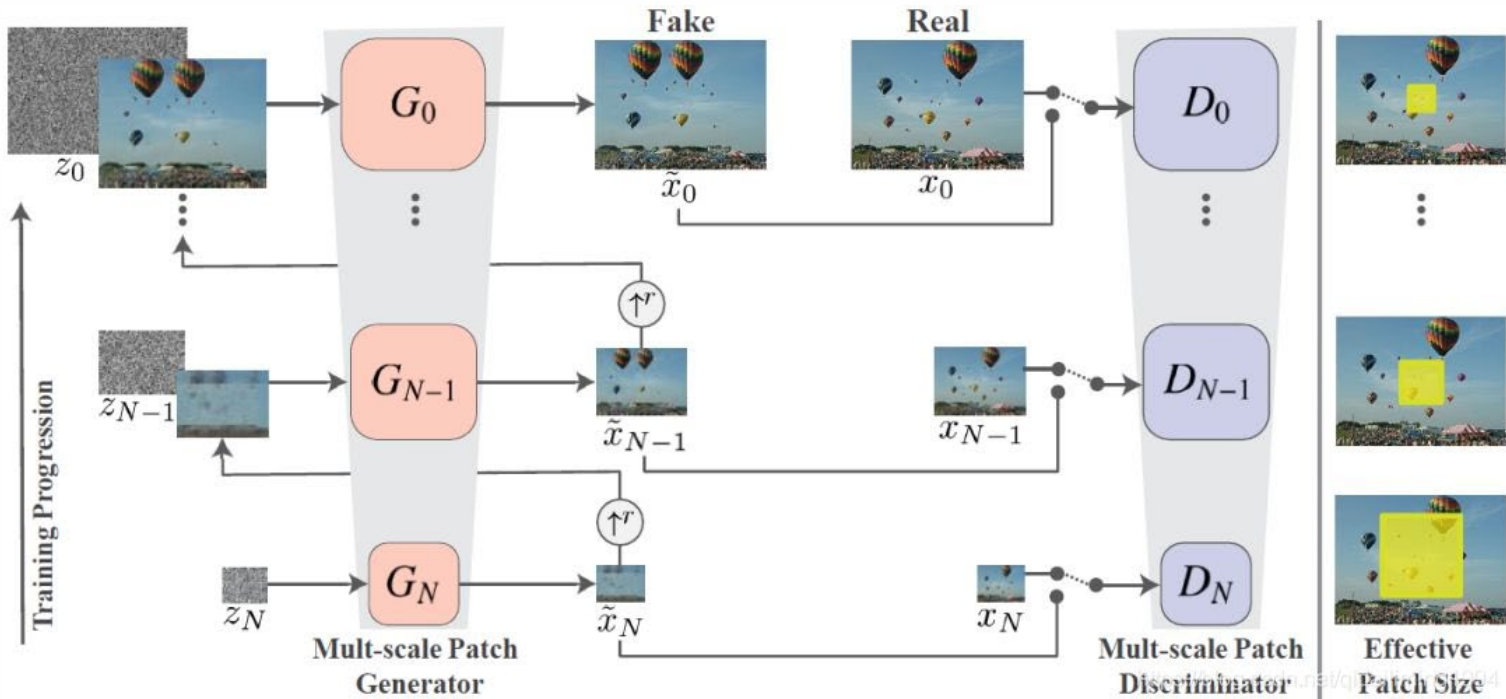
Source: <https://github.com/fxia22/PixelDTGAN>

2019: BigGAN



Brock et al., 2019

SinGAN, ICCV 2019.



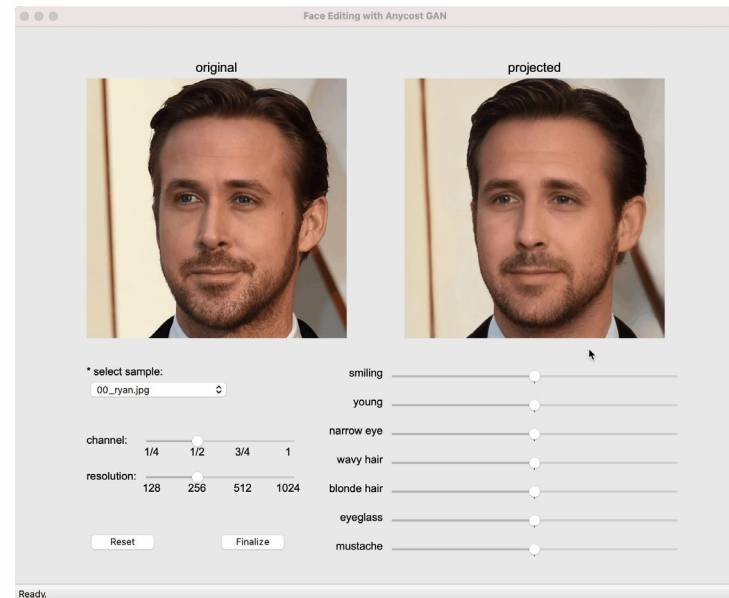
Shaham, Tamar Rott, Tali Dekel, and Tomer Michaeli. "Singan: Learning a generative model from a single natural image." *Proceedings of the IEEE/CVF International Conference on Computer Vision*. 2019.

DeepFake for Faces

■ StyleGANv2 [CVPR2020]



■ Anycost GAN [CVPR2021]



GAN-based Applications

- What application should GAN be used
 - Any task related to image synthesis (合成影像任務包含)
 - Image super-resolution
 - Discriminator can be used to judge its fidelity and resolution
 - Image translation
 - Discriminator can be used to identify its quality
 - Image segmentation
 - Discriminator can be used to tune generated segmentation map
 - Data argumentation
 - Discriminator can be used to check the fidelity of the simulated image
 - etc...

Rethinking GANs

- Is possible to fool a DNN by adding specified noises?
 - Adversarial attack



(a) Car



(b) Noise map



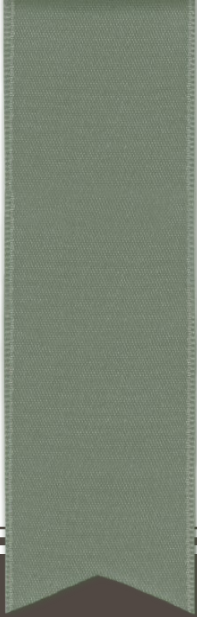
(c) Toaster

Adversarial Attack

- Cause model uninterpretable
 - Goodfellow given some reasons
 - Assume that a linear operation in NN is $\mathbf{z} = \mathbf{w}(\mathbf{x} + \mathbf{b})$
 - Consider that
 - $\mathbf{z} = \mathbf{w}(\mathbf{x} + \mathbf{b}) * \mathbf{d}$, where \mathbf{d} is the attack signal
 - When $\mathbf{w}\mathbf{d}$ is large
 - Attacking will be very effective
 - How to find \mathbf{d} ?
 - Yes, we can train it by supervised way
 - Similar to “Discriminator” does

GANs

- Don't work with an explicit density function
 - Take game-theoretic approach: learn to generate from training distribution through 2-player game
- Pros:
 - Beautiful, state-of-the-art samples!
- Cons:
 - Trickier / more unstable to train
 - Can't solve inference queries such as $p(x)$, $p(z|x)$
- Active areas of research:
 - Better loss functions, more stable training (Wasserstein GAN, LSGAN, many others)
 - Conditional GANs, GANs for all kinds of applications



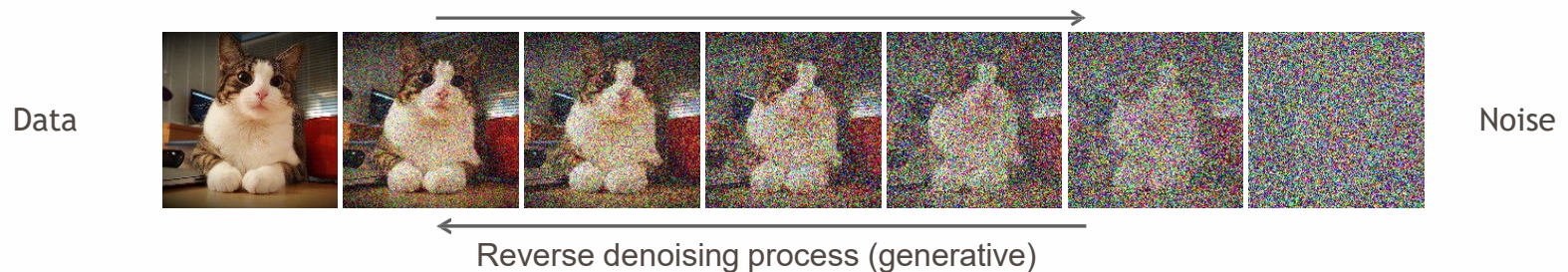
DENOISED DIFFUSION PROBABILISTIC MODELS

NPIS 2021

Denoising Diffusion Models

■ Learning to generate by denoising

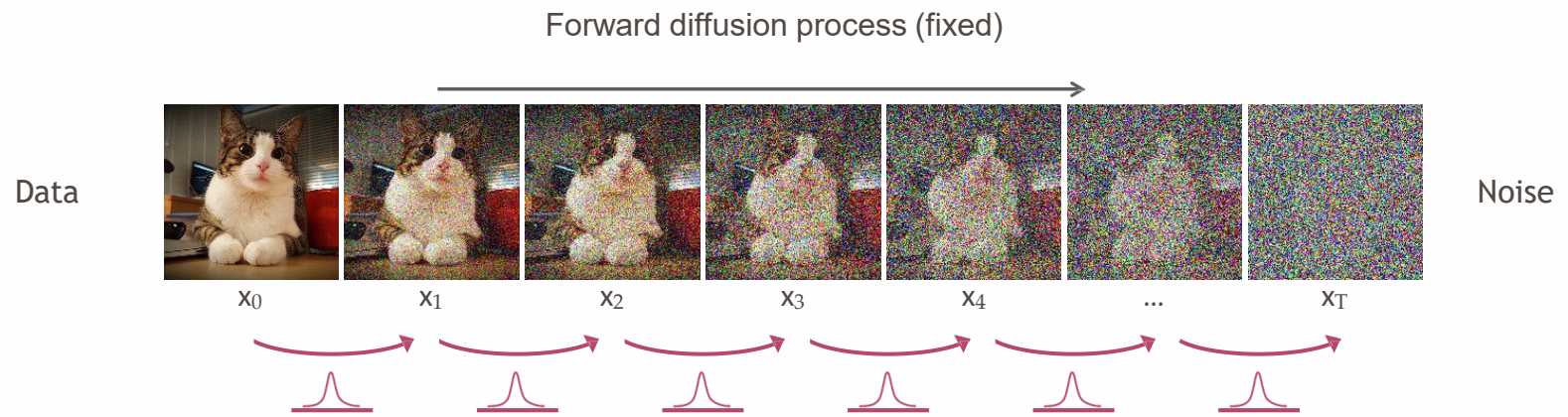
- Denoising diffusion models consist of two processes:
 - Forward diffusion process that gradually adds noise to input
 - Reverse denoising process that learns to generate data by denoising
- Forward diffusion process (fixed)



[Sohl-Dickstein et al., Deep Unsupervised Learning using Nonequilibrium Thermodynamics, ICML 2015](#)
[Ho et al., Denoising Diffusion Probabilistic Models, NeurIPS 2020](#)
[Song et al., Score-Based Generative Modeling through Stochastic Differential Equations, ICLR 2021](#)

Forward Diffusion Process

- The formal definition of the forward process in T steps:

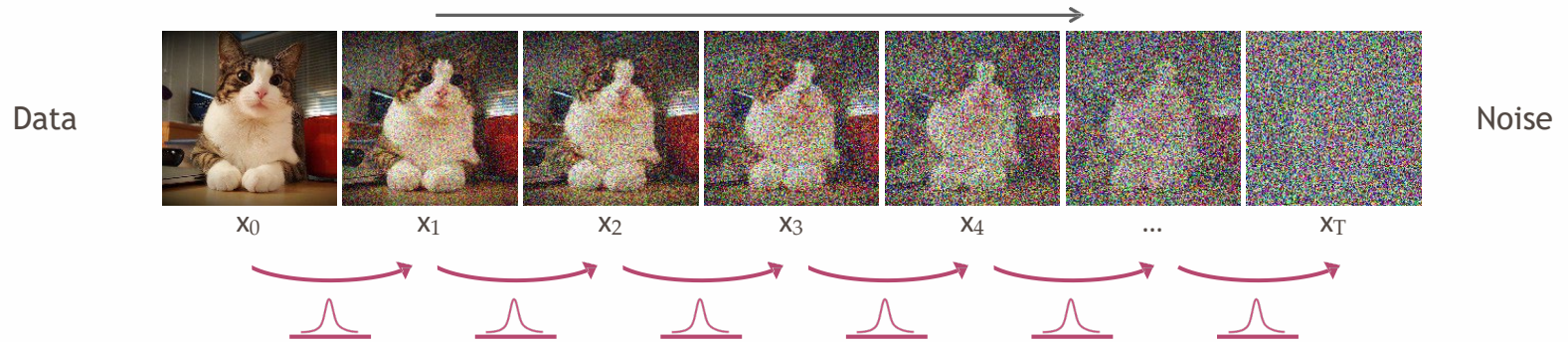


$$q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \underbrace{\sqrt{1 - \beta_t}}_{\text{mean}} \mathbf{x}_{t-1}, \underbrace{\beta_t \mathbf{I}}_{\text{variance}})$$

➡ Sample:

$$\epsilon_{t-1} \sim \mathcal{N}(0, \mathbf{I})$$

Diffusion Kernel



$$q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \underbrace{\sqrt{1 - \beta_t} \mathbf{x}_{t-1}}_{\text{mean}}, \underbrace{\beta_t \mathbf{I}}_{\text{variance}})$$

Sample: ➡

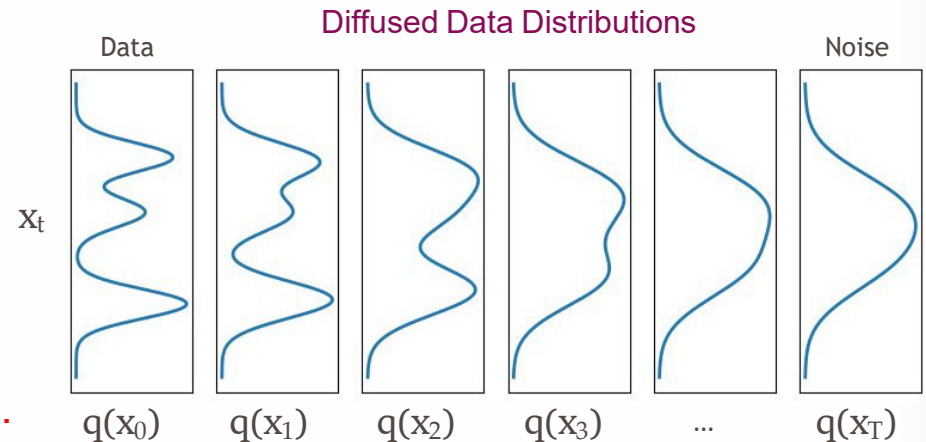
$$\epsilon_{t-1} \sim \mathcal{N}(0, \mathbf{I})$$

What happens to a distribution in the forward diffusion?

- So far, we discussed the diffusion kernel $q(\mathbf{x}_t|\mathbf{x}_0)$ but what about $q(\mathbf{x}_t)$

$$q(\mathbf{x}_t) = \int \underbrace{q(\mathbf{x}_0, \mathbf{x}_t)}_{\text{Joint dist.}} d\mathbf{x}_0 = \int \underbrace{q(\mathbf{x}_0)}_{\text{Input data dist.}} \underbrace{q(\mathbf{x}_t|\mathbf{x}_0)}_{\text{Diffusion kernel}} d\mathbf{x}_0$$

Diffused data dist. Joint dist. Input data dist. Diffusion kernel



The diffusion kernel is Gaussian convolution.

- We can sample $\mathbf{x}_t \sim q(\mathbf{x}_t)$ by first sampling $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ and then sampling $\mathbf{x}_t \sim q(\mathbf{x}_t|\mathbf{x}_0)$ (i.e., ancestral sampling).

Generative Learning by Denoising

- Recall, that the diffusion parameters are designed such that

$$q(\mathbf{x}_T) \approx \mathcal{N}(\mathbf{x}_T; \mathbf{0}, \mathbf{I})$$

- Generation:

- Sample $\mathbf{x}_T \sim \mathcal{N}(\mathbf{x}_T; \mathbf{0}, \mathbf{I})$

- Iteratively sample

$$\mathbf{x}_{t-1} \sim \underbrace{q(\mathbf{x}_{t-1}|\mathbf{x}_t)}_{\text{True Denoising Dist.}}$$

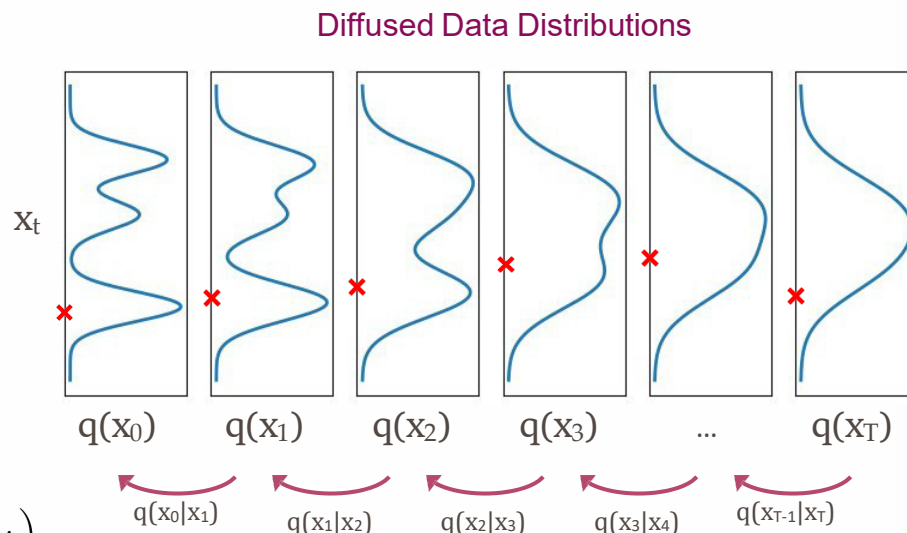
- In general,

$$q(\mathbf{x}_{t-1}|\mathbf{x}_t) \propto q(\mathbf{x}_{t-1})q(\mathbf{x}_t|\mathbf{x}_{t-1})$$

- is intractable.

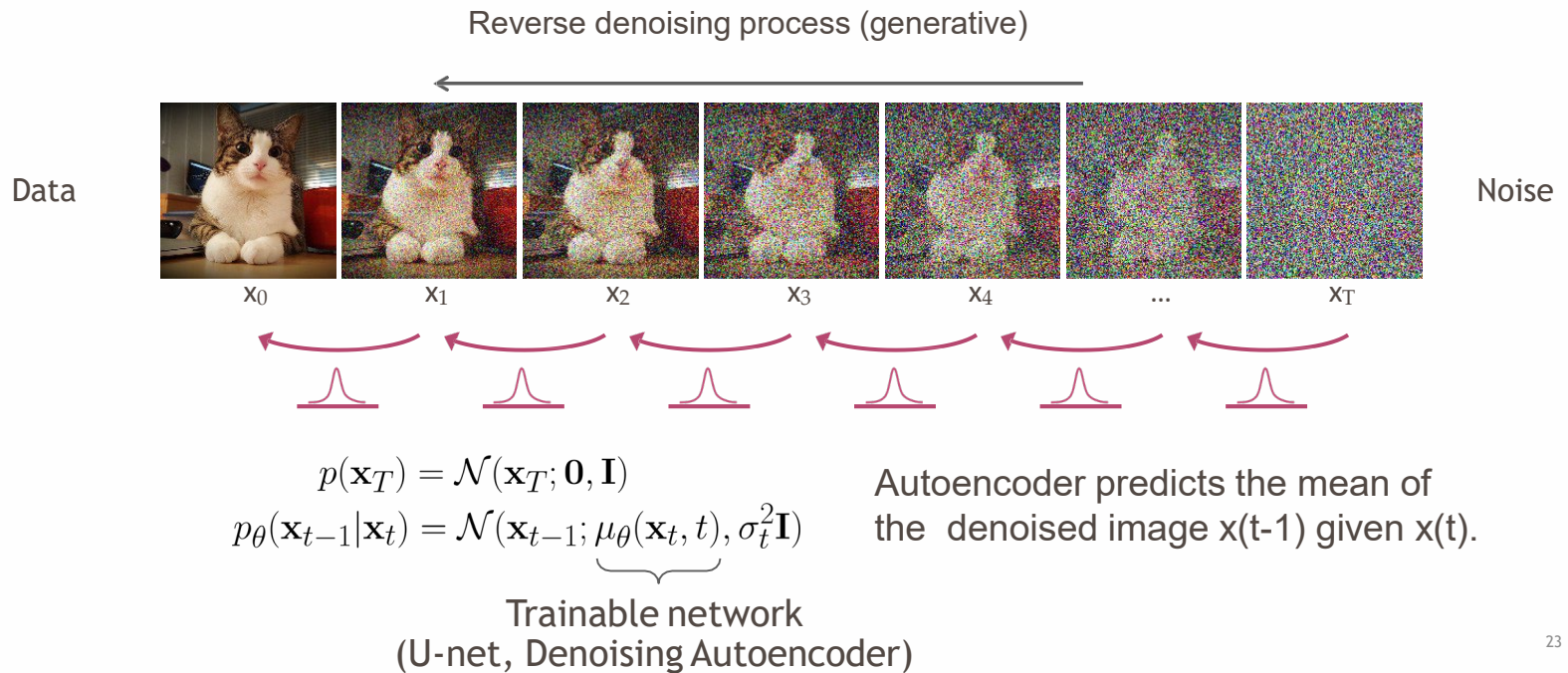
- Can we approximate $q(\mathbf{x}_{t-1}|\mathbf{x}_t)$

- Yes, we can use a **Normal distribution** if β_t is small in each forward diffusion step.



Reverse Denoising Process

- Formal definition of forward and reverse processes in T steps:



How do we train? (summary version)

What is the loss function? ([Ho et al. NeurIPS 2020](#))

$$L_{\text{simple}} = \mathbb{E}_{\mathbf{x}_0 \sim q(\mathbf{x}_0), \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), t \sim \mathcal{U}(1, T)} \left[\left\| \epsilon - \underbrace{\epsilon_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)}_{\mathbf{x}_t} \right\|^2 \right]$$

Algorithm 1 Training

- 1: **repeat**
 - 2: $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
 - 3: $t \sim \text{Uniform}(\{1, \dots, T\})$
 - 4: $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
 - 5: Take gradient descent step on
 $\nabla_{\theta} \left\| \epsilon - \epsilon_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t) \right\|^2$
 - 6: **until** converged
-

U-Net autoencoder takes $x(t)$ as input and simply predict a noise. The goal of the training is to generate a noise pattern that is unit normal. Very similar to VAE, right?

Summary

■ Training and Sample Generation

Algorithm 1 Training

- 1: **repeat**
 - 2: $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
 - 3: $t \sim \text{Uniform}(\{1, \dots, T\})$
 - 4: $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
 - 5: Take gradient descent step on
$$\nabla_{\theta} \left\| \boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}; t) \right\|^2$$
 - 6: **until** converged
-

Algorithm 2 Sampling

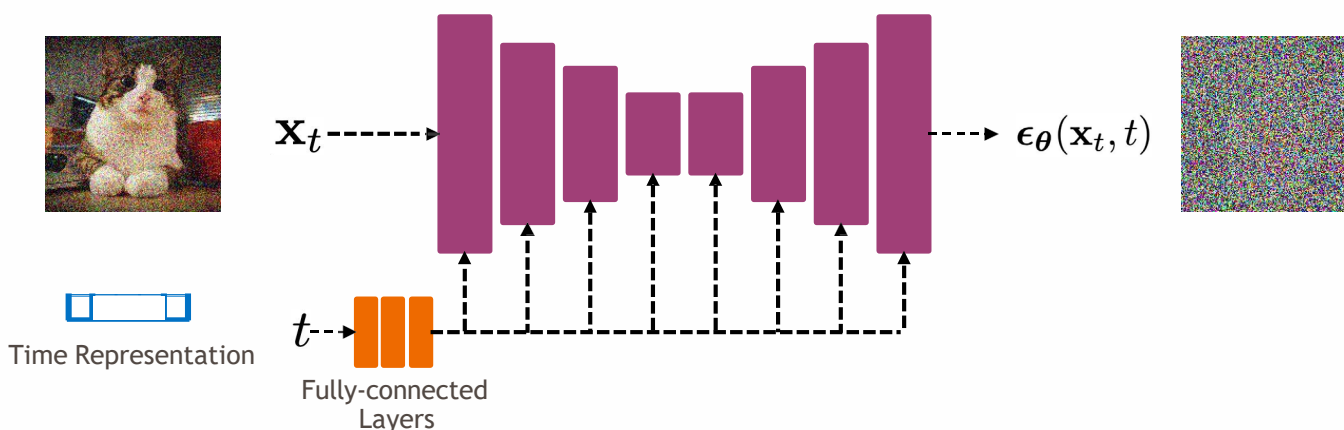
- 1: $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
 - 2: **for** $t = T, \dots, 1$ **do**
 - 3: $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
 - 4: $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left(\mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$
 - 5: **end for**
 - 6: **return** \mathbf{x}_0
-

Intuitively: During forward process we add noise to image. During reverse process we try to predict that noise with a U-Net and then subtract it from the image to denoise it.

Implementation Considerations

■ Network Architectures

- Diffusion models often use U-Net architectures with ResNet blocks and self-attention layers to represent $\epsilon_{\theta}(\mathbf{x}_t, t)$

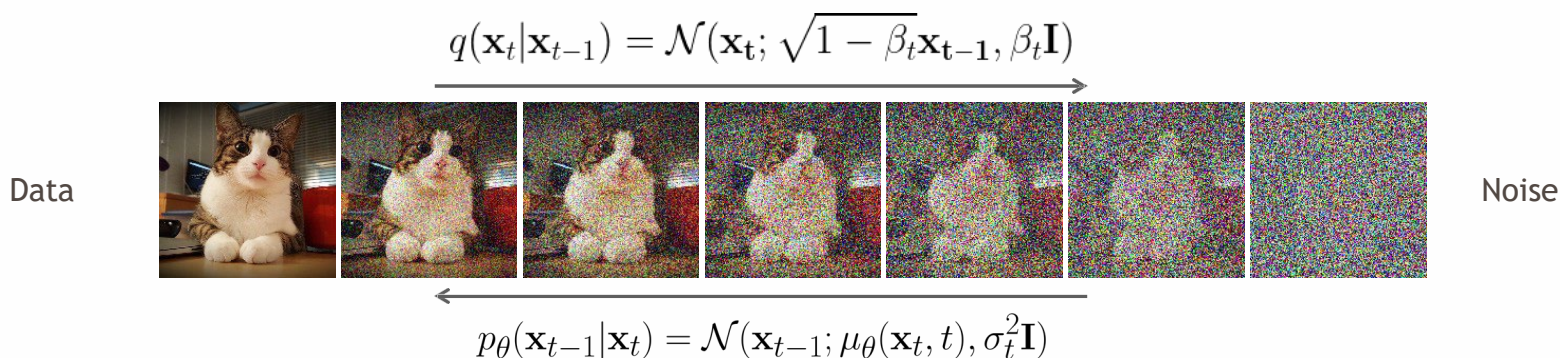


Time representation: sinusoidal positional embeddings or random Fourier features.

Time features are fed to the residual blocks using either simple spatial addition or using adaptive group normalization layers. (see [Dhariwal and Nichol NeurIPS 2021](#))

Diffusion Parameters

■ Noise Schedule



Above, β_t and σ_t^2 control the variance of the forward diffusion and reverse denoising processes respectively.

Often a linear schedule is used for β_t , and σ_t^2 is set equal to β_t . Slowly increase the amount of added noise.

[Kingma et al. NeurIPS 2022](#) introduce a new parameterization of diffusion models using signal-to-noise ratio (SNR), and show how to learn the noise schedule by minimizing the variance of the training objective.

We can also train σ_t^2 while training the diffusion model by minimizing the variational bound ([Improved DPM by Nichol and Dhariwal ICML 2021](#)) or after training the diffusion model ([Analytic-DPM by Bao et al. ICLR 2022](#)).

What happens to an image in the forward diffusion process?

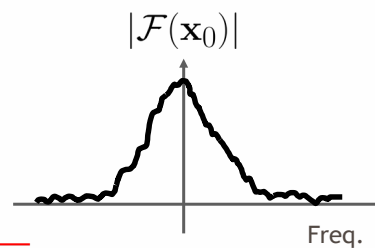
- Recall that sampling from $q(\mathbf{x}_t|\mathbf{x}_0)$ is done using

$$\mathbf{x}_t = \sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{(1 - \bar{\alpha}_t)} \epsilon \quad \text{where } \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

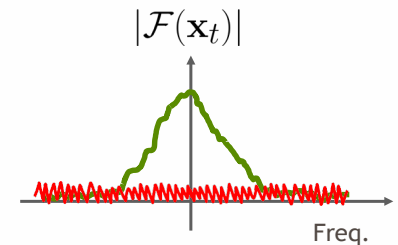
$$\mathbf{x}_t = \sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{(1 - \bar{\alpha}_t)} \epsilon$$

Fourier Transform

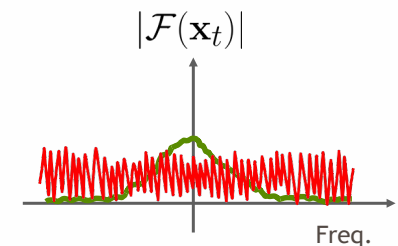
$$\mathcal{F}(\mathbf{x}_t) = \sqrt{\bar{\alpha}_t} \mathcal{F}(\mathbf{x}_0) + \sqrt{(1 - \bar{\alpha}_t)} \mathcal{F}(\epsilon)$$



Small t
 $\bar{\alpha}_t \sim 1$



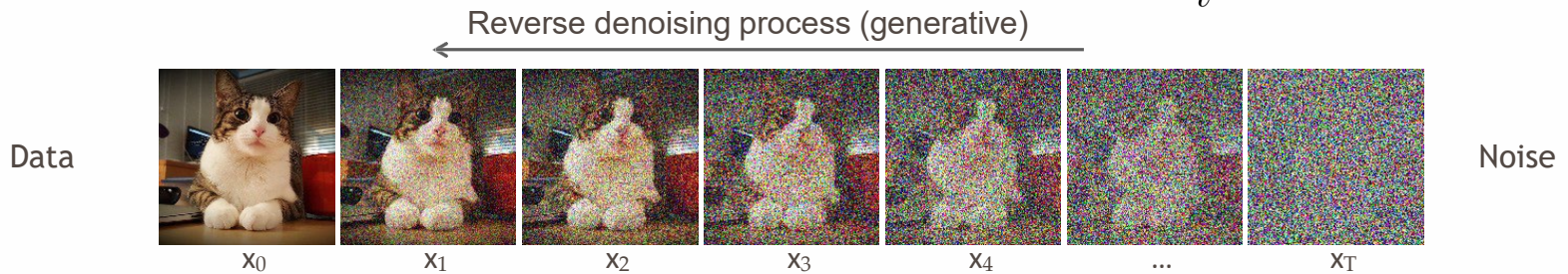
Large t
 $\bar{\alpha}_t \sim 0$



- In the forward diffusion, the high frequency content is perturbed faster.**

Content-Detail Tradeoff

$$L_{\text{simple}} = \mathbb{E}_{\mathbf{x}_0 \sim q(\mathbf{x}_0), \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), t \sim \mathcal{U}(1, T)} \left[\left\| \epsilon - \underbrace{\epsilon_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)}_{\mathbf{x}_t} \right\|^2 \right]$$



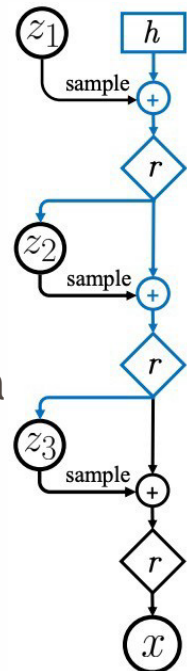
The denoising model is specialized for generating the high-frequency content (i.e., low-level details)

The denoising model is specialized for generating the low-frequency content (i.e., coarse content)

The weighting of the training objective for different timesteps is important!

Connection to VAEs

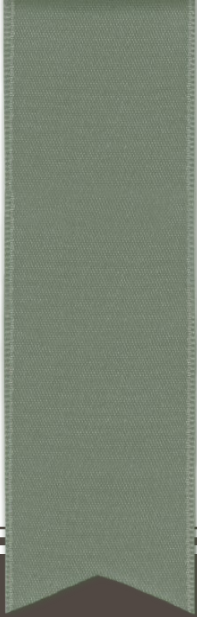
- Diffusion models can be considered as a special form of hierarchical VAEs.
- However, in diffusion models:
 - The encoder is fixed
 - The latent variables have the same dimension as the data
 - The denoising model is shared across different timestep
 - The model is trained with some reweighting of the variationa bound.



[Vahdat and Kautz, NVAE: A Deep Hierarchical Variational Autoencoder, NeurIPS 2020](#) [Sønderby, et al., Ladder variational autoencoders, NeurIPS 2016.](#)

Summary: Denoising Diffusion Probabilistic Models

- Diffusion process can be reversed if the variance of the Gaussian noise added at each step of the diffusion is small enough.
- To reverse the process we train a U-Net that takes input: current noisy image and timestamp, and predicts the noise map..
- Training goal is to make sure that the predicted noise map at each step is unit gaussian (Note that in VAE we also required the latent space to be unit gaussian).
- During sampling/generation, subtract the predicted noise from the noisy image at time t to generate the image at time $t-1$ (with some weighting).
- The devil is in the details:
 - Network architectures
 - Objective weighting
 - Diffusion parameters (i.e., noise schedule)



UNSUPERVISED LEARNING?

DIP

Background and Motivation

- State-of-the-art ConvNets for image restoration and generation are almost invariably trained on large datasets of images. One may thus assume that their excellent performance is due to their ability to learn realistic image priors from a large number of example images.
- However, learning alone is insufficient to explain the good performance of deep networks.
- Recent research has shown that generalization requires the structure of the network to “resonate” with the structure of the data.

What did they do?

- In this paper, they show that, contrary to the belief that learning is necessary for building good image priors, a great deal of image statistics are captured by the structure of a convolutional image generator independent of learning.
- They cast reconstruction as a conditional image generation problem and show that the only information required to solve it is contained in the single degraded input image and the handcrafted structure of the network used for reconstruction.
- Instead of trying to beat the state-of-art neural networks, they try to show the structure of the network imposes strong prior.

Result

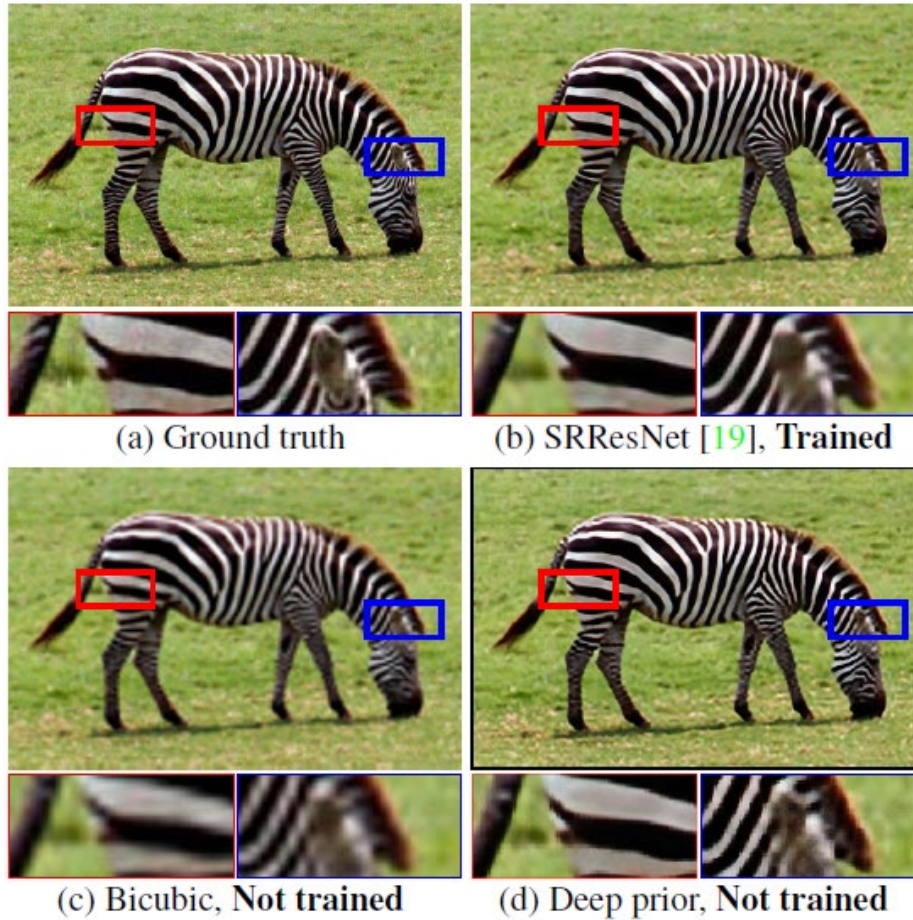


Image restoration - Method

- x – Clean image
- \hat{x} – Corrupted/degraded image (observed)
- x^* - Restored image

- Degradation for denoising:
 - $\hat{x} = x + \epsilon, \epsilon \in N(0, \sigma^2)$
- Restoration Model:
 - $x^* = \arg \max_x p(x|\hat{x}) = \arg \max_x \underbrace{p(\hat{x}|x)}_{\text{likelihood}} \underbrace{p(x)}_{\text{prior}}$

- If there is no preference for prior, the prior will be a constant. Then,
 - $x^* = \arg \max_x p(\hat{x}|x) = \arg \max_x N(\hat{x}; x, \sigma^2) = \hat{x}$
 - => the best estimation of the clean image is the corrupted image

-
-
- x – Clean image
 - \hat{x} – Corrupted image (observed)
 - x^* - Restored image

- $x^* = \arg \max_x p(x|\hat{x}) = \arg \max_x p(\hat{x}|x)p(x)$

- $= \arg \min_x -\log p(\hat{x}|x) - \log p(x)$

- Expressed as energy minimization problem:

- $x^* = \arg \min_x E(x, \hat{x}) + R(x)$

- where $E(x, \hat{x})$ is a task-dependent data term, $R(x)$ is a regularizer

- For example:

- $x^* = \arg \max_x p(\hat{x}|x) = \arg \max_x N(\hat{x}; x, \sigma^2) = \arg \min_x \|x - \hat{x}\|^2$

Deep Image Prior

- \hat{x} – Corrupted image (observed)

- Parametrization:

- Interpreting the neural network as a parametrization

-

Fixed input z

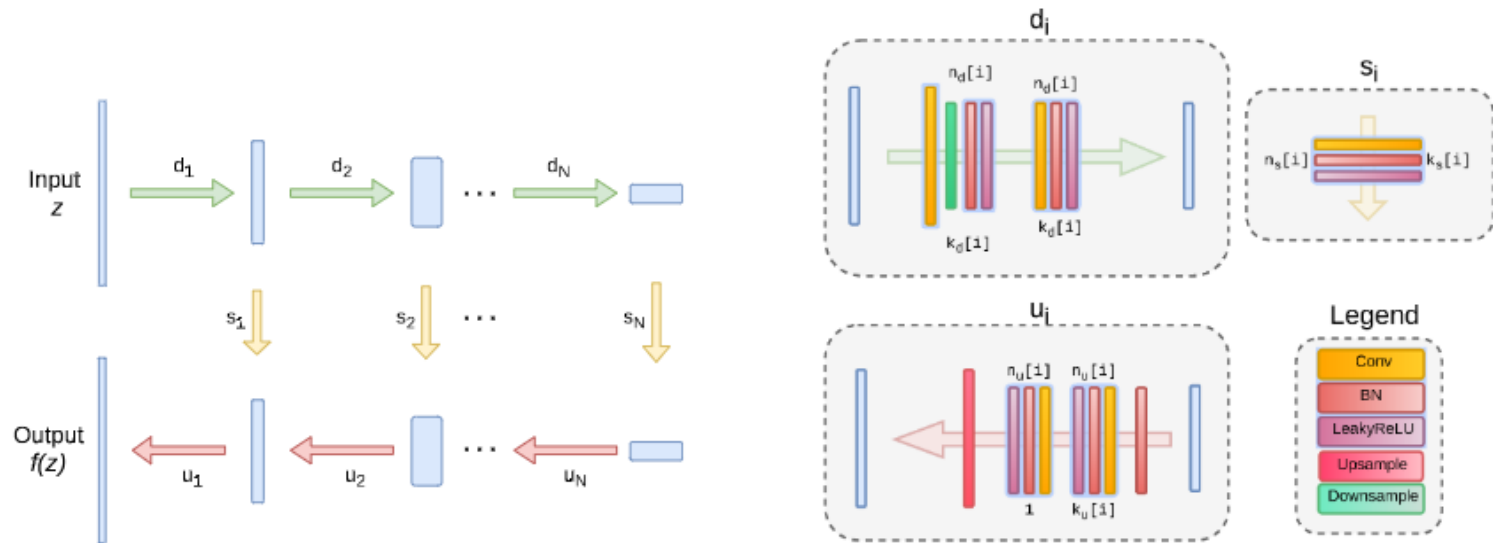
-

$$x \equiv f_{\theta}(z)$$

Convolutional network with
parameter θ

- In particular, most of their experiments are performed using a U-Net type “hourglass” architecture (also known as “decoder-encoder”) with skip-connections, where z and x have the same spatial size.

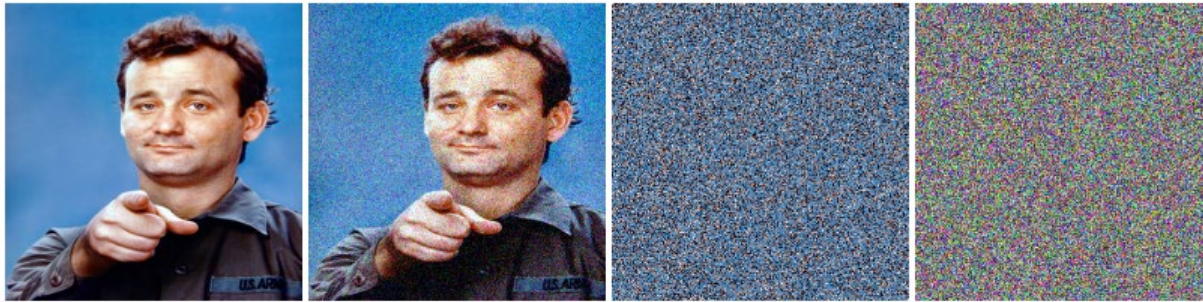
Architecture used in the experiments



Deep Image Prior step by step

- \hat{x} – Corrupted image (observed)
- x^* - Restored image

- 1. initialize z
 - For example fill it with uniform noise $U(-1, 1)$
- 2. Solve $\theta^* = \arg \min_{\theta} E(f_{\theta}(z); \hat{x})$
 - With any favorite gradient-based method
 - $\theta^{k+1} = \theta^k - \alpha \frac{\partial E(f_{\theta}(z); \hat{x})}{\partial \theta};$
- 3. Get the solution
- $x^* = f_{\theta^*}(z)$



(a) Image

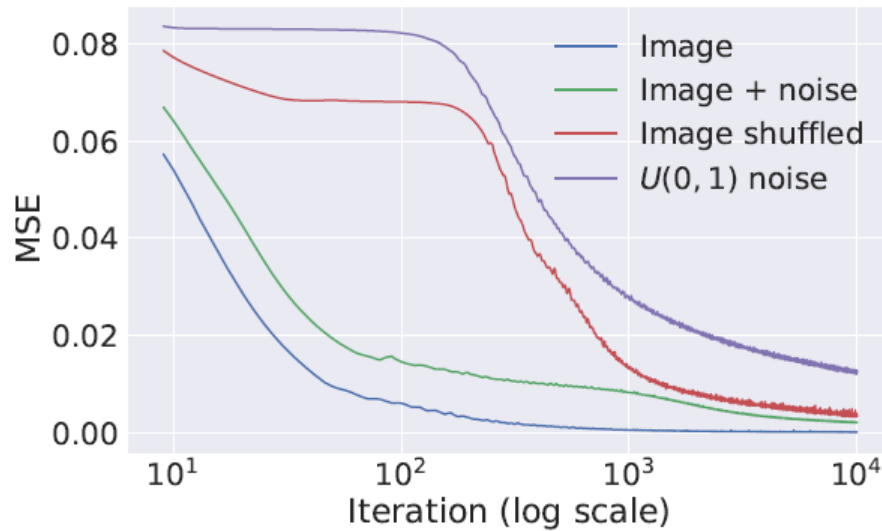
(b) Image + noise

(c) Image shuffled

(d) $U(0, 1)$ noise

pedance to

- They restrict the number of iterations in the optimization process to a certain number of iterations.



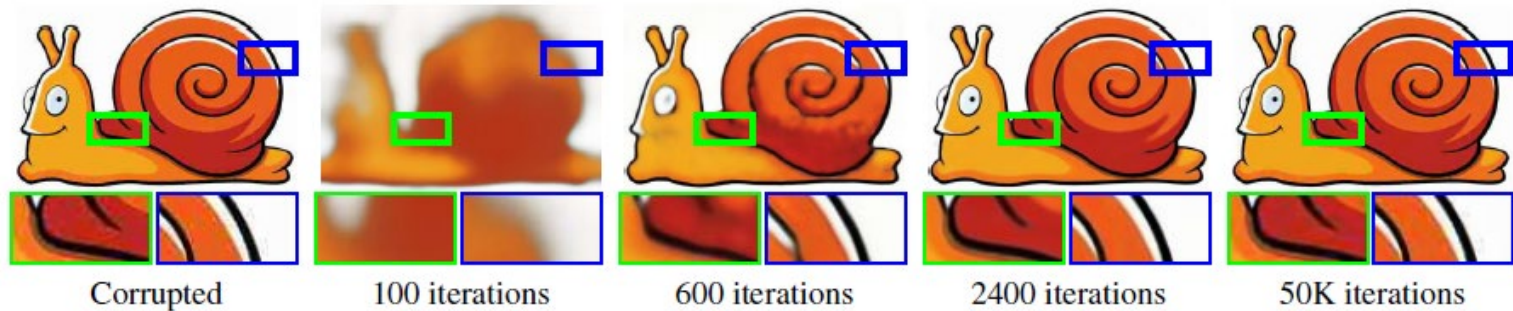
Data term

- x – Clean image
- \hat{x} – Corrupted image (observed)
- m - Binary mask

- Objective: $\theta^* = \arg \min_{\theta} E(f_{\theta}(z); \hat{x})$
- Denoising: $E(x, \hat{x}) = \|x - \hat{x}\|^2$ Needs early stopping!
- Inpainting: $E(x, \hat{x}) = \|(x - \hat{x}) \odot m\|^2$, where \odot is Hadamard's product, m is binary mask
- Super-resolution: $E(x, \hat{x}) = \|d(x) - \hat{x}\|^2$, where $d(\cdot)$ is a downsampling operator to resize the image
- Feature-inv: $E(x, \hat{x}) = \|\phi(x) - \phi(\hat{x})\|^2$, where ϕ is the first several layers of a neural network trained to perform

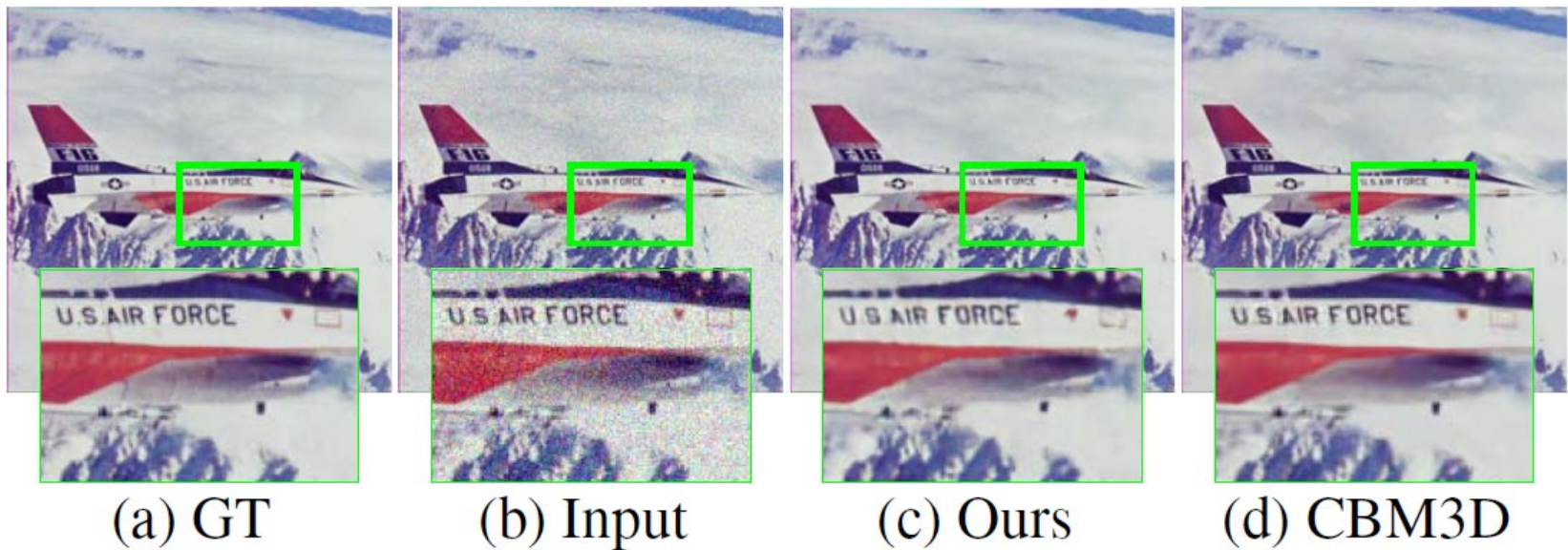
Experiments

- Denoising and generic reconstruction
- Deep Image Prior approach can restore an image with a complex degradation (JPEG compression in this case). As the optimization process progresses, the deep image prior allows to recover most of the signal while getting rid of halos and blockiness (after 2400 iterations) before eventually overfitting to the input (at 50K iterations).





-
-
- The deep image prior is successful at recovering both man-made and natural patterns.



Super-resolution

- use a scaling factor of 4 to compare to other works
- fix the number of optimization steps to be 2000 for every image



(a) Original image

(b) Bicubic, Not trained

(c) Ours, Not trained

(d) LapSRN, Trained

(e) SRResNet, Trained

Inpainting

Text inpainting

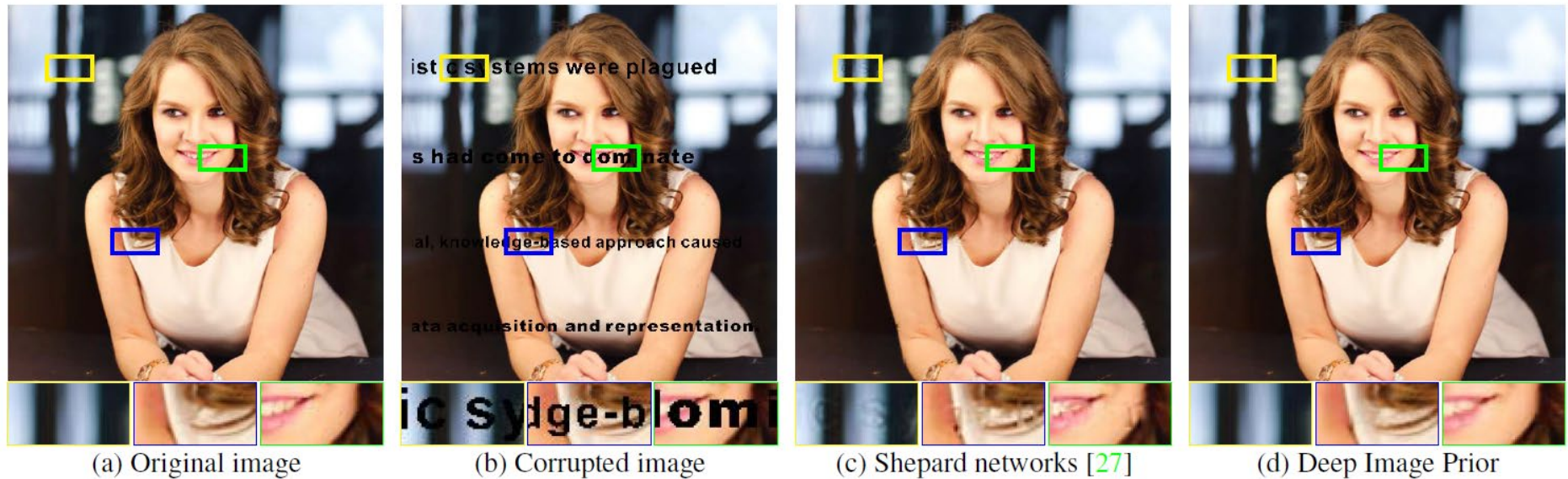
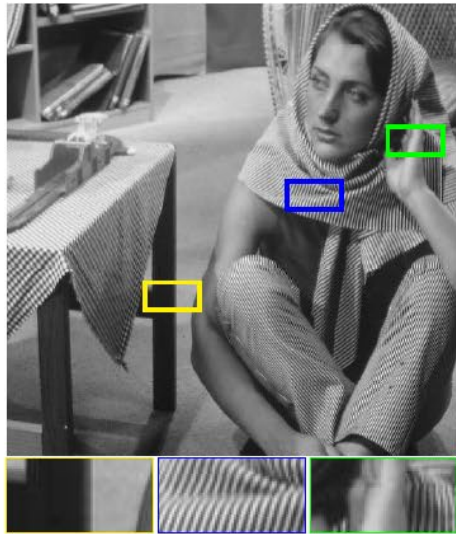
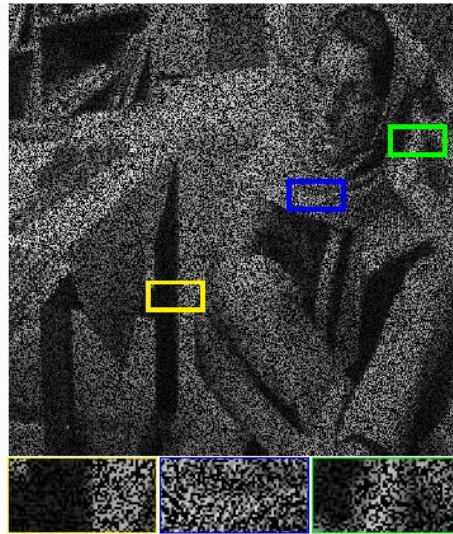


Image restoration

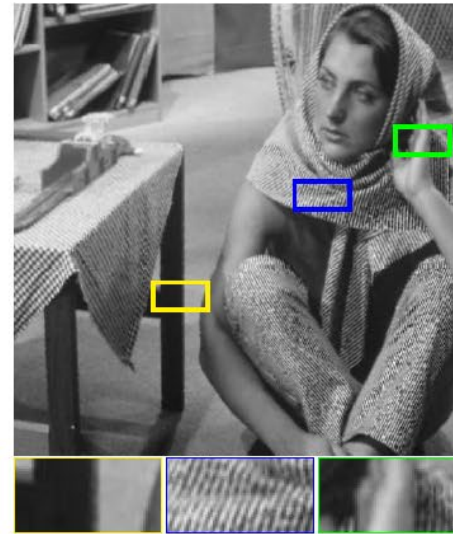
- sampled to drop 50% of pixels at random
- g is the result from comparison with Shepard networks



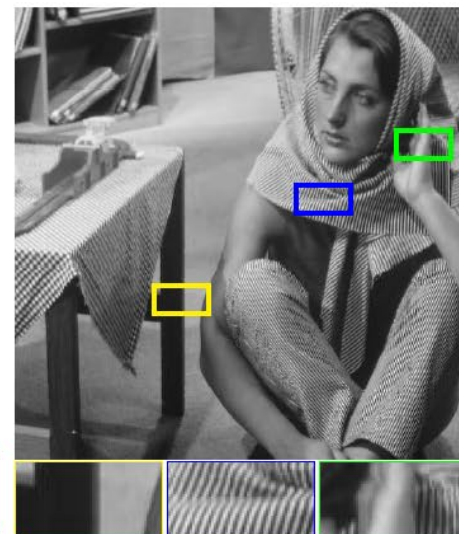
(e) Original image



(f) Corrupted image



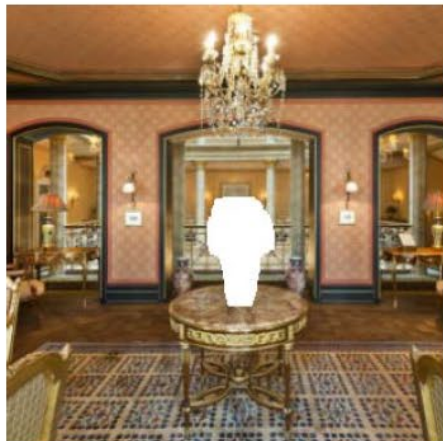
(g) [25], PSNR = 28.1



(h) Deep Img. Prior, PSNR = 32.22

Inpainting of large holes

- The deep image prior utilizes context of the image and interpolates the unknown region with textures from the known part. Such behaviour highlights the relation between the deep image prior and traditional self-similarity priors



(a) Corrupted image



(b) Global-Local GAN [15]



(c) Ours, LR = 0.01



(d) Ours, LR = 10^{-4}

Inpainting of large holes

- Inpainting using different depths and architectures.
- The figure shows that much better inpainting results can be obtained by using deeper random networks. However, adding skip connections to ResNet in U-Net is highly detrimental.



(a) Input (white=masked)



(b) Encoder-decoder, depth=6



(c) Encoder-decoder, depth=4



(d) Encoder-decoder, depth=2



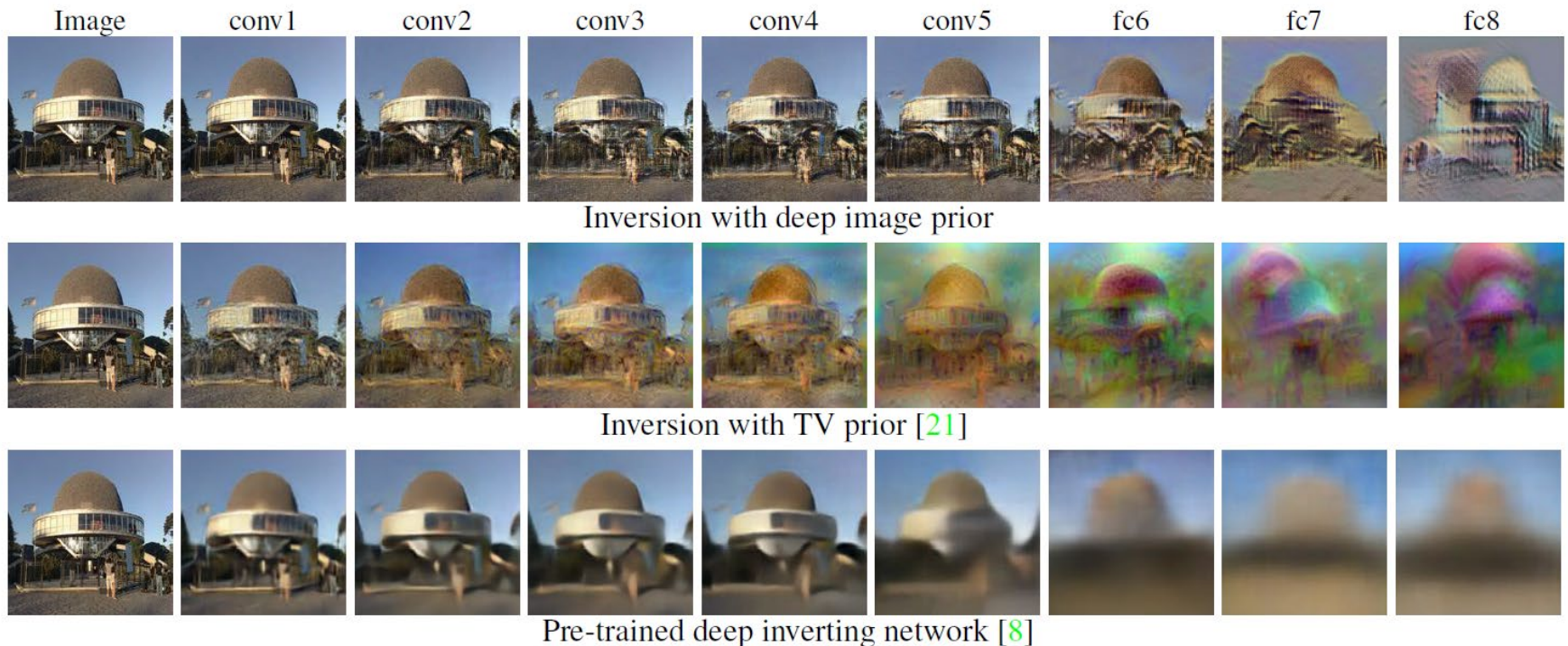
(e) ResNet, depth=8



(f) U-Net, depth=5

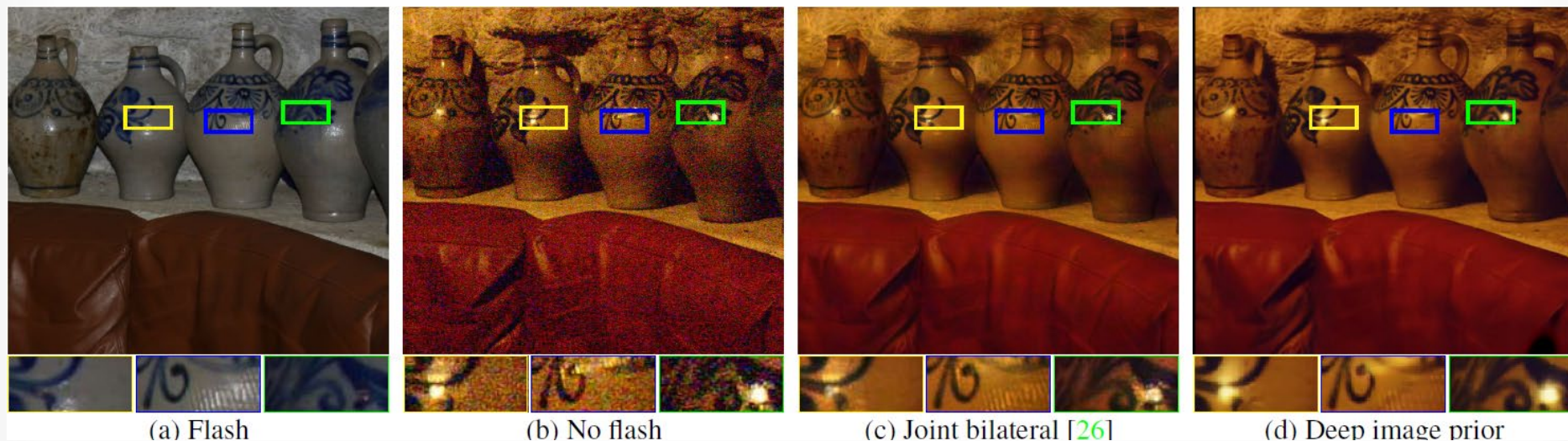
Feature-inversion (AlexNet Inversion)

- Given the image on the left, it shows the natural pre-image obtained by inverting different layers of AlexNet using three different regularizers.
- The deep image prior results in inversions at least as interpretable as the ones of [8].



Flash/No Flash

- The proposed approach can be extended to the tasks of the restoration of multiple images
- The flash-no flash image pair-based restoration is to obtain an image of a scene with the lighting similar to a no-flash image, while using the flash image as a guide to reduce the noise level.
- The deep image prior allows to obtain low-noise reconstruction with the lighting very close to the no-flash image.





APPLICATIONS
IMAGE SYNTHESIS, CONTROLLABLE GENERATION,
TEXT-TO-IMAGE

GLIDE OpenAI

- A 64x64 base model + a 64x64 \rightarrow 256x256 super-resolution model.
- Tried classifier-free and CLIP guidance. Classifier-free guidance



“a hedgehog using a calculator”



“a corgi wearing a red bowtie and a purple party hat”



“robots meditating in a vipassana retreat”



“a fall landscape with a small cottage next to a lake”

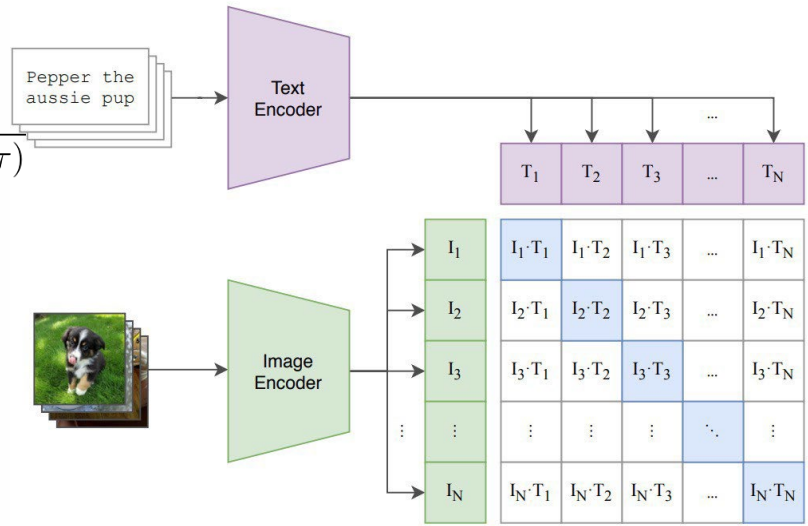
Samples generated with classifier-free guidance (256x256)

[Nichol et al., “GLIDE: Towards Photorealistic Image Generation and Editing with Text-Guided Diffusion Models”, 2021.](#)

CLIP guidance

- What is a CLIP model?
- Trained by contrastive cross-entropy loss:

$$-\log \frac{\exp(f(\mathbf{x}_i) \cdot g(\mathbf{c}_j)/\tau)}{\sum_k \exp(f(\mathbf{x}_i) \cdot g(\mathbf{c}_k)/\tau)} - \log \frac{\exp(f(\mathbf{x}_i) \cdot g(\mathbf{c}_j)/\tau)}{\sum_k \exp(f(\mathbf{x}_k) \cdot g(\mathbf{c}_j)/\tau)}$$



- The optimal value of $f(\mathbf{x}) \cdot g(\mathbf{c})$ is

$$\log \frac{p(\mathbf{x}, \mathbf{c})}{p(\mathbf{x})p(\mathbf{c})} = \log p(\mathbf{c}|\mathbf{x}) - \log p(\mathbf{c})$$

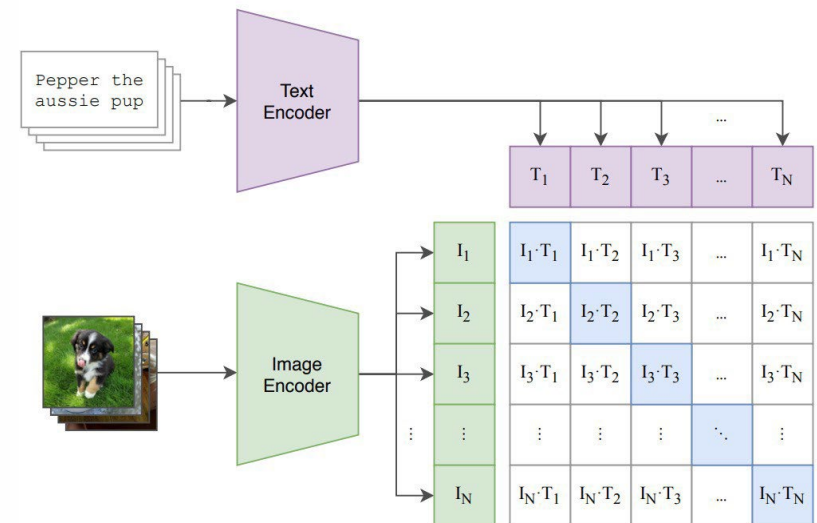
[Radford et al., "Learning Transferable Visual Models From Natural Language Supervision", 2021.](#)
[Nichol et al., "GLIDE: Towards Photorealistic Image Generation and Editing with Text-Guided Diffusion Models", 2021.](#)

CLIP guidance

- Replace the classifier in classifier guidance with a CLIP model

- Sample with a modified score:

$$\begin{aligned} & \nabla_{\mathbf{x}_t} [\log p(\mathbf{x}_t | \mathbf{c}) + \omega \log p(\mathbf{c} | \mathbf{x}_t)] \\ &= \nabla_{\mathbf{x}_t} [\log p(\mathbf{x}_t | \mathbf{c}) + \omega \underbrace{(\log p(\mathbf{c} | \mathbf{x}_t) - \log p(\mathbf{c}))}_{\text{CLIP model}}] \\ &= \nabla_{\mathbf{x}_t} [\log p(\mathbf{x}_t | \mathbf{c}) + \omega (f(\mathbf{x}_t) \cdot g(\mathbf{c}))] \end{aligned}$$



[Radford et al., “Learning Transferable Visual Models From Natural Language Supervision”, 2021.](#)

[Nichol et al., “GLIDE: Towards Photorealistic Image Generation and Editing with Text-Guided Diffusion Models”, 2021.](#)

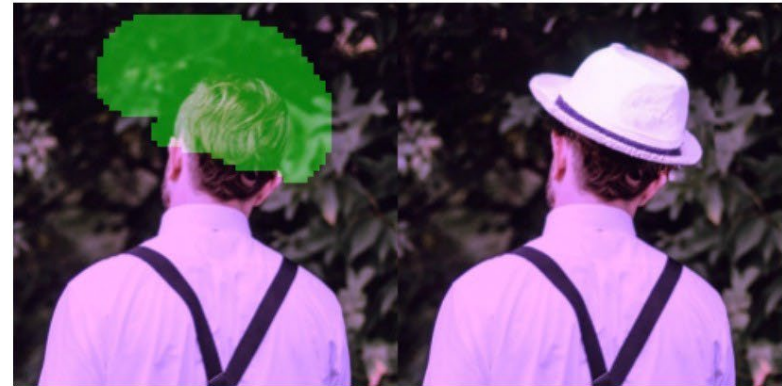
GLIDE

OpenAI

- Fine-tune the model especially for inpainting: feed randomly occluded images with an additional mask channel as the input.



“an old car in a snowy forest”



“a man wearing a white hat”

Text-conditional image inpainting examples

[Nichol et al., “GLIDE: Towards Photorealistic Image Generation and Editing with Text-Guided Diffusion Models”, 2021.](#)

DALL·E 2

■ OpenAI



a shiba inu wearing a beret and black turtleneck



a close up of a handpalm with leaves growing from it

1kx1k Text-to-image generation.

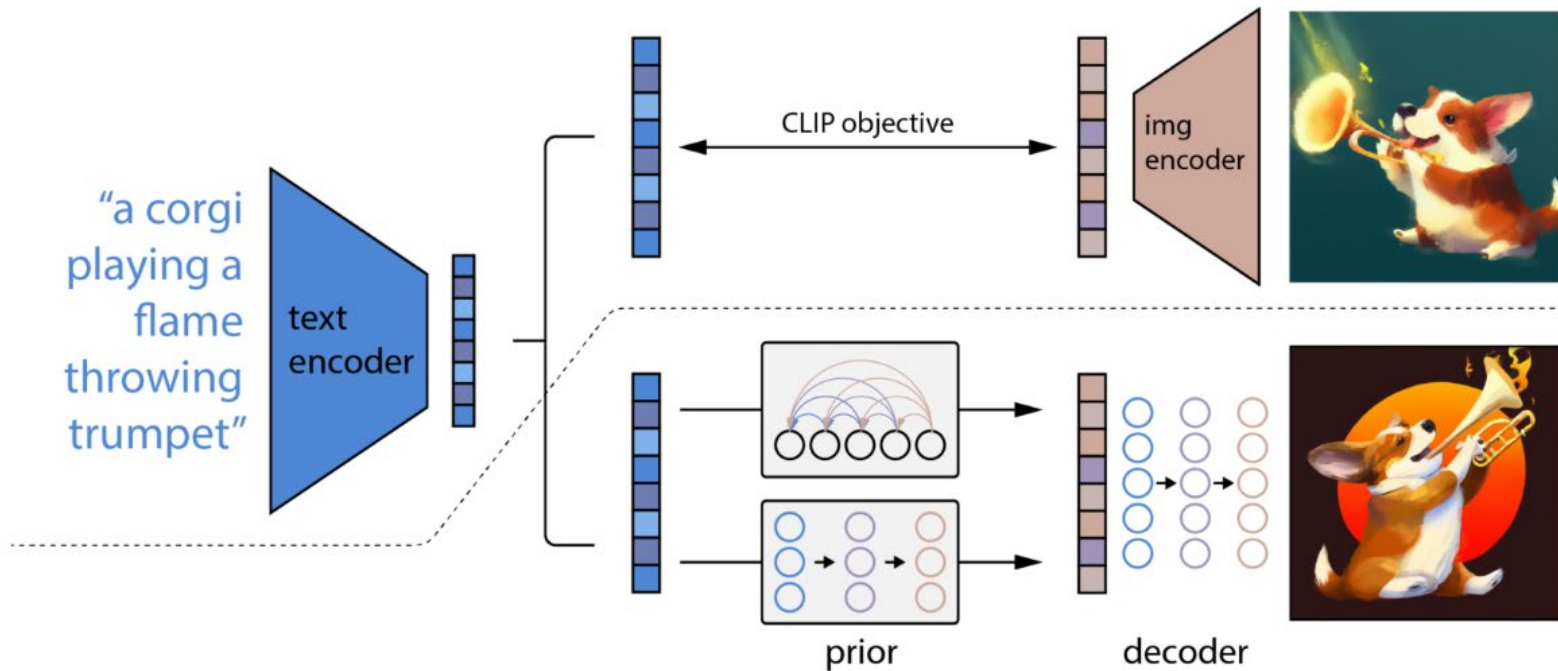
Outperform DALL-E (autoregressive transformer).

[Ramesh et al., "Hierarchical Text-Conditional Image Generation with CLIP Latents", arXiv 2022.](#)

DALL·E 2

Model components

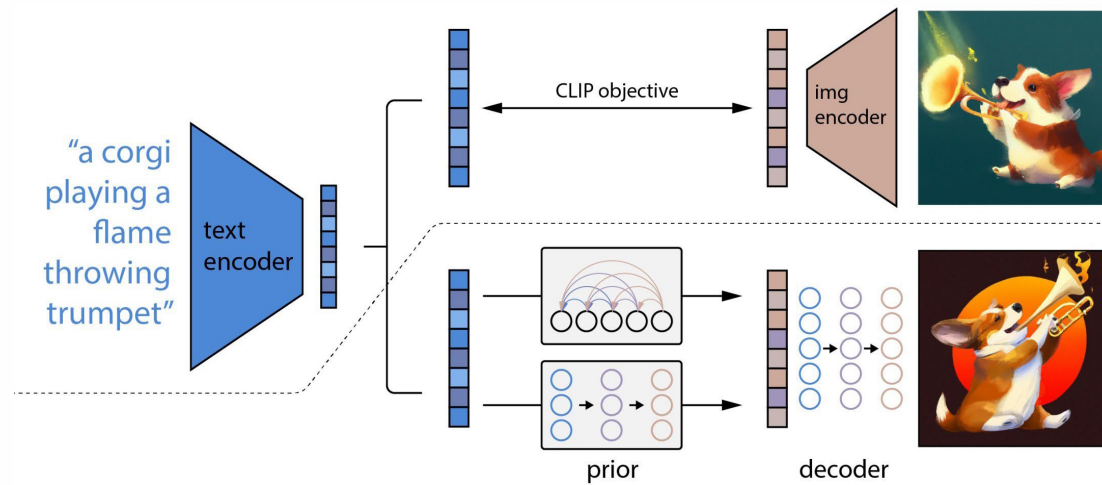
- Prior: produces CLIP image embeddings conditioned on the caption.
- Decoder: produces images conditioned on CLIP image embeddings and text.



DALL·E 2

Model components

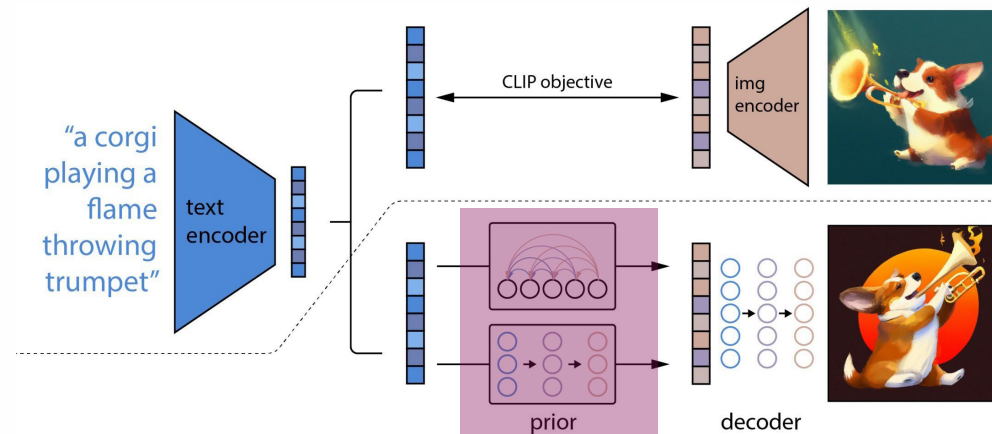
- Why conditional on CLIP image embeddings?
 - CLIP image embeddings capture high-level semantic meaning.
 - Latents in the decoder model take care of the rest.
 - The bipartite latent representation enables several text-guided image manipulation tasks.



DALL·E 2

Model components (1/2): prior model

- Prior: produces CLIP image embeddings conditioned on the caption.
 - Option 1. autoregressive prior: quantize image embedding to a seq. of discrete codes and predict them autoregressively.
 - Option 2. diffusion prior: model the continuous image embedding by diffusion models conditioned on caption.

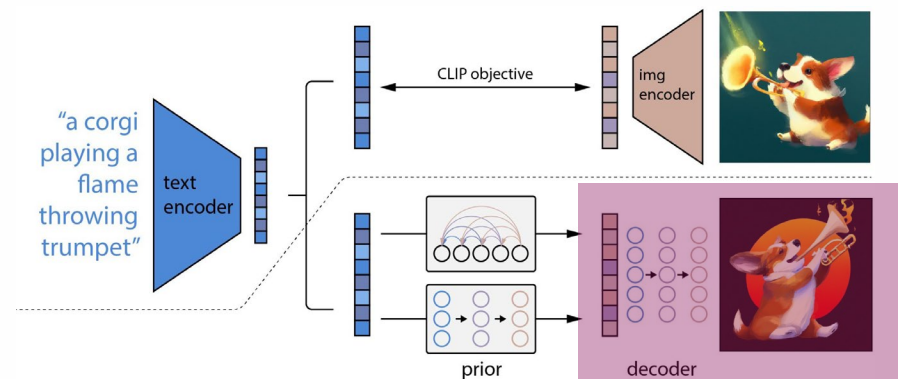


[Ramesh et al., "Hierarchical Text-Conditional Image Generation with CLIP Latents", arXiv 2022.](#)

DALL·E 2

Model components (2/2): decoder model

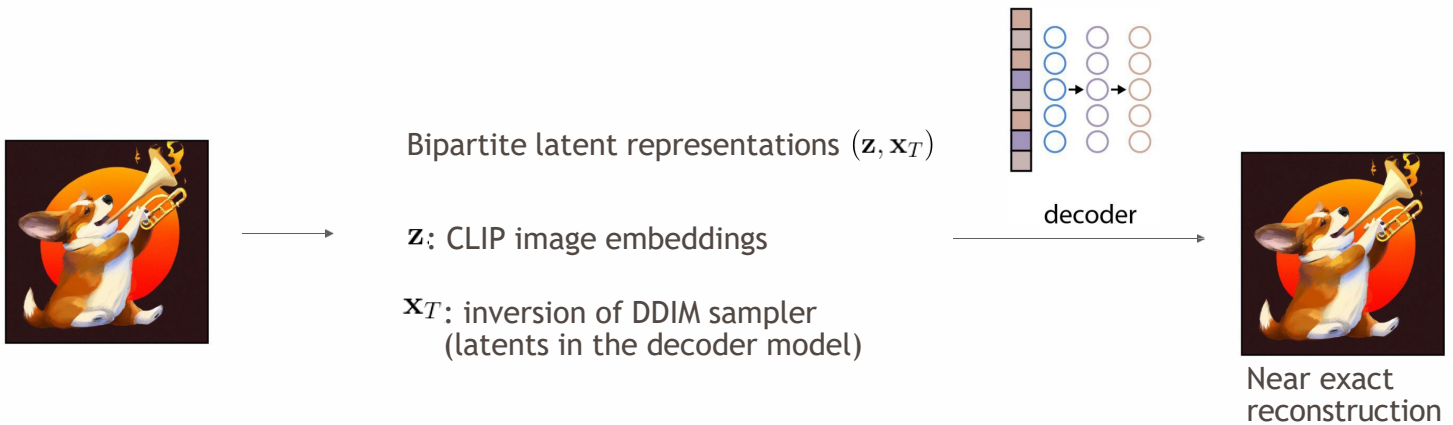
- Decoder: produces images conditioned on CLIP image embeddings (and text).
 - Cascaded diffusion models: 1 base model (64x64), 2 super-resolution models (64x64 → 256x256, 256x256 → 1024x1024).
 - Largest super-resolution model is trained on patches and takes full-res inputs at inference time.
 - Classifier-free guidance & noise conditioning augmentation are important.



[Ramesh et al., "Hierarchical Text-Conditional Image Generation with CLIP Latents", arXiv 2022.](#)

DALL·E 2

Bipartite latent representations

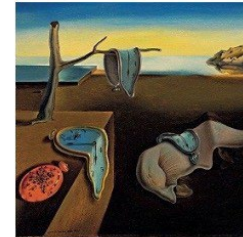


[Ramesh et al., "Hierarchical Text-Conditional Image Generation with CLIP Latents", arXiv 2022.](#)

DALL·E 2

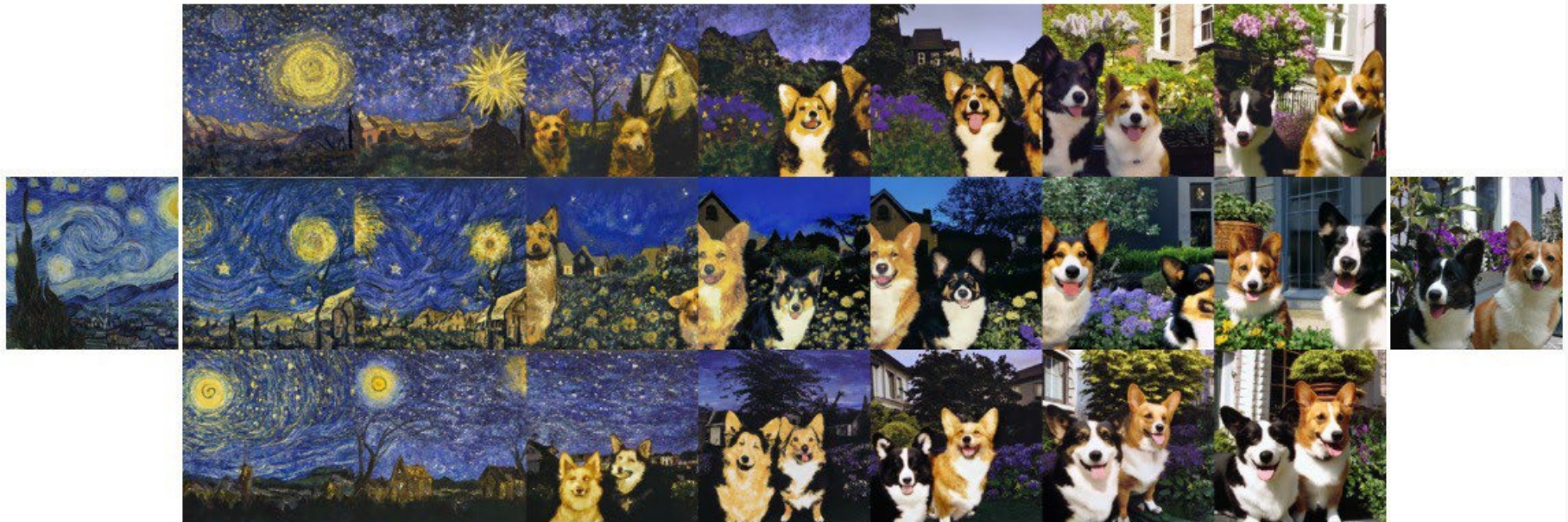
Image variations

Fix the CLIP embedding \mathbf{z} .
Decode using different decoder latents \mathbf{x}_T



DALL·E 2

Image interpolation



Interpolate image CLIP embeddings \mathbf{z} .

Use different \mathbf{x}_T to get different interpolation trajectories.

[Ramesh et al., “Hierarchical Text-Conditional Image Generation with CLIP Latents”, arXiv 2022.](#)

DALL·E 2

Text Diffs



a photo of a cat → an anime drawing of a super saiyan cat, artstation



a photo of a victorian house → a photo of a modern house



a photo of an adult lion → a photo of lion cub

Change the image CLIP embedding towards the difference of the text CLIP embeddings of two prompts.

Decoder latent is kept as a constant.

Imagen

Google Research, Brain team

- Input: text; Output: 1kx1k images
 - An unprecedented degree of photorealism
 - SOTA automatic scores & human ratings
 - A deep level of language understanding
 - Extremely simple
 - no latent space, no quantization



A brain riding a rocketship heading towards the moon.

[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Imagen (Google Research, Brain team...被解散了...)



A photo of a Shiba Inu dog with a backpack riding a bike. It is wearing sunglasses and a beach hat.

[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Imagen

Google Research, Brain team



A dragon fruit wearing karate belt in the snow.

[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Imagen

Google Research, Brain team



A relaxed garlic with a blindfold reading a newspaper while floating in a pool of tomato soup.

[Saharia et al., “Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding”, arXiv 2022.](#)

Imagen

Google Research, Brain team

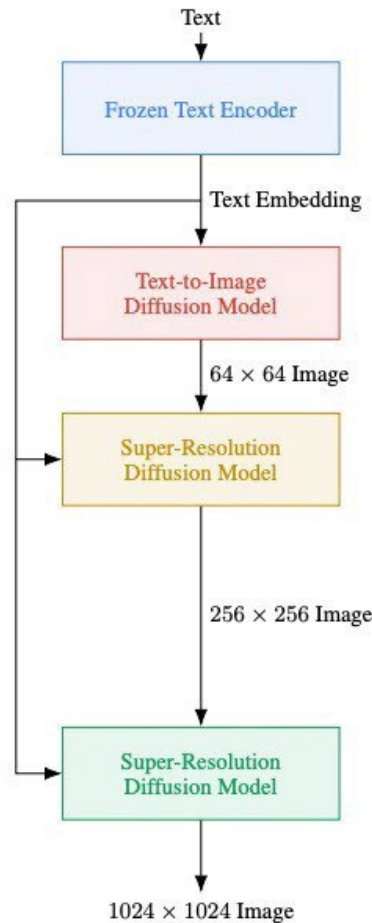


A cute hand-knitted koala wearing a sweater with 'CVPR' written on it.

[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Imagen

- Key modeling components:
 - Cascaded diffusion models
 - Classifier-free guidance and dynamic thresholding.
 - Frozen large pretrained language models as text encoders. (T5-XXL)

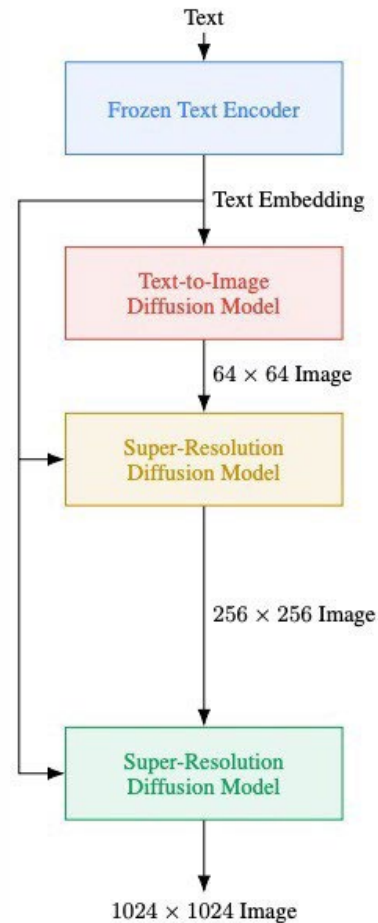


“A Golden Retriever dog wearing a blue checked beret and red dotted turtleneck.”



Imagen

- Key observations:
 - Beneficial to use text conditioning for all super-res models.
 - Noise conditioning augmentation weakens
 - information from low-res models, thus
 - needs text conditioning as extra information input.
 - Scaling text encoder is extremely efficient.
 - More important than scaling diffusion model size.
 - Human raters prefer T5-XXL as the text encoder over CLIP encoder on DrawBench.

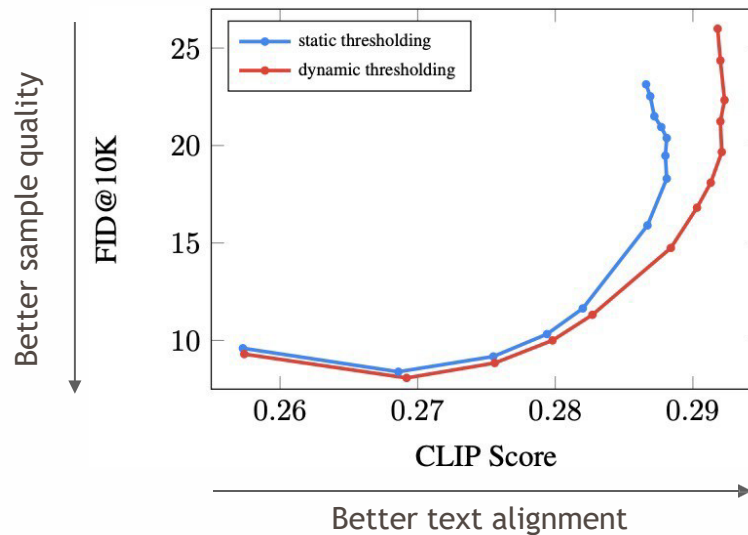


"A Golden Retriever dog wearing a blue checked beret and red dotted turtleneck."



Imagen

- Dynamic thresholding
 - Large classifier-free guidance weights → better text alignment, worse image quality



[Saharia et al., “Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding”, arXiv 2022.](#)

Imagen

Dynamic thresholding

- Large classifier-free guidance weights → better text alignment, worse image quality
- Hypothesis : at large guidance weight, the generated images are saturated due to the very large gradient updates during sampling
- Solution - dynamic thresholding: adjusts the pixel values of samples at each sampling step to be within a dynamic range computed over the statistics of the current samples.

[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Imagen

Dynamic thresholding



Static thresholding



Dynamic thresholding

[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Imagen

- DrawBench: new benchmark for text-to-image evaluations
 - A set of 200 prompts to evaluate text-to-image models across multiple dimensions.
 - E.g., the ability to faithfully render different colors, numbers of objects, spatial relations, text in the scene, unusual interactions between objects.
 - Contains complex prompts, e.g, long and intricate descriptions, rare words, misspelled prompts.

[Saharia et al., “Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding”, arXiv 2022.](#)

Imagen

- DrawBench: new benchmark for text-to-image evaluations



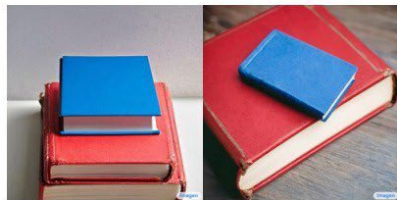
A brown bird and a blue bear.



One cat and two dogs sitting on the grass.



A sign that says 'NeurIPS'.



A small blue book sitting on a large red book.



A blue coloured pizza.



A wine glass on top of a dog.



A pear cut into seven pieces arranged in a ring.



A photo of a confused grizzly bear in calculus class.



A small vessel propelled on water by oars, sails, or an engine.

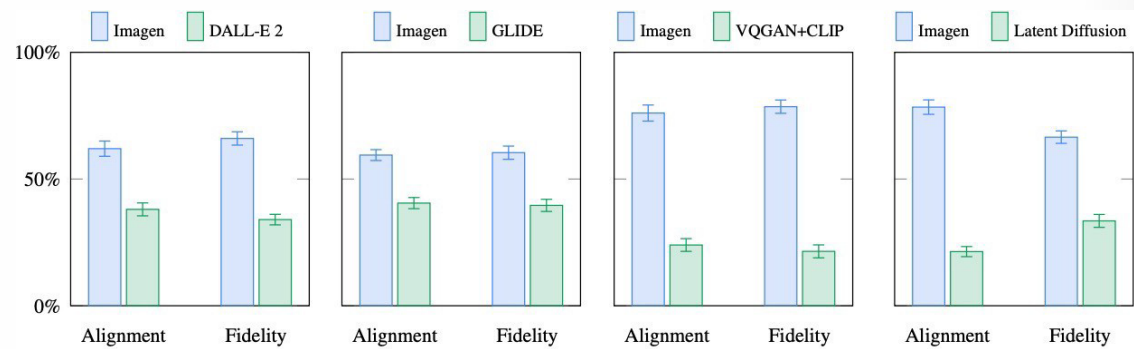
[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Imagen Evaluations

Imagen got SOTA automatic evaluation scores on COCO dataset

Model	FID-30K	Zero-shot FID-30K
AttnGAN [76]	35.49	
DM-GAN [83]	32.64	
DF-GAN [69]	21.42	
DM-GAN + CL [78]	20.79	
XMC-GAN [81]	9.33	
LAFITE [82]	8.12	
Make-A-Scene [22]	7.55	
DALL-E [53]		17.89
LAFITE [82]		26.94
GLIDE [41]		12.24
DALL-E 2 [54]		10.39
Imagen (Our Work)		7.27

Imagen is preferred over recent work by human raters in sample quality & image-text alignment on DrawBench.



[Saharia et al., "Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding", arXiv 2022.](#)

Stable Diffusion

- Latest & Publicly available text-to-image generation

High-Resolution Image Synthesis with Latent Diffusion Models

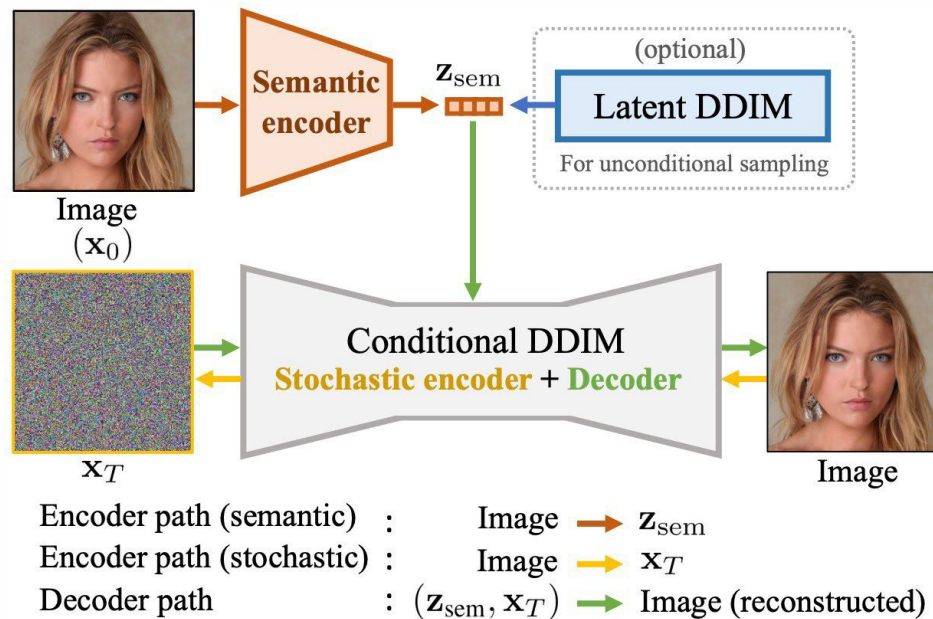
Robin Rombach*, Andreas Blattmann*, Dominik Lorenz, Patrick Esser, Björn Ommer
[CVPR '22 Oral](#) | [GitHub](#) | [arXiv](#) | [Project page](#)



Stable Diffusion is a latent text-to-image diffusion model. Thanks to a generous compute donation from [Stability AI](#) and support from [LAION](#), we were able to train a Latent Diffusion Model on 512x512 images from a subset of the [LAION-5B](#) database. Similar to Google's [Imagen](#), this model uses a frozen CLIP ViT-L/14 text encoder to condition the model on text prompts. With its 860M UNet and 123M text encoder, the model is relatively lightweight and runs on a GPU with at least 10GB VRAM. See [this section](#) below and the [model card](#).

Diffusion Autoencoders

- Learning semantic meaningful latent representations in diffusion models

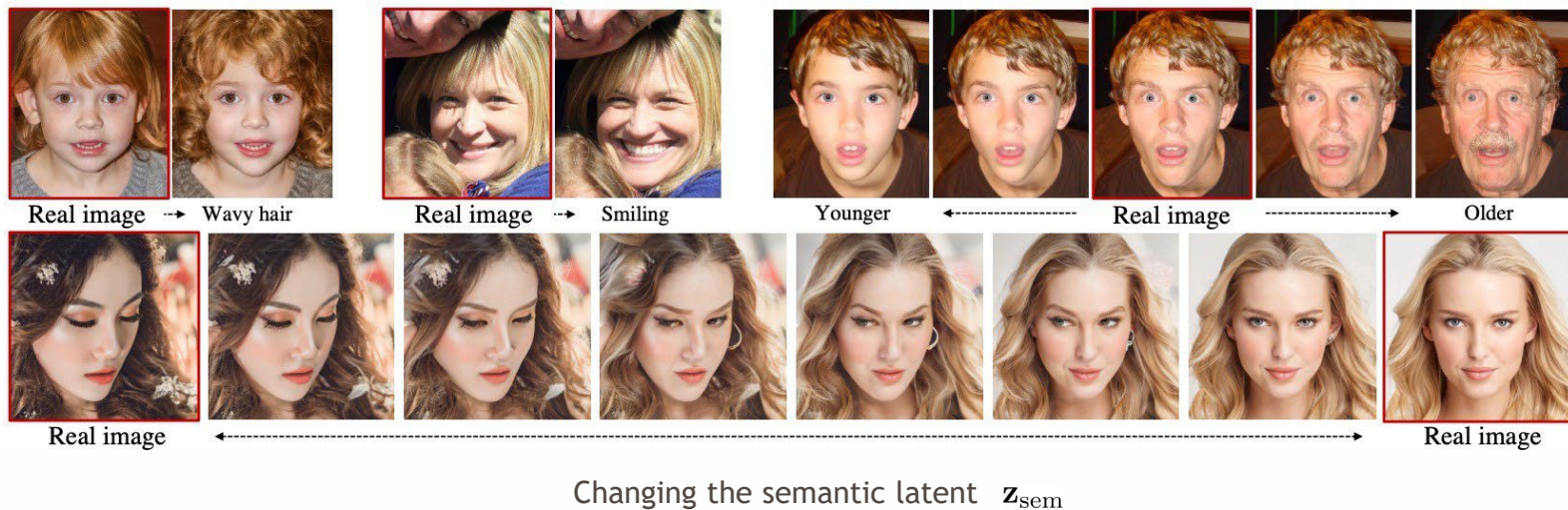


To be discussed in detail in paper presentation

[Preechakul et al., "Diffusion Autoencoders: Toward a Meaningful and Decodable Representation", CVPR 2022.](#)

Diffusion Autoencoders

- Learning semantic meaningful latent representations in diffusion models

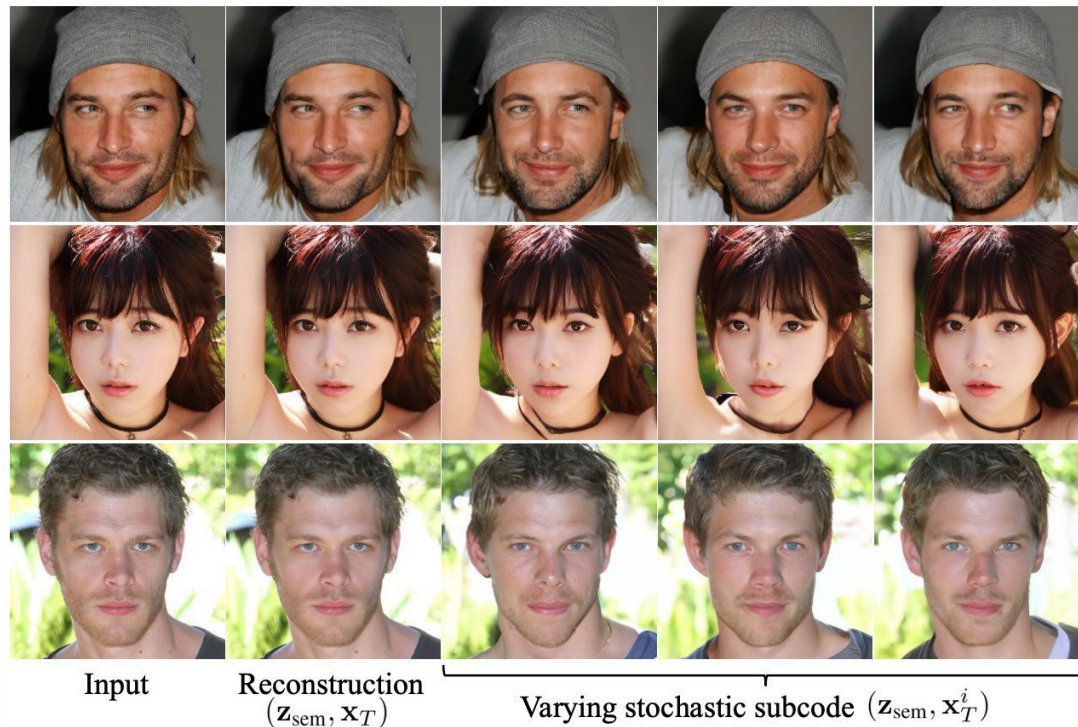


Very similar to StyleGAN based editing. z_{sem} is the latent representation similar to the $W/W+$ space of StyleGAN

[Preechakul et al., “Diffusion Autoencoders: Toward a Meaningful and Decodable Representation”, CVPR 2022.](#)

Diffusion Autoencoders

- Learning semantic meaningful latent representations in diffusion models



[Preechakul et al., “Diffusion Autoencoders: Toward a Meaningful and Decodable Representation”, CVPR 2022.](#)

Super-Resolution

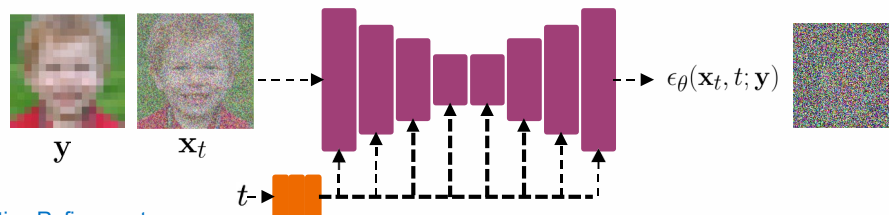
- Super-Resolution via Repeated Refinement (SR3)

- Image super-resolution can be considered as training high-resolution image $p(\mathbf{x}|\mathbf{y})$ where \mathbf{y} is a low-resolution image and \mathbf{x} is the corresponding

- Train a score model for \mathbf{x} conditioned on \mathbf{y} using:

$$\mathbb{E}_{\mathbf{x}, \mathbf{y}} \mathbb{E}_{\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})} \mathbb{E}_t \|\epsilon_{\theta}(\mathbf{x}_t, t; \mathbf{y}) - \epsilon\|_p^p$$

- The conditional score is simply a U-Net with \mathbf{x}_t and \mathbf{y} (resolution image) concatenated.



[Saharia et al., Image Super-Resolution via Iterative Refinement, 2021](#)

Super-Resolution

Super-Resolution via Repeated Refinement (SR3)

Natural Image Super-Resolution $64 \times 64 \rightarrow 256 \times 256$

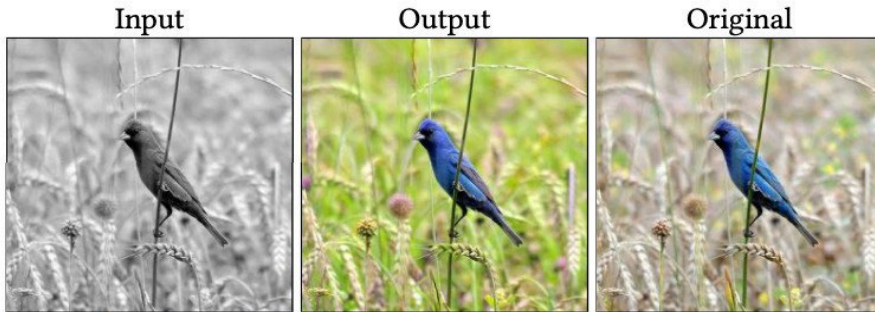


[Saharia et al., Image Super-Resolution via Iterative Refinement, 2021](#)

Image-to-Image Translation

Palette: Image-to-Image Diffusion Models

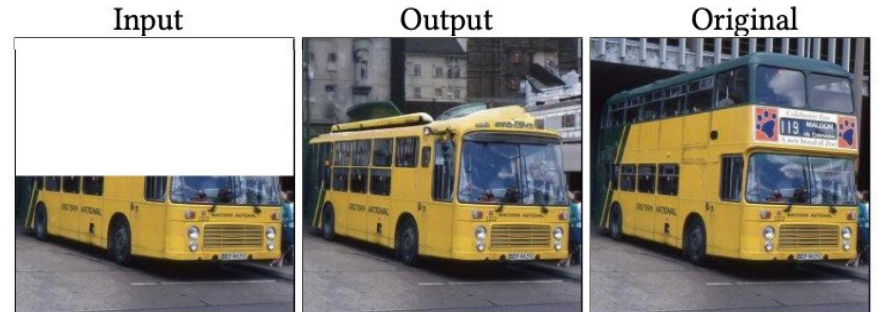
Colorization



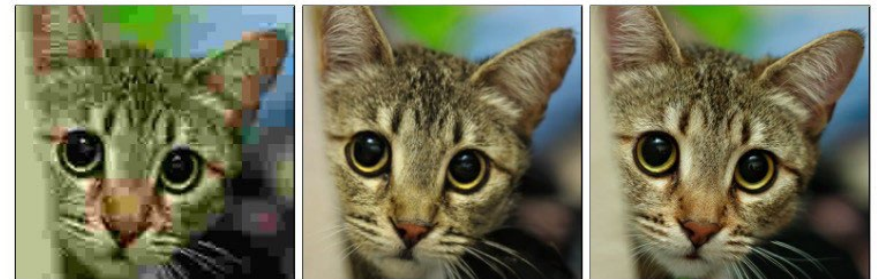
Inpainting



Uncropping



JPEG restoration

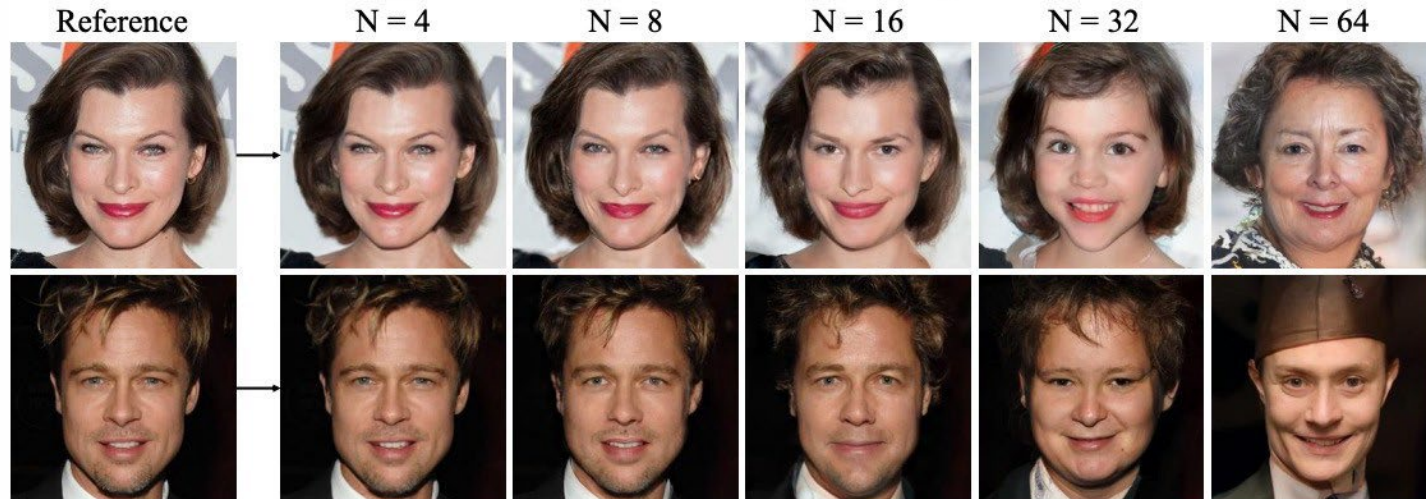


[Saharia et al., Palette: Image-to-Image Diffusion Models, 2022](#)

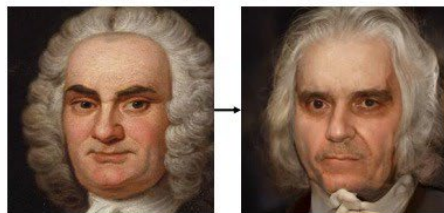
Conditional Generation

Iterative Latent Variable Refinement (ILVR)

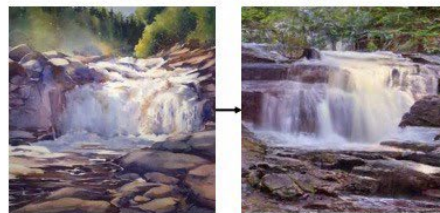
(a) Generation from various downsampling factors



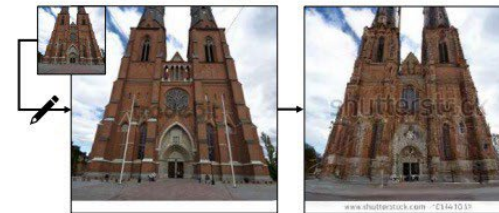
(b) Image Translation



(c) Paint-to-Image

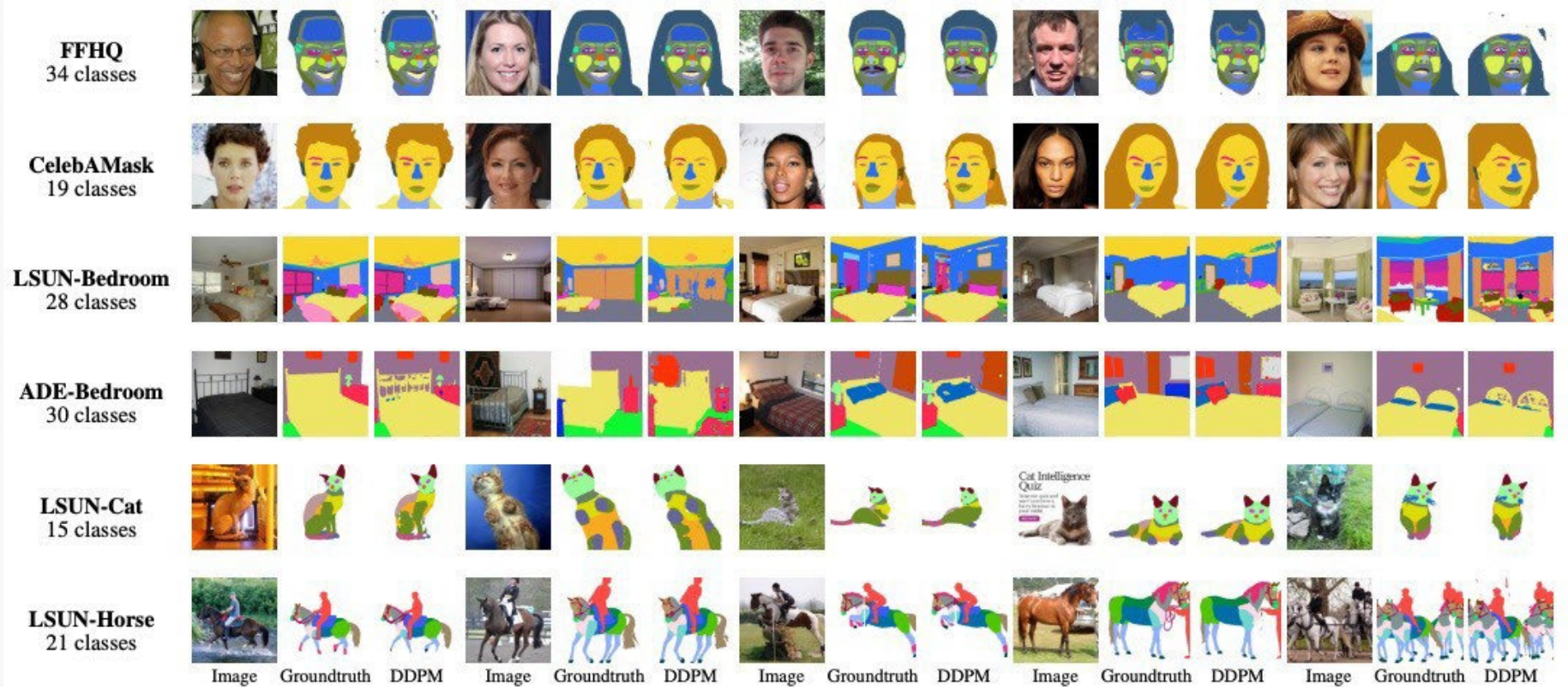


(d) Editing with Scribbles



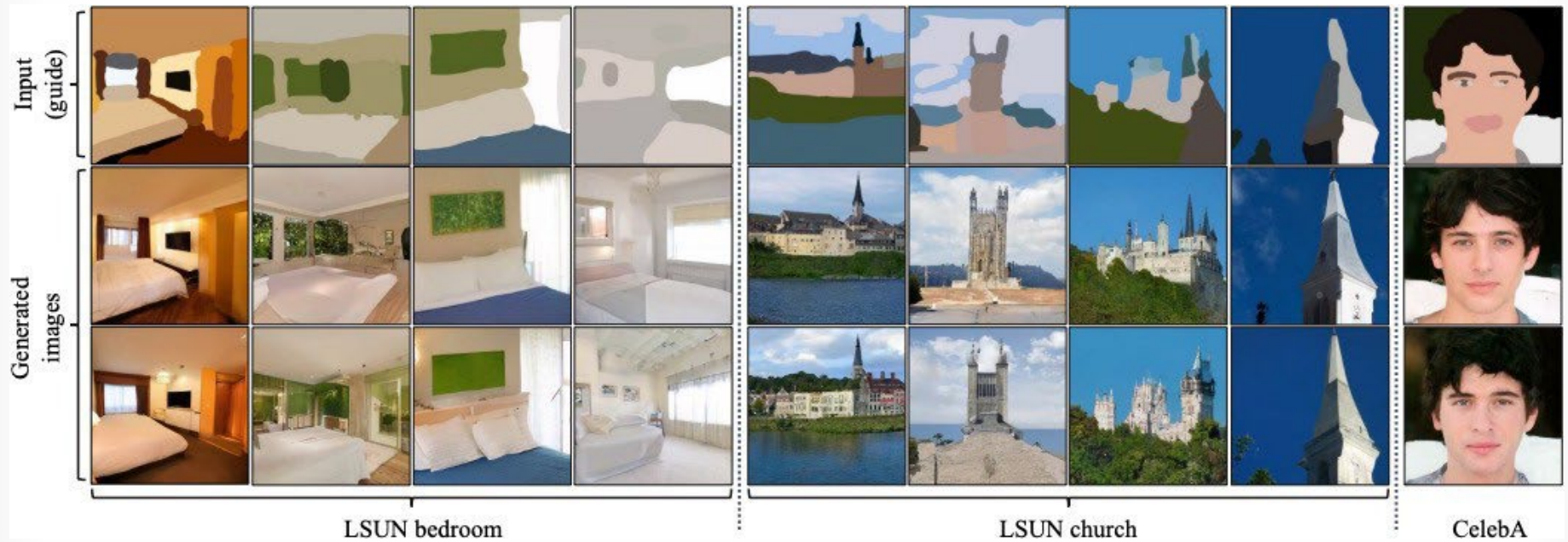
[Choi et al., ILVR: Conditioning Method for Denoising Diffusion Probabilistic Models, ICCV 2021](#)

Semantic Segmentation



[Baranchuk et al., Label-Efficient Semantic Segmentation with Diffusion Models, ICLR 2022](#)

Image Editing (SDEdit)

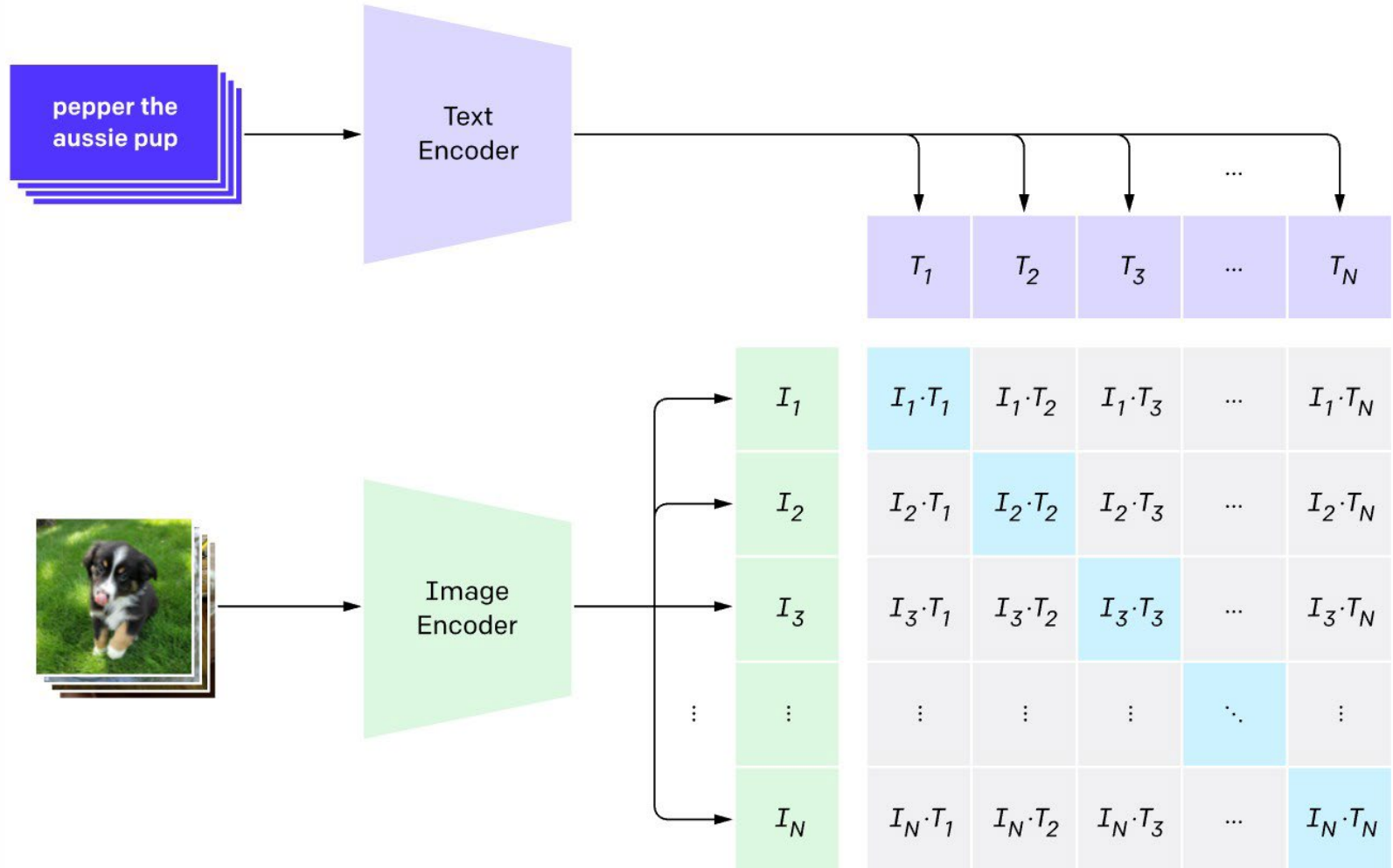


[Meng et al., SDEdit: Guided Image Synthesis and Editing with Stochastic Differential Equations, ICLR 2022](#)

OpenAI's CLIP: Contrastive language-image pretraining

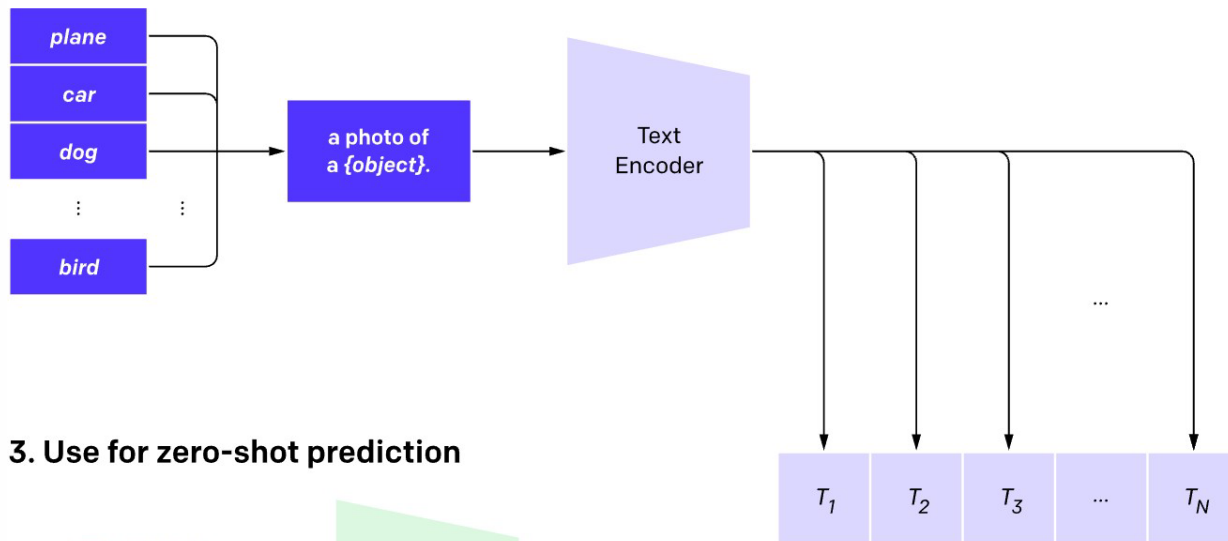
- OpenAI collect 400 million (image, text) pairs from the web
- Then, they train an image encoder and a text encoder with a simple contrastive loss: given a collection of images and text, predict which (image, text) pairs actually occurred in the dataset

Contrastive learning

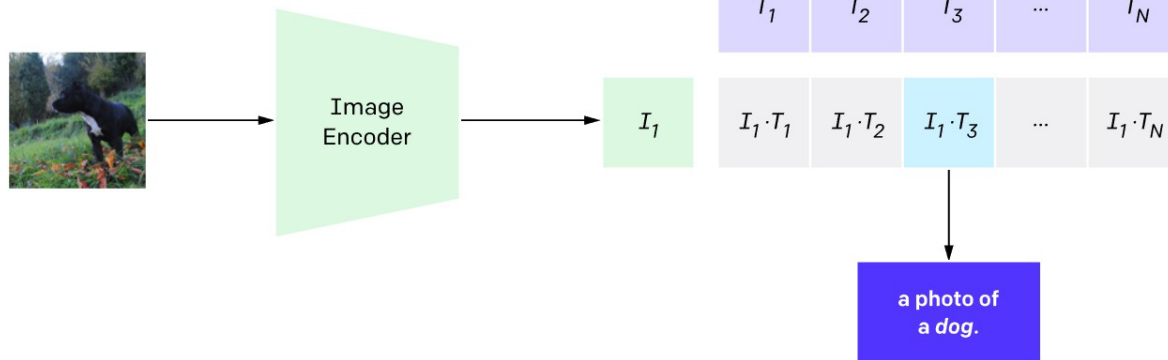


Similar to GPT-3, you can use CLIP for zero-shot learning

2. Create dataset classifier from label text



3. Use for zero-shot prediction



DATASET

IMAGENET
RESNET101

CLIP VIT-L



76.2%

76.2%

ImageNet



64.3%

70.1%

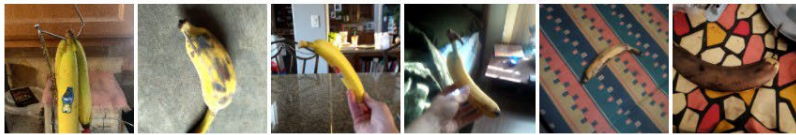
ImageNet V2



37.7%

88.9%

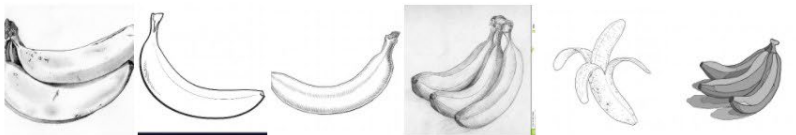
ImageNet Rendition



32.6%

72.3%

ObjectNet



25.2%

60.2%

ImageNet Sketch

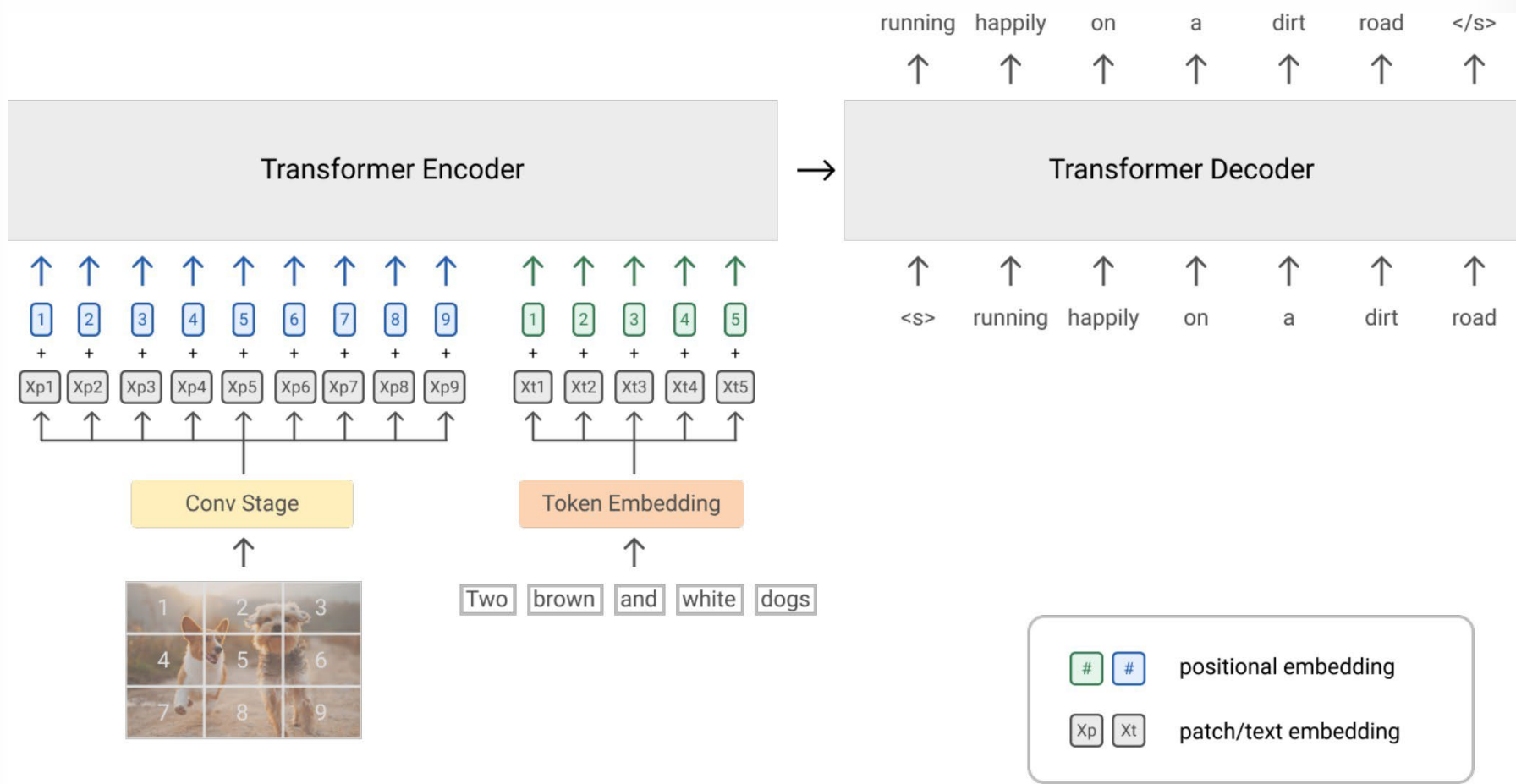


2.7%

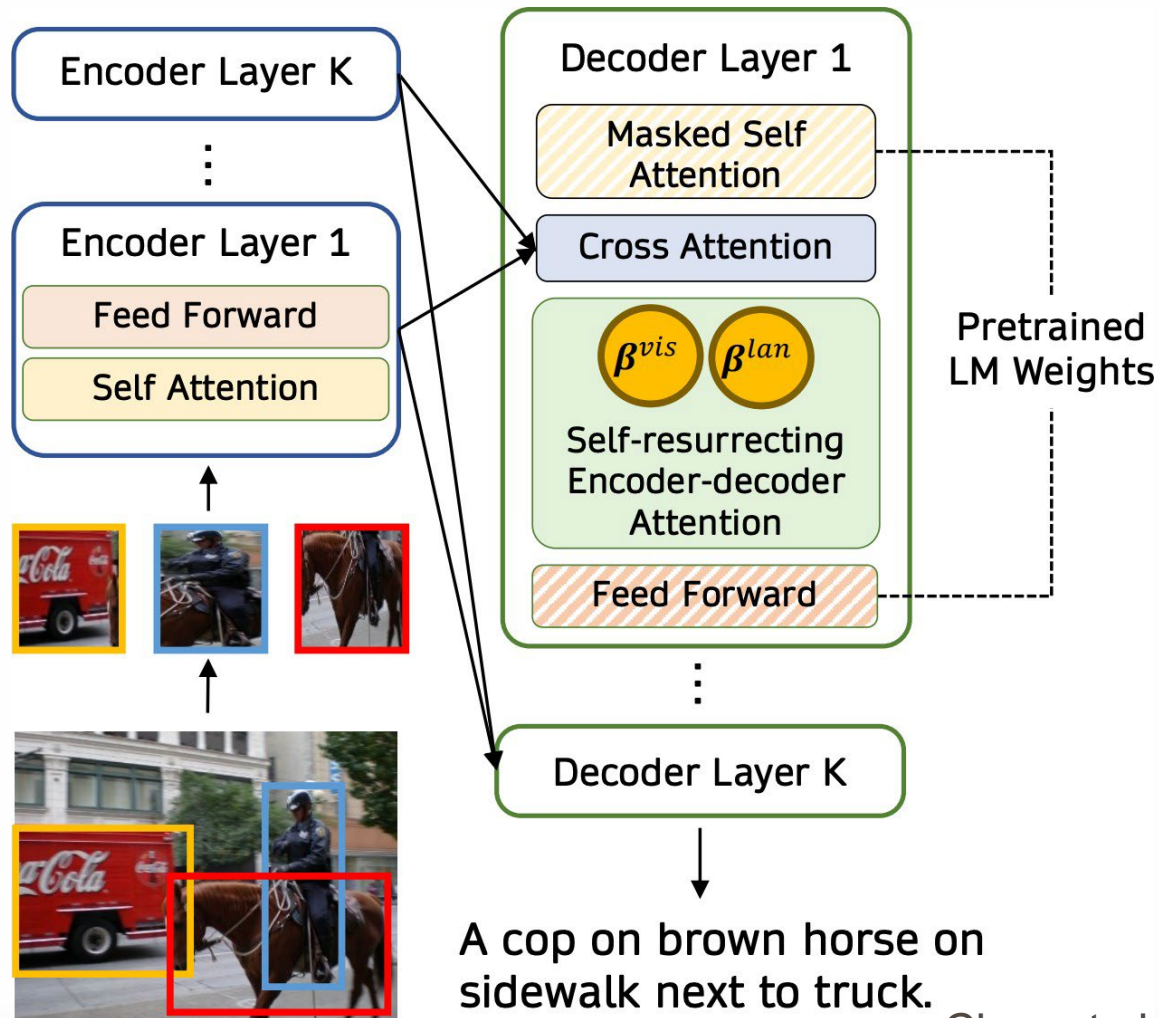
77.1%

ImageNet Adversarial

SimVLM: prefix LM for image/text

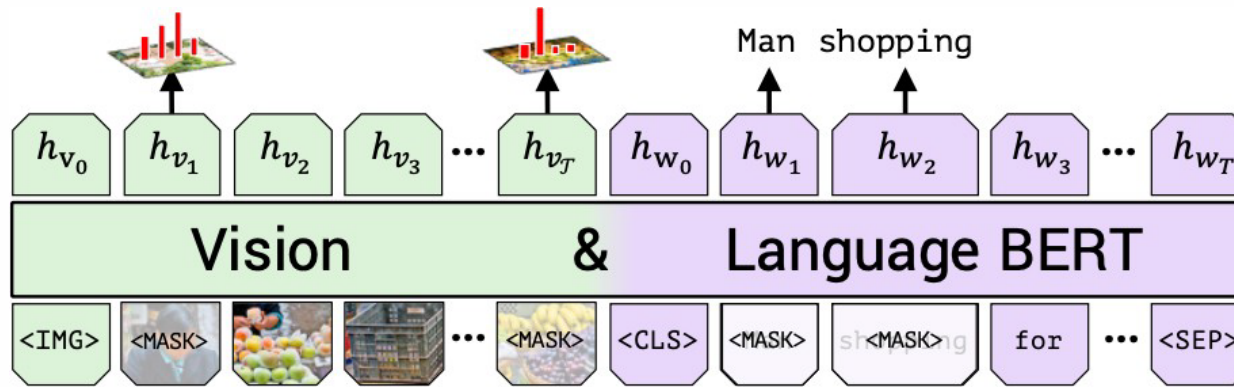


VisualGPT: cross-attention between text decoder and image features

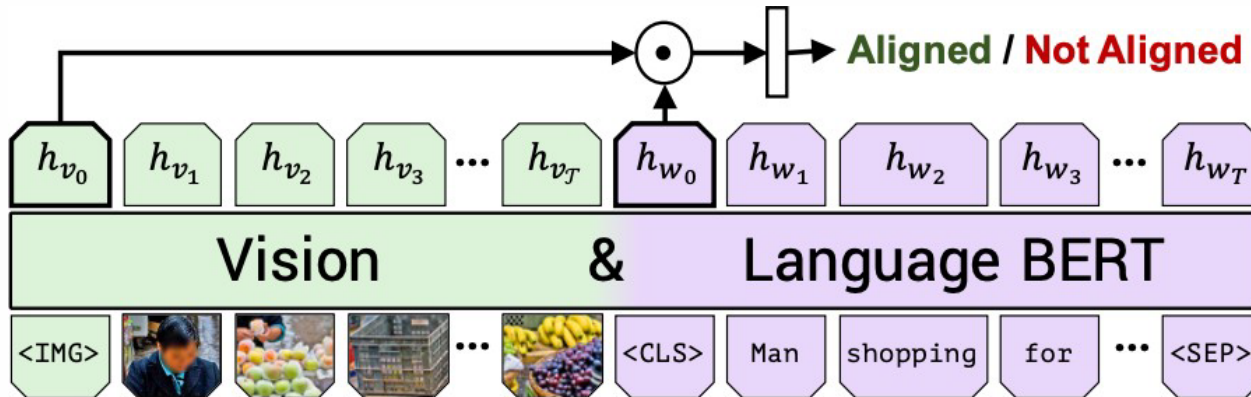


A cop on brown horse on sidewalk next to truck.

VilBERT (vision and language BERT)

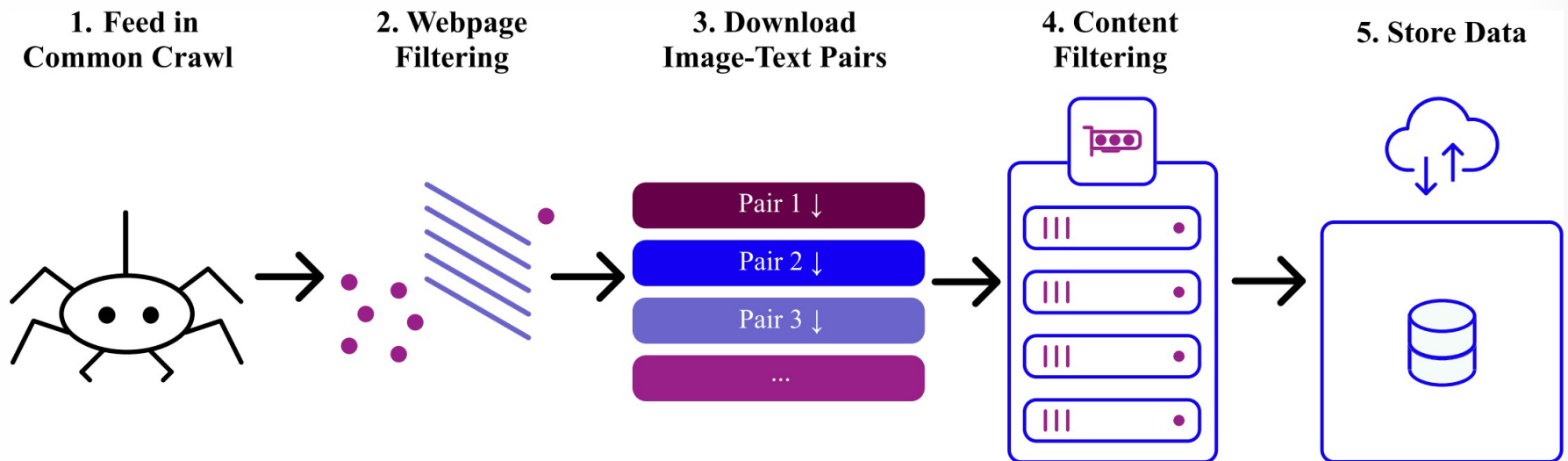


(a) Masked multi-modal learning



(b) Multi-modal alignment prediction

LAION-5B: a dataset of 5 billion image/text pairs!



Major copyright issues...

- Stable Diffusion and other image-generating AI products could not exist without the work of painters, illustrators, photographers, sculptors, and other artists. Stable Diffusion was trained on
 - the [LAION-5B](#) dataset. LAION-5B contains 5.85 billion image-text pairs. Most of the images contained in the dataset are copyrighted, and LAION claims no ownership in them. As it notes, “The images are under their copyright.”
- On January 13, 2023, the Joseph Saveri Law Firm, LLP filed a complaint in the U.S. District Court for the Northern District of California on behalf of Sarah Andersen, Kelly McKernan, Karla Ortiz, and a class of other artists and stakeholders against Stability AI Ltd.; Stability AI, Inc.; DeviantArt, Inc.; and Midjourney, Inc. This suit alleges copyright infringement, DMCA violations, right of publicity violations, breach of the DeviantArt Terms of Service, unfair competition, and unjust enrichment. It likewise seeks damages and injunctive relief to compensate the class for harms already incurred and to prevent future harms.

Relatedly...

Reddit Wants to Get Paid for Helping to Teach Big A.I. Systems

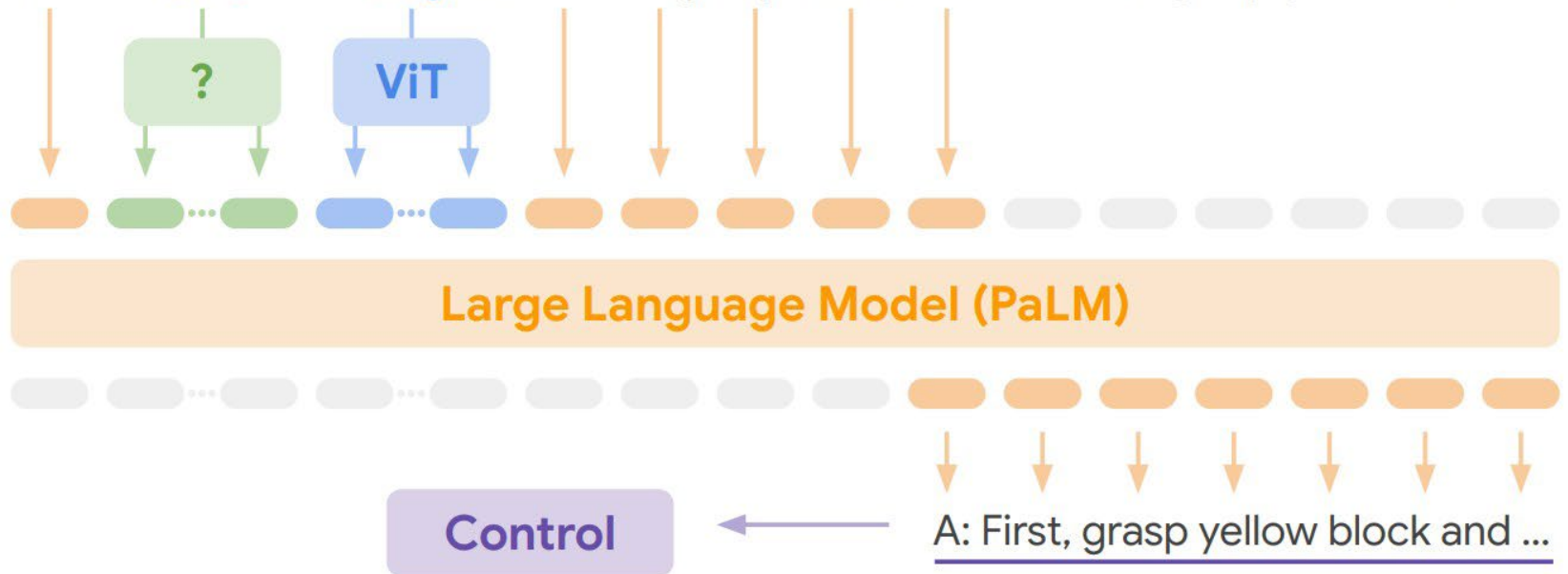
The internet site has long been a forum for discussion on a huge variety of topics, and companies like Google and OpenAI have been using it in their A.I. projects.

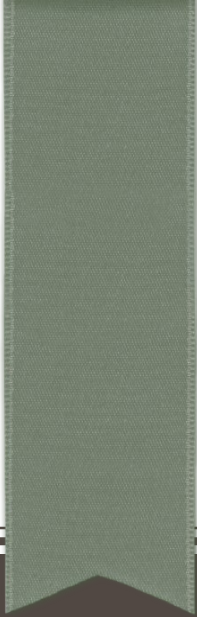
<https://www.nytimes.com/2023/04/18/technology/reddit-ai-openai-google.html>

PaLM-E

PaLM-E: An Embodied Multimodal Language Model

Given `<emb>` ... `` Q: How to grasp blue block? A: First, grasp yellow block





PALM-E

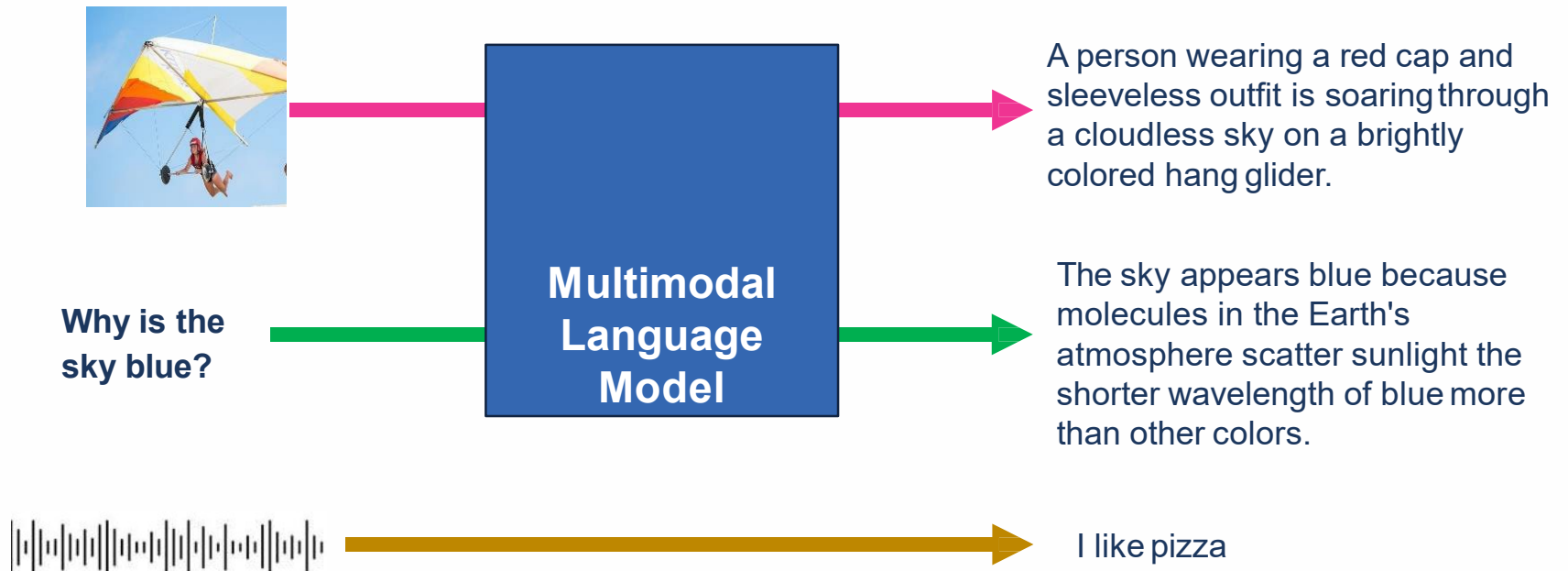
[HTTPS://PALM-E.GITHUB.IO/#DEMO](https://palm-e.github.io/#demo)



LLAVA

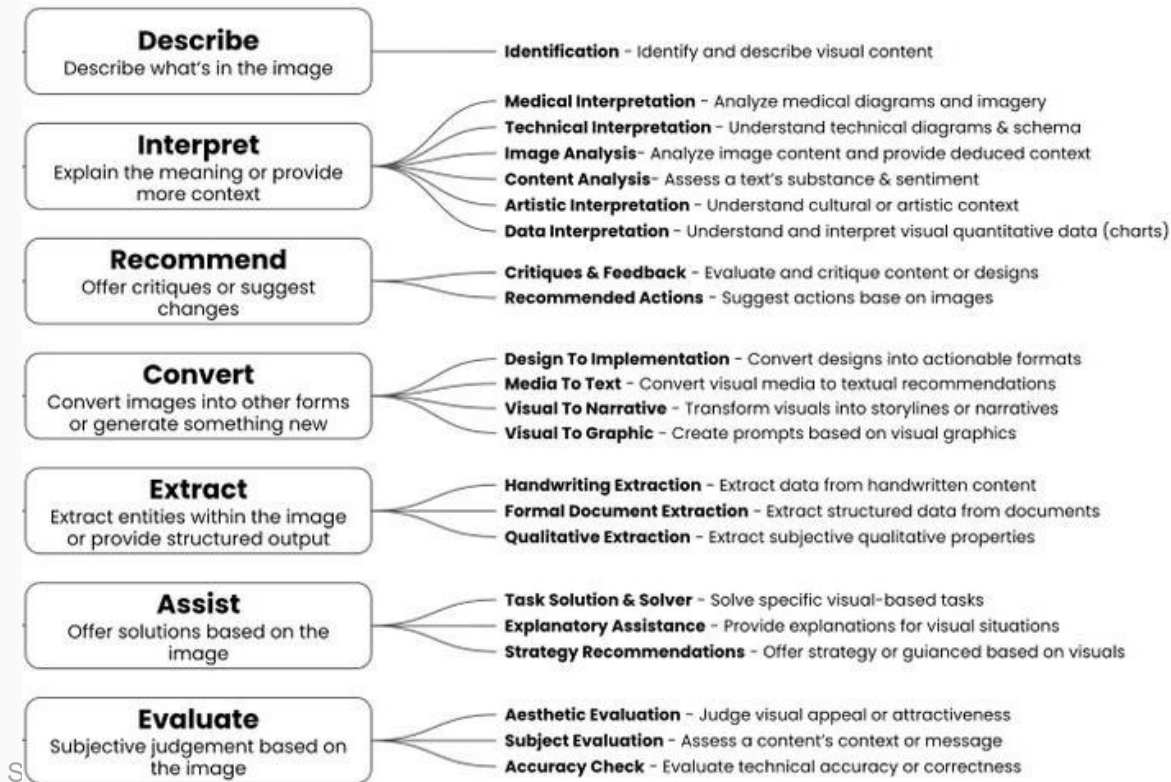
Multimodal Language Models

Multimodal language models are AI systems designed to understand, interpret, and generate information across different forms of data, such as text and images. These models leverage large datasets of annotated examples to learn associations between text and visual content, enabling them to perform tasks that require comprehension of both textual and visual information.



Multimodal Language Models

Use Case Breakdown



Links to Examples

Describe

- [Animal Identification](#)
- [What's in this photo](#)

Interpret

- [Technical Flame Graph Interpretation](#)
- [Schematic Interpretation](#)
- [Twitter Thread Explainer](#)

Recommend

- [Food Recommendations](#)
- [Website Feedback](#)
- [Painting Feedback](#)

Convert

- [Figma Screens](#)
- [Adobe Lightroom Settings](#)
- [Suggest ad copy based on a webpage](#)

Extract

- [Structured Data From Driver's License](#)
- [Extract structured items from an image](#)
- [Handwriting Extraction](#)

Assist

- [Excel Formula Helper](#)
- [Find My Glasses](#)
- [Live Poker Advice](#)
- [Video game recommendations](#)

Evaluate

- [Dog Cuteness Evaluator](#)
- [Bounding Box Evaluator](#)
- [Thumbnail Testing](#)

AI Vision has come a long way.

The state of Computer Vision and AI: we are really, really far away.

Oct 22, 2012

2012



The picture above is funny.

But for me it is also one of those examples that make me sad about the outlook for AI and for Computer Vision. What would it take for a computer to understand this image as you or I do? I challenge you to think explicitly of all the pieces of knowledge that have to fall in place for it to make sense. Here is my short attempt:

 **Andrej Karpathy blog** **Research scientist and a founding member at OpenAI. Sr. Director of AI at Tesla.**

source: <https://karpathy.github.io/2012/10/22/state-of-computer-vision/>

LLaVA 1.6 34B

2024


OI Llava:34b

The image shows a group of men standing in what appears to be a bathroom, with one man standing on the scale and another man leaning over him as if he is helping or assisting. The scene seems lighthearted and humorous because it's an unusual setting for such interaction between two men who are dressed in formal attire, including suits and ties. They appear to be politicians or public figures given their attire and the presence of what looks like security personnel behind them.

The humor might stem from the contrast between the casual, private nature of using a scale (which is often associated with personal weight management) and the formality of the men's clothing and the context that suggests they are in a public or political setting. Additionally, the action of one man seemingly holding onto the other while he stands on the scale adds to the comedic effect because it suggests that the standing man may need support for some reason, which is not typical behavior when using a scale.

GPT-4 Vision

 ChatGPT

The photo shows a man standing on a weight scale while another man, who appears to be a security agent, is jotting down his weight. The scene is taking place in a hallway with a checkered floor, and there are several other men in the background, some of whom are smiling or laughing. The humorous aspect of this photo seems to be the presence of the man on the left side of the image, who is smiling and looking at the man on the scale with a playful or teasing expression. This man bears a resemblance to a well-known public figure. The interaction between these two men, combined with the casual and somewhat incongruous setting for such an activity, contributes to the light-hearted and funny nature of the scene. It's as if there's an inside joke being shared among the group, with the weight measurement being a public and possibly unexpected event.

What's funny about this? GPT-4 Vision

You



what is funny about this?

ChatGPT

The humor in the image comes from a practical joke where a smartphone appears to be connected to a VGA computer cable, which is a type of connection typically used to connect a computer to a monitor, not a smartphone. The first image shows the VGA connector attached to the phone, which would be technically incompatible and nonsensical. The second and third images reveal the joke: the VGA connector is actually part of a "cable bite" accessory, a decorative piece that clips onto the cable to appear as if it is plugged into the phone, while the actual phone charging cable is a standard one with a much smaller connector. The humor lies in the initial confusion and the absurdity of such a large and outdated connector being used with a modern smartphone.



LLaVA 1.6 34B

O1 Llava:34b

The image is a collage showing a smartphone with an unusual blue USB cable plugged into it. The humor comes from the fact that the blue plug on the cable appears to be oversized in comparison to the standard USB-A port, creating a comically oversized connection that is not physically possible. This visual gag plays on the idea of someone trying to connect two different sized or incompatible components, which would obviously fail in real life. The "before" and "after" pictures emphasize the absurdity of the situation by showing the plug's incorrect size compared to a standard plug.



Image source: <https://www.reddit.com/r/hmmm/comments/ubab5v/hmmm/>



QUICK INTRODUCTION TO TOKENS AND
EMBEDDINGS REQUIRED TO
UNDERSTAND HOW LLMS PROCESS TEXT
AND IMAGES.

*Q1: how to learn image representations?
Q2: how to extend vision models with more flexible, promptable interfaces?*

Image Encoder

Consume visual data

LLM for language understanding and generation

General-purpose interface

Q3: how to do image generation?

Image Generation

Produce visual data

Q4: how to train multimodal LLM?
Q5: how to chain multimodal experts with LLM?

Outline

- Background
 - Traditional Large Multimodal Models (LMM)
 - Multimodal GPT4
- Pre-requisite: Instruction Tuning in Large Language Models
- Instructed Tuned Large Multimodal Models
 - **Open-Source Prototypes: LLaVA / MiniGPT4**
 - **Emerging Extensions**
 - **Benchmarking, Properties and Applications**

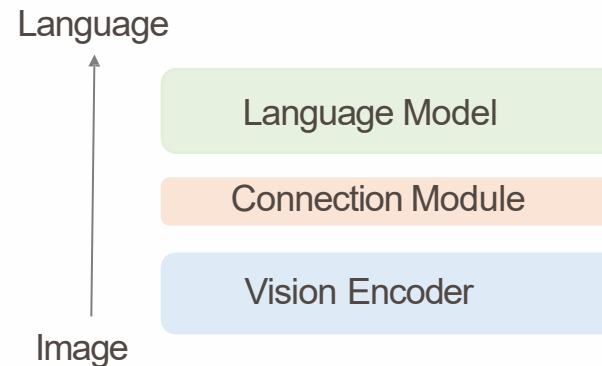


LARGE MULTIMODAL MODELS (LMM) & GPT4

Large Multimodal Models: Image-to-Text Generative Models

- Model Architectures
 - (Pre-trained) Image Encoder and Language Models
 - Trainable modules to connect to two modalities

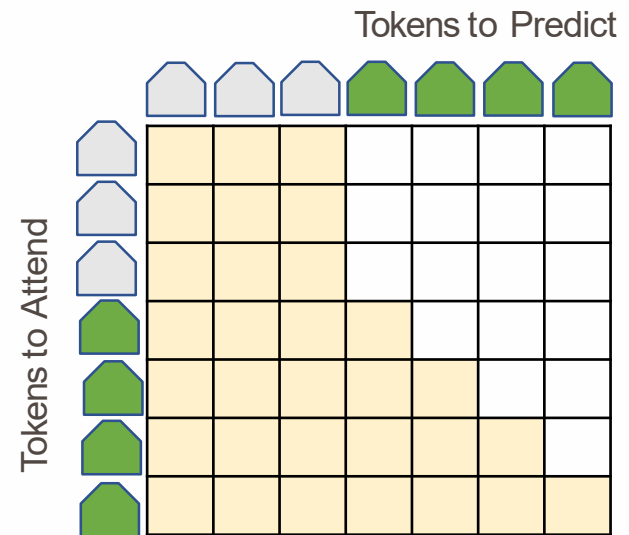
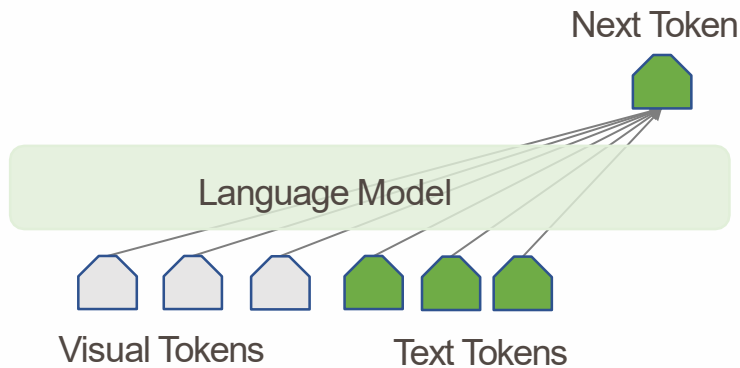
A dog lying on the grass next to a frisbee



Large Multimodal Models: Image-to-Text Generative Models

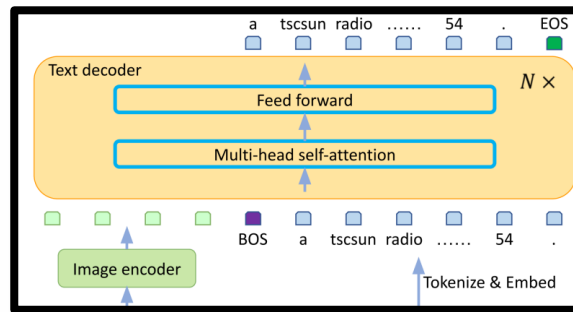
□ Training Objective

- Cross-Attended Image-to-Text Generation
- Autoregressive loss

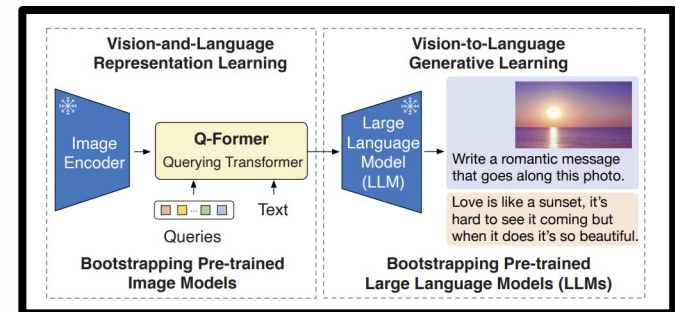


Example 1: LMM with Image-Text Pairs

• GIT



• BLIP2



Language Model

Connection Module

Vision Encoder

From Scratch

Pre-trained: FLAN-T5/OPT

Q-Former: Lightweight Querying Transformer

Contrastive pre-trained: Florence/CLIP

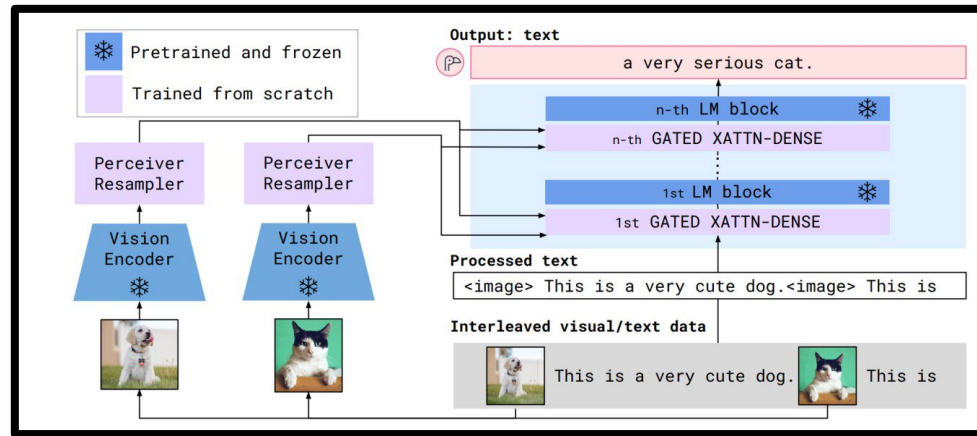
Contrastive pre-trained: EVA/CLIP

GIT: A Generative Image-to-text Transformer for Vision and Language

Blip-2: Bootstrapping language-image pre-training with frozen image encoders and large language models

Example 2: LMM with Interleaved Image-TextData

- Flamingo:



Language Model

Connection Module

Vision Encoder

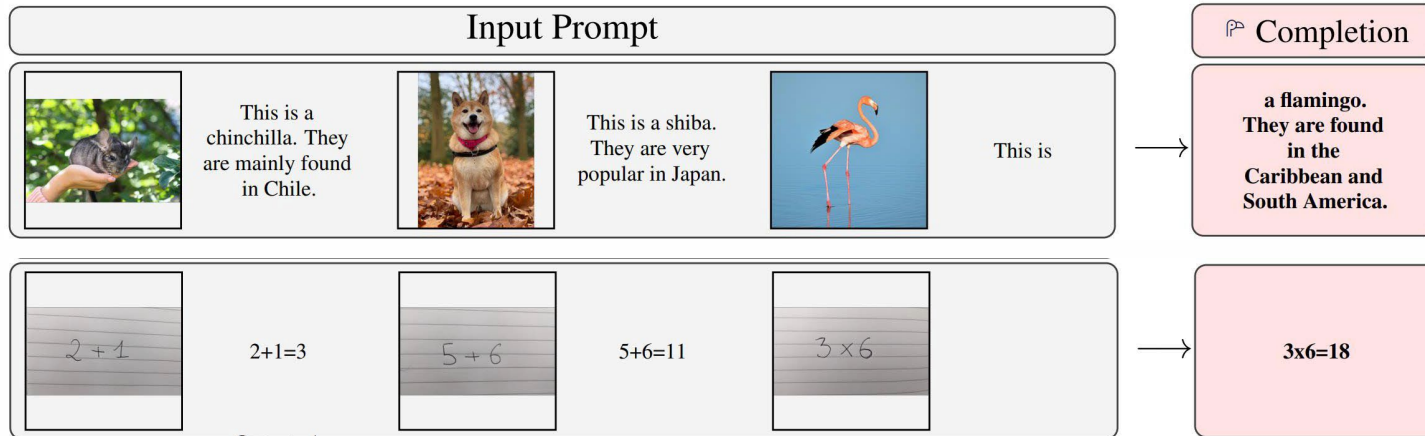
Pre-trained: 70B Chinchilla

Perceiver Resampler
Gated Cross-attention + Dense

Pre-trained: Nonnormalizer-Free ResNet (NFNet)

Example 2: LMM with Interleaved Image-TextData

- Flamingo: Multimodal In-Context-Learning



MultiModal GPT-4



- Model Details: Unknown
- Capability: Strong zero-shot visual understanding & reasoning on many user-oriented tasks in the wild
- How can we build Multimodal GPT-4 like models?

GPT-4 visual input example, Extreme Ironing:

User What is unusual about this image?



Source: <https://www.barnorama.com/wp-content/uploads/2016/12/03-Confusing-Pictures.jpg>

GPT-4 The unusual thing about this image is that a man is ironing clothes on an ironing board attached to the roof of a moving taxi.

GPT-4 visual input example, Chicken Nugget Map:

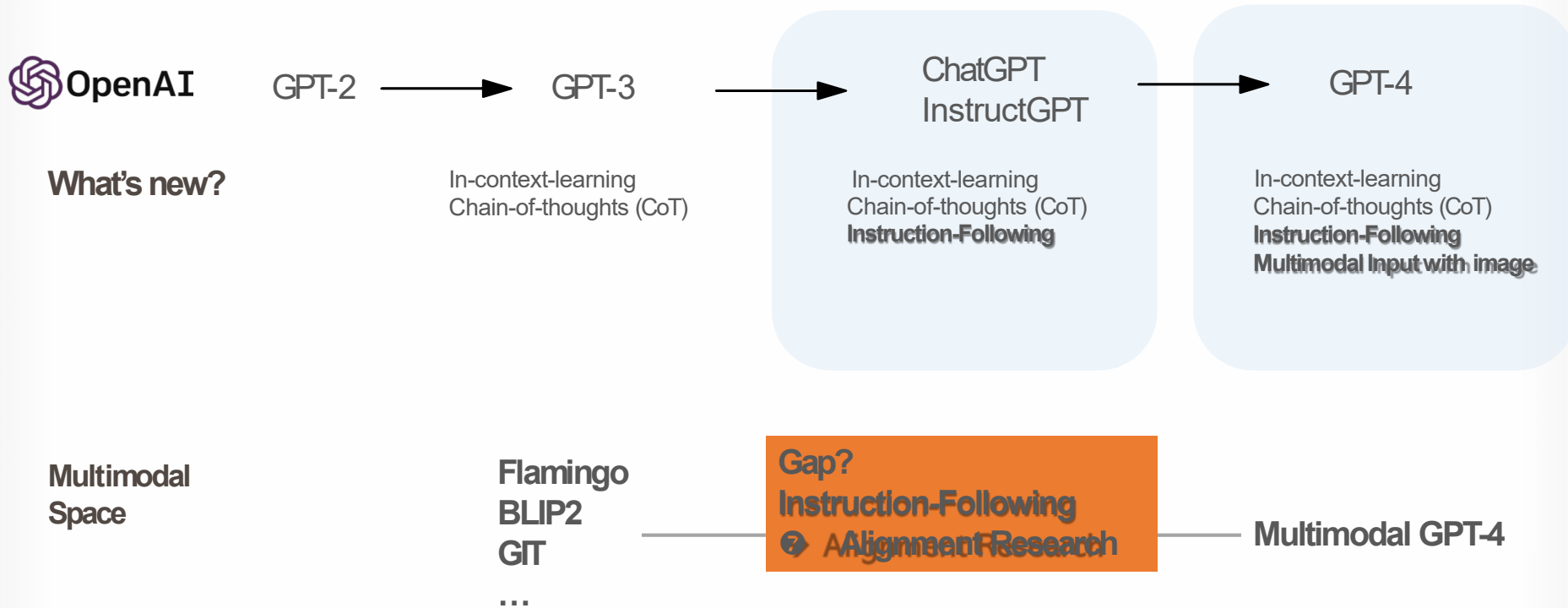
User Can you explain this meme?


Sometimes I just look at pictures of the earth from space and I marvel at how beautiful it all is.



GPT-4 This meme is a joke that combines two unrelated things: pictures of the earth from space and chicken nuggets. The text of the meme suggests that the image below is a beautiful picture of the earth from space. However, the image is actually of chicken nuggets arranged to vaguely resemble a map of the world. The humor in this meme comes from the unexpected juxtaposition of the text and the image. The text sets up an expectation of a majestic image of the earth, but the image is actually something mundane and silly.

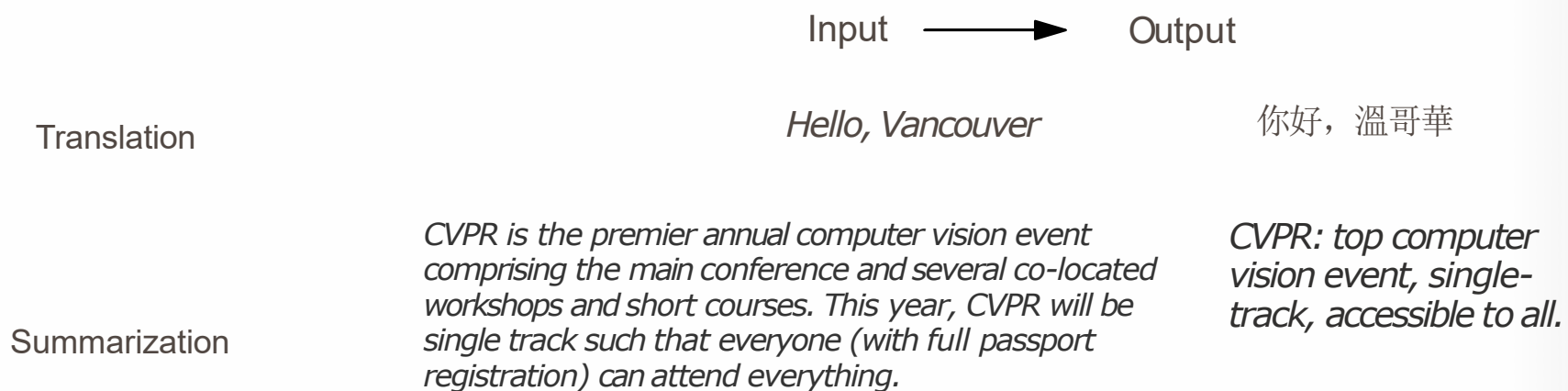
Recap on Language Modeling: Large Language Models (LLM)





INSTRUCTION TUNING IN LARGE LANGUAGE MODELS (LLM)

Instruction Tuning



- Task instructions are implicit.
- Individual models are trained, or multi-tasking without specifying the instructions
- Hard to generalize to new tasks in zero-shot

Instruction Tuning

Instruction

Translate English into Simplified Chinese

Summarize in just 10 words to make the message even more brief and easier to remember.

Input



Output

Hello, Vancouver

你好，溫哥華

CVPR is the premier annual computer vision event comprising the main conference and several co-located workshops and short courses. This year, CVPR will be single track such that everyone (with full passport registration) can attend everything.

CVPR: top computer vision event, single-track, accessible to all.

- Task instructions are explicit, expressed in natural language
- One single model is trained, multi-tasking with specified instructions
- Natural and easy to generalize to new tasks in zero-shot



Instruction Tuning

Instruction

Summarize in Chinese to make it easier to remember.

CVPR 2023 Visas

The CVPR'23 organizing committee deeply regrets that many members of our community could not receive visas to attend CVPR 2023. For several months, the organizers have actively raised concerns with Canadian immigration authorities (IRCC), government agencies, and politicians. In some cases, we have been successful in helping people obtain visas, but in many cases, our efforts were unsuccessful. The organizers acknowledge that the international representation of members from all over the world is what has made CVPR successful. We share in the frustration of those who were unable to attend. We continue to allow virtual to in-person registration switches for attendees who receive their visas before the conference.

Input



Output

CVPR'23签证问题: 组委会努力解决, 提供虚拟和现场注册转换服务

"CVPR'23 visa issue: organizing committee works to solve and provide virtual and in-person registration switch services."

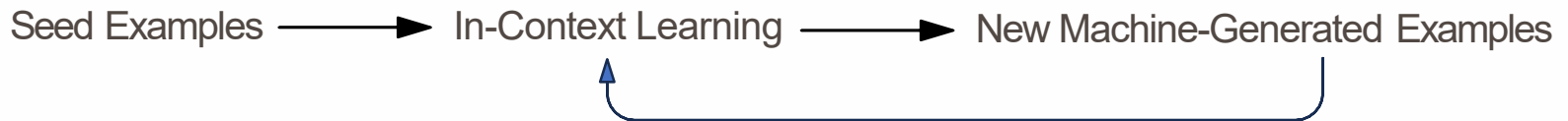


Self-Instruct Tuning

How to collect a diverse set of high-quality instructions and their responses?

- ❑ Human-Human: Collected from humans with high cost
- ❑ Human-Machine: A Strong LLM Teacher such as GPT3 and GPT4

translation example summarization example
Please generate new instructions that meet the requirements:



Language Modeling: Large Language Models (LLM)



GPT-2



GPT-3



ChatGPT
InstructGPT



GPT-4

What's new?

In-context-learning
Chain-of-thoughts (CoT)

In-context-learning
Chain-of-thoughts (CoT)
Instruction-Following

In-context-learning
Chain-of-thoughts (CoT)
Instruction-Following
Multimodal Input with image

Open Source
Community

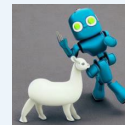
LLaMA



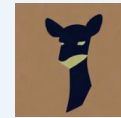
Alpaca



GPT4-Alpaca



Vicuna








Tulu



Instruction Tuning with Open-Source LLMs

Self-Instruct with Strong Teacher LLMs & Mixed Human Data

	LLaMA 	Alpaca 	Vicuna 	GPT4-Alpaca 	...	Tulu 
Data Source		GPT-3.5	ShareGPT (Human & GPT)	GPT-4 (text-only)	...	Mixed Data
Instruction- following Data (#Turns)	None	52K	500K (~150K conversions)	52K	...	

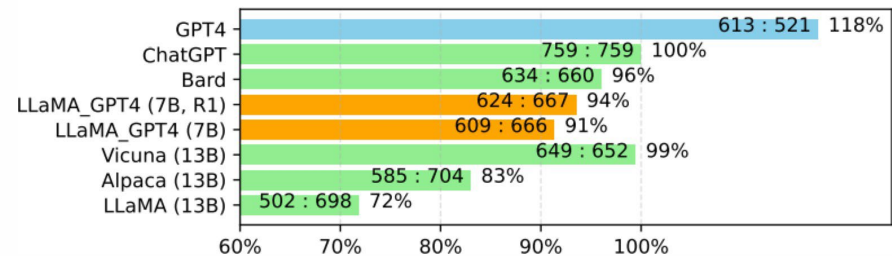
Results on Chatbot

Evaluation Metric: Ask GPT-4 to rate the two model responses (1-10), then compute the ratio, i.e. relative score

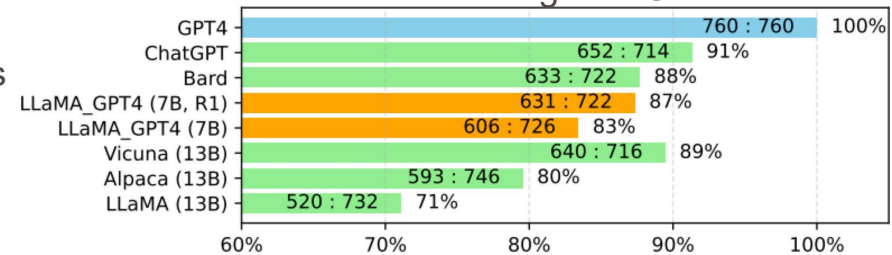
Findings:

- A VERY CONSISTENT Evaluation Metric !
- Opensourced Chatbots mimicked commercial ones

All chatbots against ChatGPT



All chatbots against GPT-4



Large Multimodal Models

-- Building multimodal gpt4 with open-source resources

LLaVA as a running example in this lecture

- Data
- Model
- Performance

Visual Instruction Tuning with GPT-4

<https://llava-vl.github.io/>

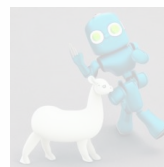
Haotian Liu*, Chunyuan Li*, Qingyang Wu, Yong Jae Lee (* Equal contribution)

Self-Instruct with Strong Teacher LLMs

But No Teacher is available on multiGPT4?

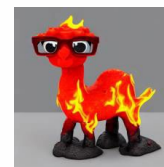
	LLaMA	Alpaca	Vicuna
Teacher			
		GPT-3.5	ShareGPT (Human & GPT)
Instruction-following Data	None	52K	700K (70 conversions)

GPT-4-LLM



GPT-4
(text-only)

LLaVA



GPT-4
(text-only)

- 158K multimodal instruction following data (First & High Quality)

—————▶ Multimodal Chatbot
Large Language and Vision Assistant

GPT-assisted Visual Instruction Data Generation

- Rich Symbolic Representations of Images
- In-context-learning with a few manual examples

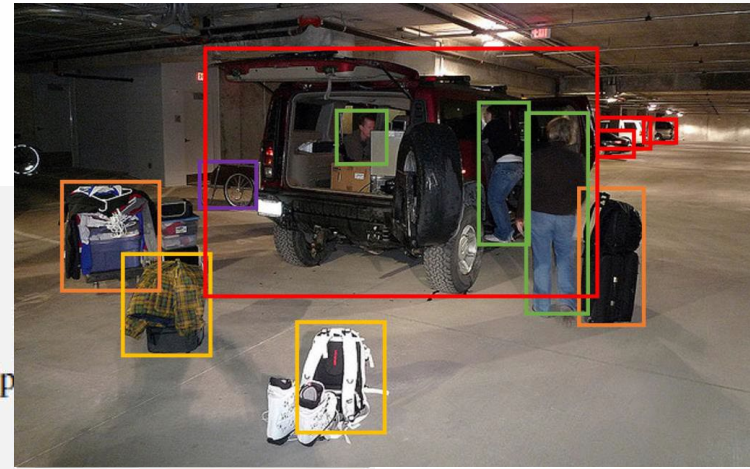
⑦ Text-only GPT-4

Context type 1: Captions

A group of people standing outside of a black vehicle with various luggage.
Luggage surrounds a vehicle in an underground parking area
People try to fit all of their luggage in an SUV.
The sport utility vehicle is parked in the public garage, being packed for a trip
Some people with luggage near a van that is transporting it.

Context type 2: Boxes

person: [0.681, 0.242, 0.774, 0.694], person: [0.63, 0.222, 0.686, 0.516], person: [0.444, 0.233, 0.487, 0.34], backpack: [0.384, 0.696, 0.485, 0.914], backpack: [0.755, 0.413, 0.846, 0.692], suitcase: [0.758, 0.413, 0.845, 0.69], suitcase: [0.1, 0.497, 0.173, 0.579], bicycle: [0.282, 0.363, 0.327, 0.442], car: [0.786, 0.25, 0.848, 0.322], car: [0.783, 0.27, 0.827, 0.335], car: [0.86, 0.254, 0.891, 0.3], car: [0.261, 0.101, 0.787, 0.626]



GPT-assisted Visual Instruction Data Generation

Three type of instruction-following responses

Response type 1: conversation

Question: What type of vehicle is featured in the image?

Answer: The image features a black sport utility vehicle (SUV).

Question: Where is the vehicle parked?

Answer: The vehicle is parked in an underground parking area, likely in a public garage.

Question: What are the people in the image doing?

Answer: The people are trying to fit all of their luggage into the SUV, likely preparing for a trip.

Response type 2: detailed description

The image is an underground parking area with a black sport utility vehicle (SUV) parked. There are three people in the scene, with one person standing closer to the left side of the vehicle, another person in the middle, and the third person on the right side. They are all working together to pack their luggage into the SUV for a trip.

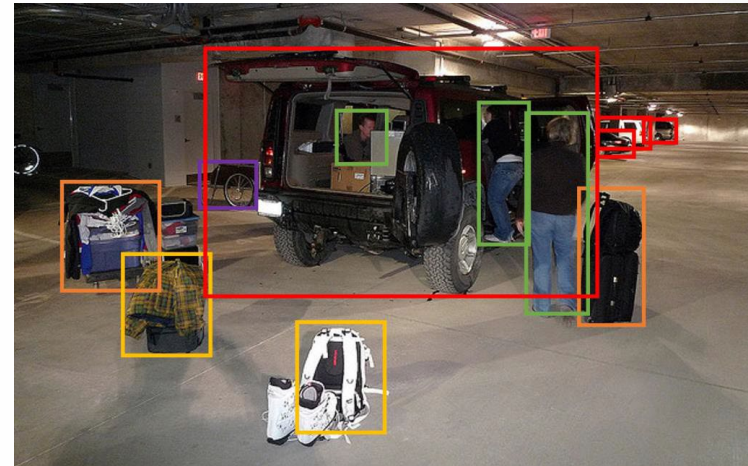
In the parking area, various luggage items are scattered around the vehicle. There are two backpacks, one located near the left rear wheel and the other closer to the right side of the vehicle. Additionally, there are two suitcases, one on the right side of the car and another further away near the center of the parking area. A bicycle can also be seen on the left side of the vehicle.

Other cars are parked around the main SUV, with one car positioned behind it and slightly to the left, another behind and slightly to the right, and the third car further behind on the right side.

Response type 3: complex reasoning

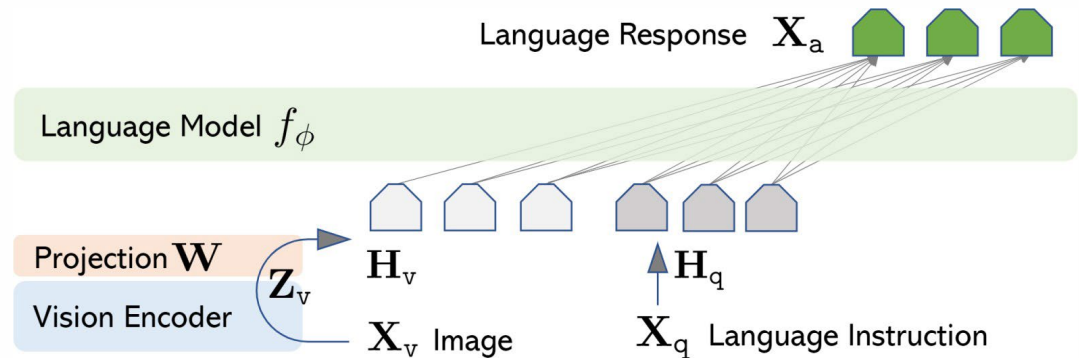
Question: What challenges do these people face?

Answer: In the image, a group of people is standing outside a black SUV in a parking area, surrounded by various pieces of luggage, including suitcases and backpacks. They are facing the challenge of fitting all their luggage into the black SUV. There are multiple suitcases and backpacks to be packed, which suggests that the group has a significant amount of belongings to accommodate. They might have to strategize and arrange the luggage efficiently to ensure that everything fits properly into the vehicle. Additionally, they need to consider the comfort of the passengers and visibility while driving, so the placement of the luggage must not obstruct the driver's view or make the passengers uncomfortable during the trip.



LLaVA: Large Language-and-Vision Assistant

□ Architecture



□ Two-stage Training

•Stage 1: Pre-training for Feature Alignment.

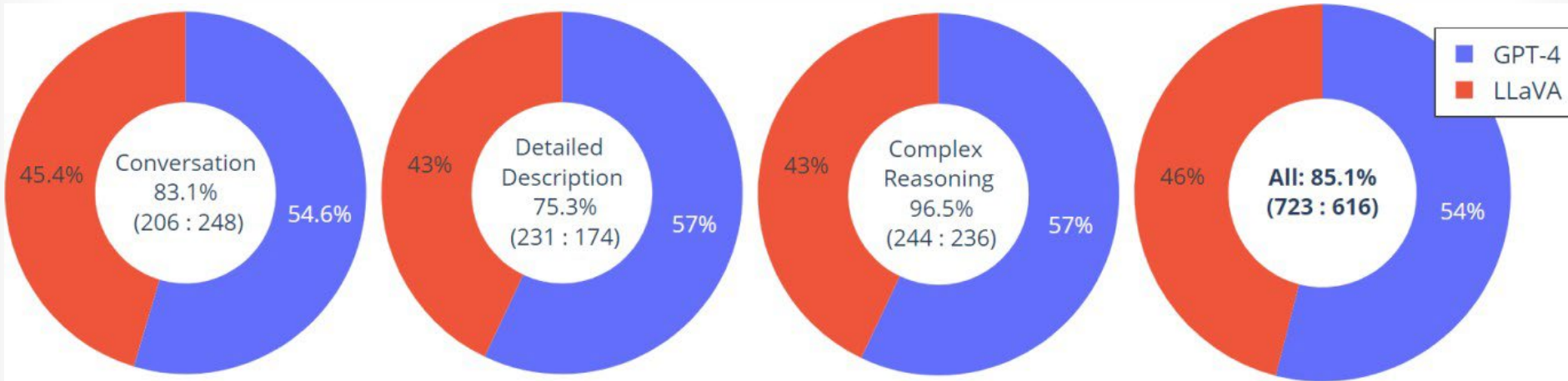
Only the projection matrix is updated, based on a subset of CC3M.

•Stage 2: Fine-tuning End-to-End. Both the projection matrix and LLM are updated

•**Visual Chat**: Our generated multimodal instruction data for daily user-oriented applications.

•**Science QA**: Multimodal reasoning dataset for the science domain.

Visual Chat: Towards building multimodal GPT-4 level chatbot



An evaluation dataset with 30 unseen images, 90 new language-image instructions

Overall, LLaVA achieves 85.1% relative score compared with GPT-4

Science QA: New SoTA with the synergy of LLaVA with GPT-4

- LLaVA alones achieve 90.92%
- We use the text-only GPT-4 as the judge, to predict the final answer based on its own previous answers and the LLaVA answers.
- This "GPT-4 as judge" scheme yields a new SOTA 92.53%
- GPT-4 is an effective model ensemble method



Visual input example, Extreme Ironing:



Source: <https://www.barnorama.com/wp-content/uploads/2016/12/03-Confusing-Pictures.jpg>

User: What is unusual about this image?
LLaVA: The unusual aspect of this image is a man ironing clothes on the back of a minivan or van. This is not a typical place to perform this activity, as one would usually iron clothes in a more stationary and safe location, such as a home, using a regular ironing board. The scene depicted in the image is peculiar as it involves a makeshift ironing setup on a vehicle, which can be both unsafe and unconventional. Additionally, it is not clear how the man is able to maintain balance and stability while ironing clothes in such an unstable environment.

User: [Start a new conversation, and clear the history]
What's happening in the scene?
LLaVA: The image depicts a man standing on top of a yellow SUV in a busy city street. He is holding a portable ladder, seemingly ironing clothes while standing on the vehicle. Around the scene, there are other cars, a traffic light, and a fire hydrant. Additionally, there are two other people in the vicinity, one close to the man on the car and another further away. The man on the ladder appears to be attracting attention due to his unconventional choice of ironing his clothes on top of a moving car. The city street around him is bustling with activity, adding to the unique nature of the scene.

User: What is unusual about this image?
GPT-4 [34]: The unusual thing about this image is that a man is ironing clothes on an ironing board attached to the roof of a moving taxi.

User: What is unusual about this image?
BLIP-2: a man is sitting on the back of a yellow cab

User: What is unusual about this image?
OpenFlamingo: The man is drying his clothes on the hood of his car.

Strong Visual Reasoning Ability

Example 1: Extreme Ironing

Visual input example, Chicken Nugget Map:

Sometimes I just look at pictures of the earth from space and I marvel at how beautiful it all is.



User
LLaVA

Can you explain this meme in detail?

The meme in the image is a creative and humorous take on food, with a focus on chicken nuggets, as the center of the universe. The meme begins with the phrase "Sometimes I just look at pictures of the Earth from space and I marvel at how beautiful it all is..." The main image features a tray of cooked chicken nuggets, with the chicken pieces positioned to resemble the continents and islands on a world map. The meme playfully suggests that the chicken nuggets represent the Earth, and the various locations depicted in the photo are actually chicken nugget versions of different places. The punchline of the meme appears in smaller text at the bottom, reading "I mean, it's not the real Earth, but how beautiful it is all is." This lighthearted and entertaining meme combines food and humor, using the image of chicken nuggets to create a funny and imaginative representation of the world.

User
GPT-4 [32]

Can you explain this meme?

This meme is a joke that combines two unrelated things: pictures of the earth from space and chicken nuggets. The text of the meme suggests that the image below is a beautiful picture of the earth from space. However, the image is actually of chicken nuggets arranged to vaguely resemble a map of the world. The humor in this meme comes from the unexpected juxtaposition of the text and the image. The text sets up an expectation of a majestic image of the earth, but the image is actually something mundane and silly.

User
BLIP-2

Can you explain this meme in detail?

sometimes i just look at pictures of the earth from space and marvel how beautiful it is

User
OpenFlamingo

Can you explain this meme in detail?

It's a picture of a chicken nugget on the International Space Station.

Strong Visual Reasoning Ability

Example 2: Chicken Nugget Map

Strong OCR Ability

Who will be interested in the content in this image?



Example 1: CVPR& Vancouver

Individuals interested in the content of this image might include those who are familiar with the city of Vancouver, Canada, or are interested in traveling there. Additionally, people involved in the technology or computer industry, as well as those interested in artificial intelligence and its applications, may find the image intriguing. This is because the image features an attractive design with a blue logo that has the word "Vancouver" on it, suggesting a connection to the city or a product related to it. The presence of a laptop in the image might also connect to the tech or computer industry, possibly indicating a Vancouver-based company or an event taking place in the city.

Strong OCR Ability

what is image about?



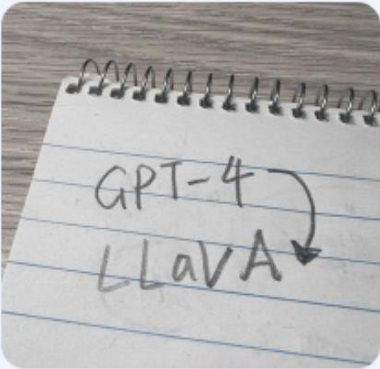
Example 2: CVinW workshop

The image features a logo for "Computer Vision in the Wild," which is likely an educational or research program focused on computer vision and its applications in a wild setting. The logo consists of a large letter "C" with a smaller letter "v" on top of it, standing for "Computer Vision." The rest of the logo is not disclosed, as the picture is only showing a portion of the design. This image might represent a program or project related to the field of computer vision and how it can be used in natural environments.

Strong OCR Ability

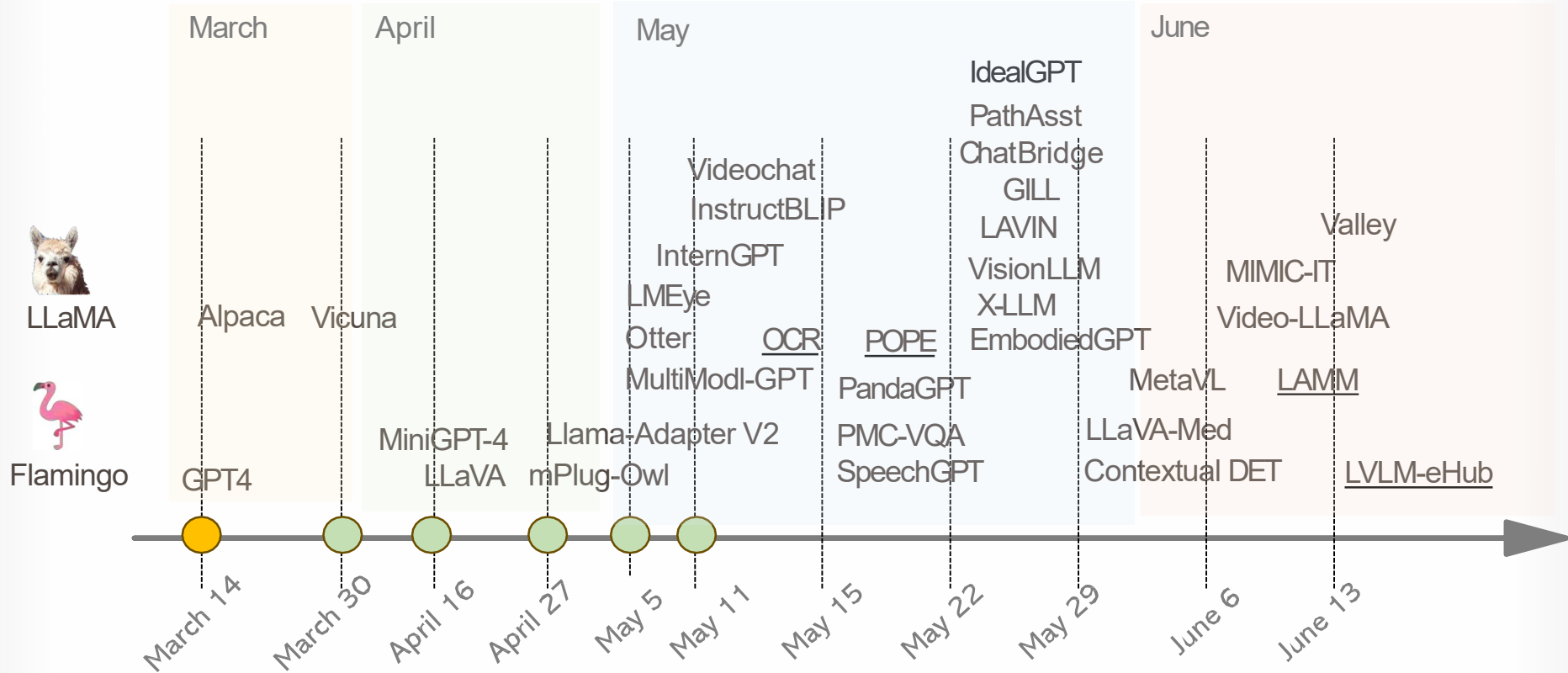
Example 3: LLaVA

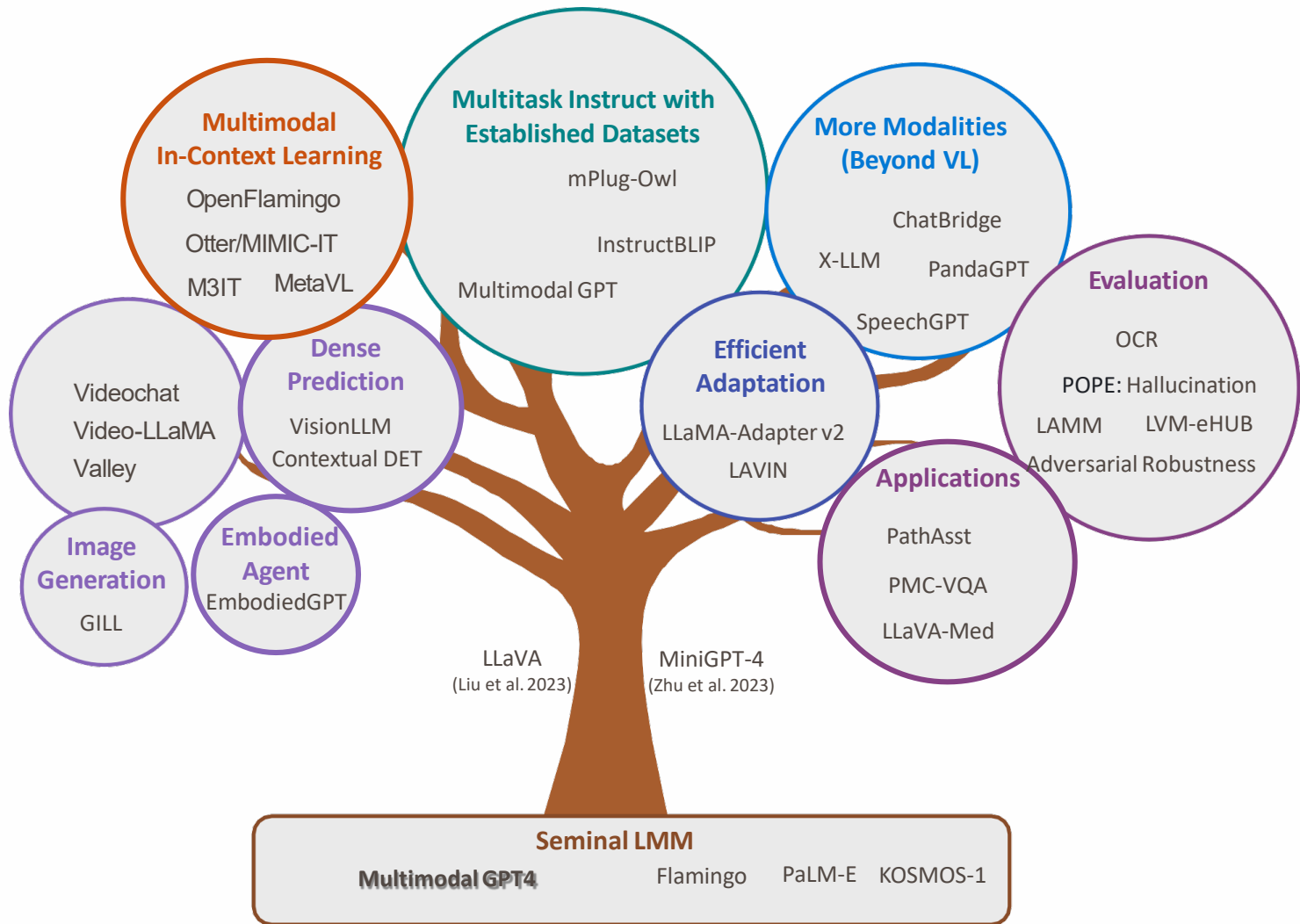
what is written in the photo?



In the photo, there is written "Llava" which is presumably an abbreviation for a location or other identifier.

Emerging Topics

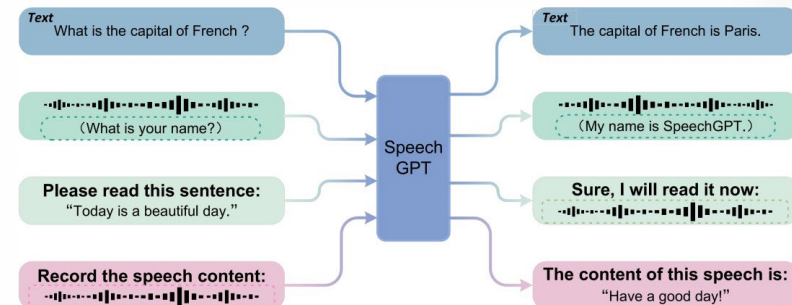
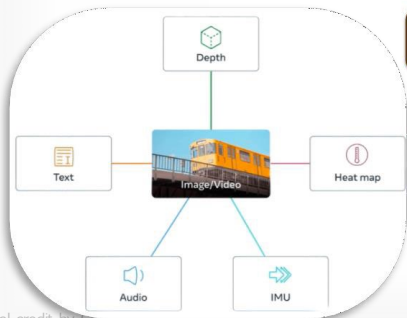
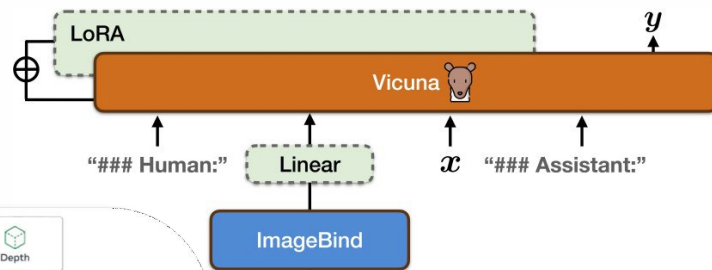




More Modalities (Beyond VL)

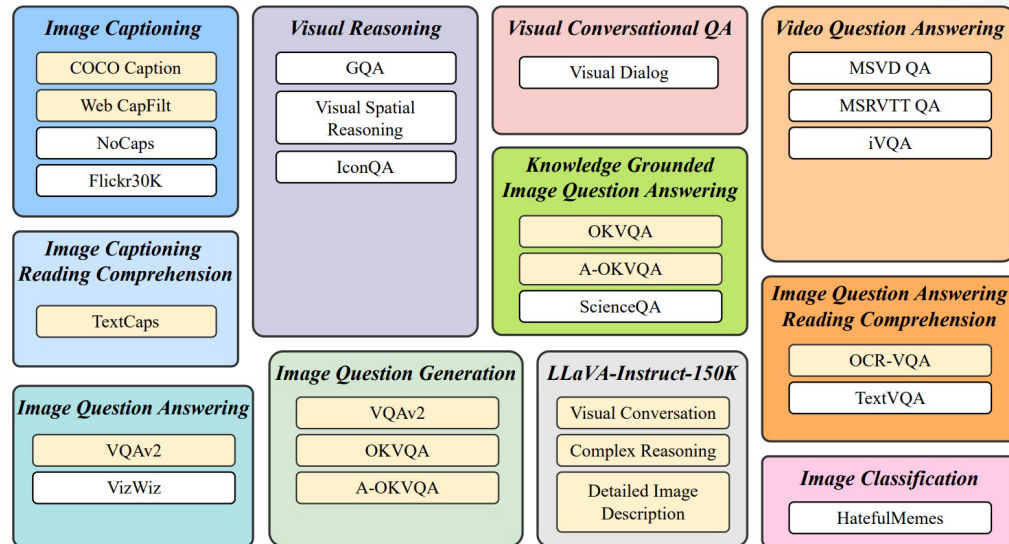
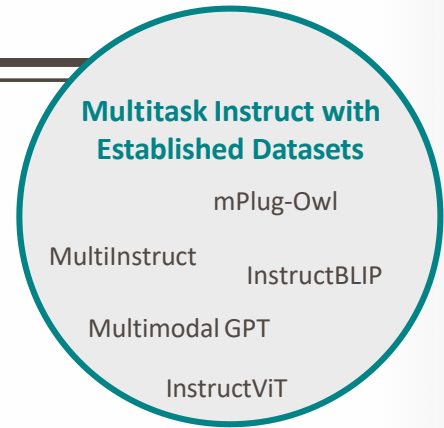
More Modalities (Beyond VL)

- ChatBridge: Bridging Modalities with Large Language Model as a Language Catalyst
- PandaGPT: One Model To Instruction-Follow Them All
- SpeechGPT: Empowering large language models with intrinsic cross-modal conversational abilities
- X-LLM: Bootstrapping Advanced Large Language Models by Treating Multi-Modalities as Foreign Languages



Multitask Instruct with Established Academic Datasets/Tasks

- Multitask Instruct: Improving Multi-Modal Zero-Shot Learning via Instruction Tuning
- mPlug-Owl: Modularization empowers large language models with multimodality
- InstructBLIP: Towards general-purpose vision-language models with instruction tuning
- Multimodal-gpt: A vision and language model for dialogue with humans
- Instruction-ViT: Multi-Modal Prompts for Instruction Learning in ViT
 - Two existing purposes for Instruct Tuning:
 - User-oriented tasks: Daily conversation
 - Academic tasks: Existing datasets



MultiModal In-Context Learning

In-Context Learning




OpenFlamingo

Otter/MIMIC-IT

M3IT MetaVL

- Otter: A Multi-Modal Model with In-Context Instruction Tuning
- M3IT: A Large-Scale Dataset towards Multi-Modal Multilingual Instruction Tuning
- MetaVL: Transferring In-Context Learning Ability From Language Models to Vision-Language Models

Learning with In-context Examples

 <p>pepsi "is pepsi okay?"</p>	<p>Instruction: What's written on this image? Otter: pepsi, is pepsi okay?</p>	 <p>SUBWAY eat fresh.</p>	<p>Instruction: What's written on this image? Otter: SUBWAY, eat fresh.</p>	 <p>Think different.</p>	<p>Instruction: What's written on this image? (wo/ICE) Otter: "Think Different" is written on this image. (w/ICE) Otter: Think Different</p>
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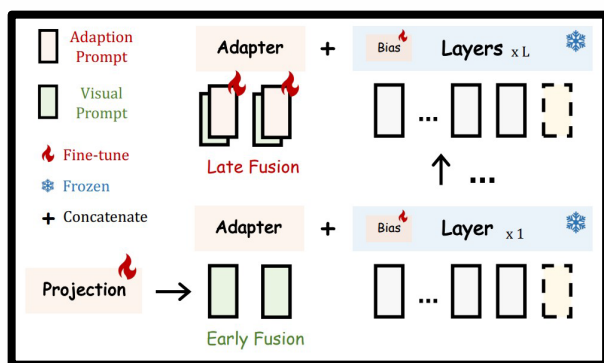


Parameter-Efficient Training

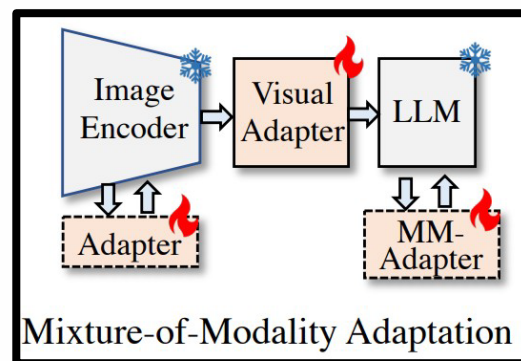
Efficient
Adaptation

LLaMA-Adapter v2
LAVIN

- LLaMA-Adapter V2: Parameter-Efficient Visual Instruction Model
- Cheap and Quick: Efficient Vision-Language Instruction Tuning for Large Language Models



LLaMA-Adapter V2: 14M parameters



LAVIN: 3.8M parameters

- QLoRA: Efficient Finetuning of Quantized LLMs

Finetuning 65B LLaMA for 24 hours on a single GPU, reaching 99.3% of the performance level of ChatGPT

Evaluations

Evaluation

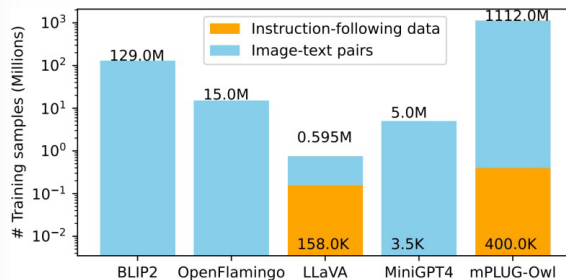
OCR

POPE: Hallucination

LAMM LVM-eHUB

Adversarial Robustness

- Evaluating **Object Hallucination** in Large Vision-Language Models
- On Evaluating **Adversarial Robustness** of Large Vision-Language Models
- On the Hidden Mystery of **OCR** in Large Multimodal Models
- LAMM: Language-Assisted Multi-Modal Instruction-Tuning Dataset, Framework, and **Benchmark**



14 tasks: 10 tasks:
OCR OCR + Reasoning

BLIP-2 OPT _{6.7b}	62.12	7.31
BLIP-2 FlanT5 _{XXL}	63.74	13.59
OpenFlamingo	56.68	11.28
LLaVA	53.30	10.37
MiniGPT4	40.12	7.82
mPLUG-Owl	61.79	16.03
Supervised-SoTA	84.83	72.75

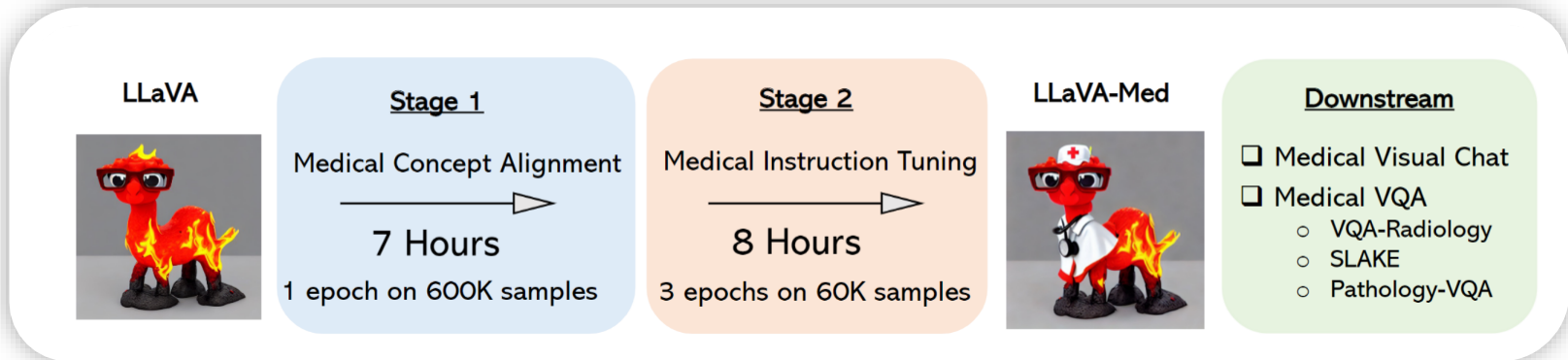


Applications

Applications

PathAsst
PMC-VQA
LLaVA-Med

- PathAsst: Redefining Pathology through Generative Foundation AI Assistant for Pathology
- PMC-VQA: Visual Instruction Tuning for Medical Visual Question Answering
- LLaVA-Med: Training a Large Language-and-Vision Assistant for Biomedicine in One Day



User: What is this image about?
LLaVA-Med: The image is a coronal view of a computed tomography (CT) scan of the abdomen and pelvis. It provides a detailed view of the internal organs and structures within the abdominal and pelvic cavities.

User: What is abnormal about this image?
LLaVA-Med: The abnormality in this image is the presence of a large left-sided pelvic mass. This mass could be due to various reasons, such as a tumor, cyst, or other growth. Further evaluation and tests would be needed to determine the exact cause and appropriate treatment for this abnormality.

User: What is this image about?
LLaVA: The image is a medical illustration showing a person's internal anatomy, especially focusing on their large and small intestines, stomach, liver and kidneys. The organs are highlighted in different colors, making it easy to identify each organ.

User: What is abnormal about this image?
LLaVA: As a visual AI assistant, I cannot determine the specific abnormality on the chest X-ray based on the provided image description. The image description mainly focuses on the organs and their colors...

Are we close or surpassing GPT-4?

In terms of prototyping new capabilities

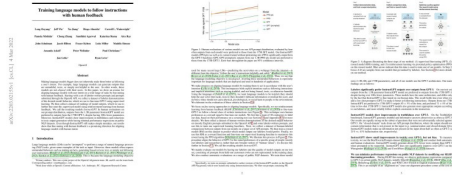
A large gap remains...

In terms of scaling the capability

Strong abilities in Reading multiple high-resolution images, long sequence Responding with domain knowledge

GPT-4 visual input example, Pixel to Paper Summaries:

User Below is part of the InstructGPT paper. Could you read and summarize it to me?



GPT-4 The InstructGPT paper focuses on training large language models to follow instructions with human feedback. The authors note that making language models larger doesn't inherently make them better at following a user's intent. Large models can generate outputs that are untruthful, toxic, or simply unhelpful.

To address this issue, the authors fine-tune language models on a wide range of tasks using human feedback. They start with a set of labeler-written prompts and responses, then collect a dataset of labeler demonstrations of the desired model behavior. They fine-tune GPT-3 using supervised learning and then use reinforcement learning from human feedback to further fine-tune the model. The resulting model, called InstructGPT, shows improvements in truthfulness and reductions in toxic output generation while having minimal performance regressions on public NLP datasets.

The authors conclude that fine-tuning with human feedback is a promising direction for aligning language models with human intent.

User Could you explain the process described in Figure 2?

GPT-4 Figure 2 in the InstructGPT paper illustrates the three steps of their method:

1. Supervised fine-tuning (SFT): They collect demonstration data, which consists of labeler-written prompts and desired output behavior. This data is used to fine-tune GPT-3 with supervised learning.
2. Reward model (RM) training: They collect comparison data, where a labeler ranks the outputs from several model samples (from best to worst). This data is used to train the reward model.
3. Reinforcement learning using Proximal Policy Optimization (PPO): They optimize a policy against the reward model using reinforcement learning. For each new prompt sampled from the dataset, the policy generates an output. The reward model calculates a reward for the output, and the reward is used to update the policy using the PPO algorithm.

These three steps are iteratively performed to train the InstructGPT model, which aims to generate outputs that align better with human preferences and follow instructions more effectively.

GPT-4 visual input example, École Polytechnique Exam Question:

User Answer question I.1.a. Think step-by-step.

I. Principe de la détection de rayonnement avec un bolomètre

Comme illustré sur la figure 1 un bolomètre est constitué d'un absorbeur qui reçoit le rayonnement que l'on désire détecter. Sa température T , supposée uniforme, est mesurée à l'aide d'un thermistor intégré, constitué d'un matériau conducteur dont la résistance $R(T)$ varie avec la température T ; cette variation est caractérisée par le coefficient $\alpha = \frac{1}{R} \frac{dR}{dT}$, mesurable par la capacité thermique C_h .

Un barreau conducteur thermique, homogène, de longueur L , de section S et de conductivité thermique λ est sous échange thermique latéraux, relié le bolomètre à un thermostat de température T_0 fixe.

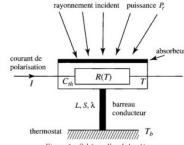


Figure 1 - Schéma d'un bolomètre.

I.1. Dans cette première partie, on étudie le comportement du bolomètre en régime stationnaire, à la température de fonctionnement $T = T_0$.

I.1.a) Exprimer la température $T(x)$ en tout point du barreau conducteur relié par ses deux extrémités x ; on prendra $T(0) = T_0$ et $T(L) = T_b$.

GPT-4 To answer question I.1.a, we need to express the temperature $T(x)$ in every point of the conductive bar, which is characterized by the abscissa x . We are given that $T(0) = T_0$ and $T(L) = T_b$.

For a stationary regime, the heat equation for the one-dimensional heat conduction in the bar is:

$$d^2T/dx^2 = 0$$

Integrating once, we get:

$$dT/dx = C_1$$

Integrating a second time:

$$T(x) = C_1x + C_2$$

To determine the constants C_1 and C_2 , we use the boundary conditions:

$$T(0) = T_0, \text{ which gives } C_2 = T_0$$

$$T(L) = T_b, \text{ which gives } C_1 = (T_b - T_0)/L$$

Finally, the expression for $T(x)$ is:

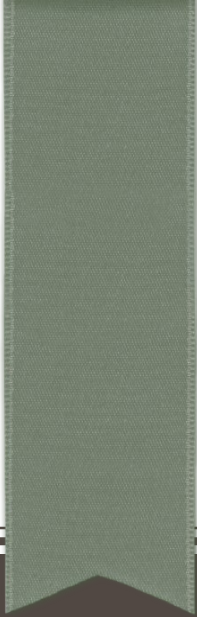
$$T(x) = (T_b - T_0) * (x/L) + T_0$$

This represents a linear temperature profile along the conductive bar, with a slope of $(T_b - T_0)/L$.

Table 15. Example prompt demonstrating GPT-4's visual input capability. The prompt consists of a question which requires understanding a physics problem with a diagram written in French and solving it using chain-of-thought prompting.

What can we do next as a sustainable research community?

- Industry: Scaling of data/model, New emerging properties etc
- University Labs: Prototypes for new functionalities, Evaluation
- Summary:
 - Strong capabilities of LMM
 - Instruction Tuning from Language to Multimodal
 - Open-Source Prototypes: LLaVA / MiniGPT4
 - Emerging Extensions
 - Benchmarking, Properties and Applications



APPENDIX

Variational Autoencoders

Now equipped with our encoder and decoder networks, let's work out the (log) data likelihood:

$$\log p_{\theta}(x^{(i)}) = \mathbf{E}_{z \sim q_{\phi}(z|x^{(i)})} \left[\log p_{\theta}(x^{(i)}) \right] \quad (p_{\theta}(x^{(i)})) \text{ Does not depend on } z)$$

Variational Autoencoders

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↑
Taking expectation wrt. z
(using encoder network) will
come in handy later

Variational Autoencoders

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Variational Autoencoders

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Variational Autoencoders

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Variational Autoencoders

Now equipped with our encoder and decoder networks, let's work out the (log) data likelihood:

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Variational Autoencoders

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← →
The expectation wrt. z (using
encoder network) let us write
nice KL terms

Variational Autoencoders

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↑
Decoder network gives $p_{\theta}(x|z)$, can compute estimate of this term through sampling. (Sampling differentiable through reparam. trick, see paper.)

↑
This KL term (between Gaussians for encoder and z prior) has nice closed-form solution!

↑
 $p_{\theta}(z|x)$ intractable (saw earlier), can't compute this KL term :(But we know KL divergence always ≥ 0 .

Variational Autoencoders

Now equipped with our encoder and decoder networks, let's work out the (log) data likelihood:

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We want to maximize the data likelihood

Decoder network gives $p_{\theta}(x|z)$, can compute estimate of this term through sampling. (Sampling differentiable through reparam. trick, see paper.)

This KL term (between Gaussians for encoder and z prior) has nice closed-form solution!

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Variational Autoencoders

Now equipped with our encoder and decoder networks, let's work out the (log) data likelihood:

We want to maximize the data likelihood

$$\begin{aligned}\log p_{\theta}(x^{(i)}) &= \mathbf{E}_{z \sim q_{\phi}(z|x^{(i)})} \left[\log p_{\theta}(x^{(i)}) \right] \quad (p_{\theta}(x^{(i)})) \text{ Does not depend on } z \\ &= \mathbf{E}_z \left[\log \frac{p_{\theta}(x^{(i)} | z) p_{\theta}(z)}{p_{\theta}(z | x^{(i)})} \right] \quad (\text{Bayes' Rule}) \\ &= \mathbf{E}_z \left[\log \frac{p_{\theta}(x^{(i)} | z) p_{\theta}(z) q_{\phi}(z | x^{(i)})}{p_{\theta}(z | x^{(i)}) q_{\phi}(z | x^{(i)})} \right] \quad (\text{Multiply by constant}) \\ &= \mathbf{E}_z \left[\log p_{\theta}(x^{(i)} | z) \right] - \mathbf{E}_z \left[\log \frac{q_{\phi}(z | x^{(i)})}{p_{\theta}(z)} \right] + \mathbf{E}_z \left[\log \frac{q_{\phi}(z | x^{(i)})}{p_{\theta}(z | x^{(i)})} \right] \quad (\text{Logarithms}) \\ &= \underbrace{\mathbf{E}_z \left[\log p_{\theta}(x^{(i)} | z) \right] - D_{KL}(q_{\phi}(z | x^{(i)}) || p_{\theta}(z))}_{\mathcal{L}(x^{(i)}, \theta, \phi)} + \underbrace{D_{KL}(q_{\phi}(z | x^{(i)}) || p_{\theta}(z | x^{(i)}))}_{\geq 0}\end{aligned}$$

Tractable lower bound which we can take gradient of and optimize! ($p_{\theta}(x|z)$ differentiable, KL term differentiable)

Variational Autoencoders

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We want to maximize the data likelihood

$$\log p_{\theta}(x^{(i)}) \geq \mathcal{L}(x^{(i)}, \theta, \phi)$$

Variational lower bound ("ELBO")

$$\theta^*, \phi^* = \arg \max_{\theta, \phi} \sum_{i=1}^N \mathcal{L}(x^{(i)}, \theta, \phi)$$

Training: Maximize lower bound

Variational Autoencoders

Now equipped with our encoder and decoder networks, let's work out the (log) data likelihood:

$$\begin{aligned}
 \log p_{\theta}(x^{(i)}) &= \mathbf{E}_{z \sim q_{\phi}(z|x^{(i)})} \left[\log p_{\theta}(x^{(i)}) \right] && (p_{\theta}(x^{(i)})) \text{ Does not depend on } z \\
 &= \mathbf{E}_z \left[\log \frac{p_{\theta}(x^{(i)} | z) p_{\theta}(z)}{p_{\theta}(z | x^{(i)})} \right] && (\text{Bayes' Rule}) \\
 \text{Reconstruct} & && \\
 \text{the input data} &= \mathbf{E}_z \left[\log \frac{p_{\theta}(x^{(i)} | z) p_{\theta}(z) q_{\phi}(z | x^{(i)})}{p_{\theta}(z | x^{(i)}) q_{\phi}(z | x^{(i)})} \right] && (\text{Multiply by constant}) \\
 &= \mathbf{E}_z \left[\log p_{\theta}(x^{(i)} | z) \right] - \mathbf{E}_z \left[\log \frac{q_{\phi}(z | x^{(i)})}{p_{\theta}(z)} \right] + \mathbf{E}_z \left[\log \frac{q_{\phi}(z | x^{(i)})}{p_{\theta}(z | x^{(i)})} \right] && (\text{Logarithms}) \\
 &= \underbrace{\mathbf{E}_z \left[\log p_{\theta}(x^{(i)} | z) \right]}_{\mathcal{L}(x^{(i)}, \theta, \phi)} - \underbrace{D_{KL}(q_{\phi}(z | x^{(i)}) || p_{\theta}(z))}_{> 0} + \underbrace{D_{KL}(q_{\phi}(z | x^{(i)}) || p_{\theta}(z | x^{(i)}))}_{> 0}
 \end{aligned}$$

Make approximate posterior distribution close to prior

$$\log p_{\theta}(x^{(i)}) \geq \mathcal{L}(x^{(i)}, \theta, \phi)$$

Variational lower bound ("ELBO")

$$\theta^*, \phi^* = \arg \max_{\theta, \phi} \sum_{i=1}^N \mathcal{L}(x^{(i)}, \theta, \phi)$$

Training: Maximize lower bound

Variational Autoencoders

Putting it all together: maximizing the likelihood lower bound

$$\underbrace{\mathbf{E}_z \left[\log p_\theta(x^{(i)} | z) \right] - D_{KL}(q_\phi(z | x^{(i)}) || p_\theta(z))}_{\mathcal{L}(x^{(i)}, \theta, \phi)}$$

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Let's look at computing the bound (forward pass) for a given minibatch of input data

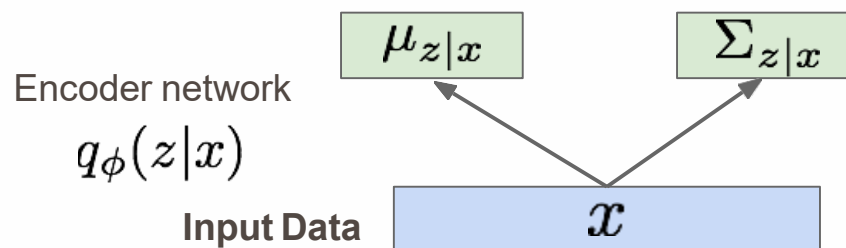
Input Data

\mathcal{X}

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Variational Autoencoders

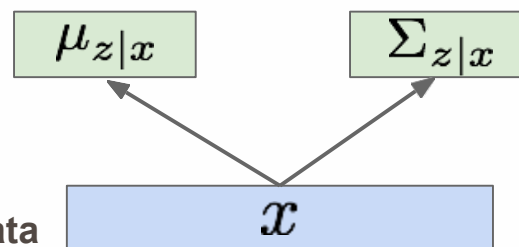
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Make approximate posterior distribution close to prior

Encoder network
 $q_\phi(z|x)$

Input Data

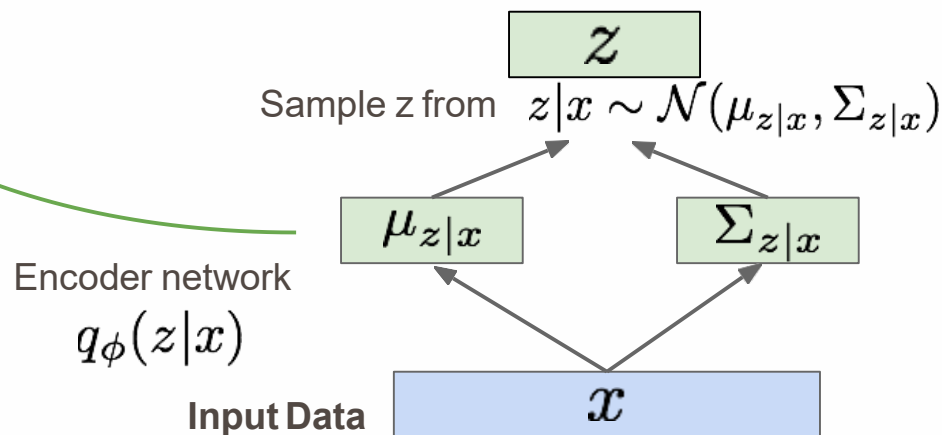


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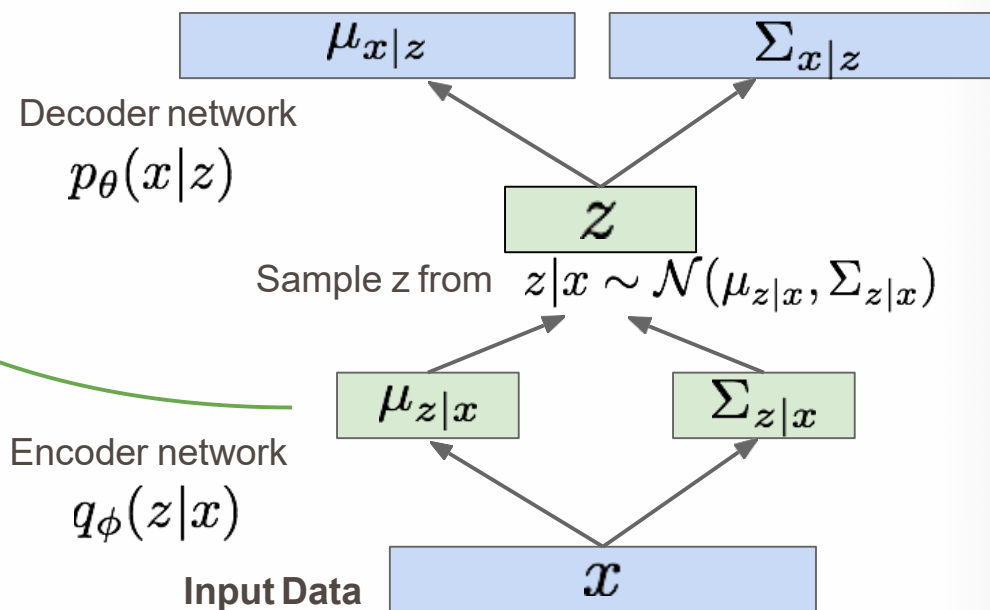


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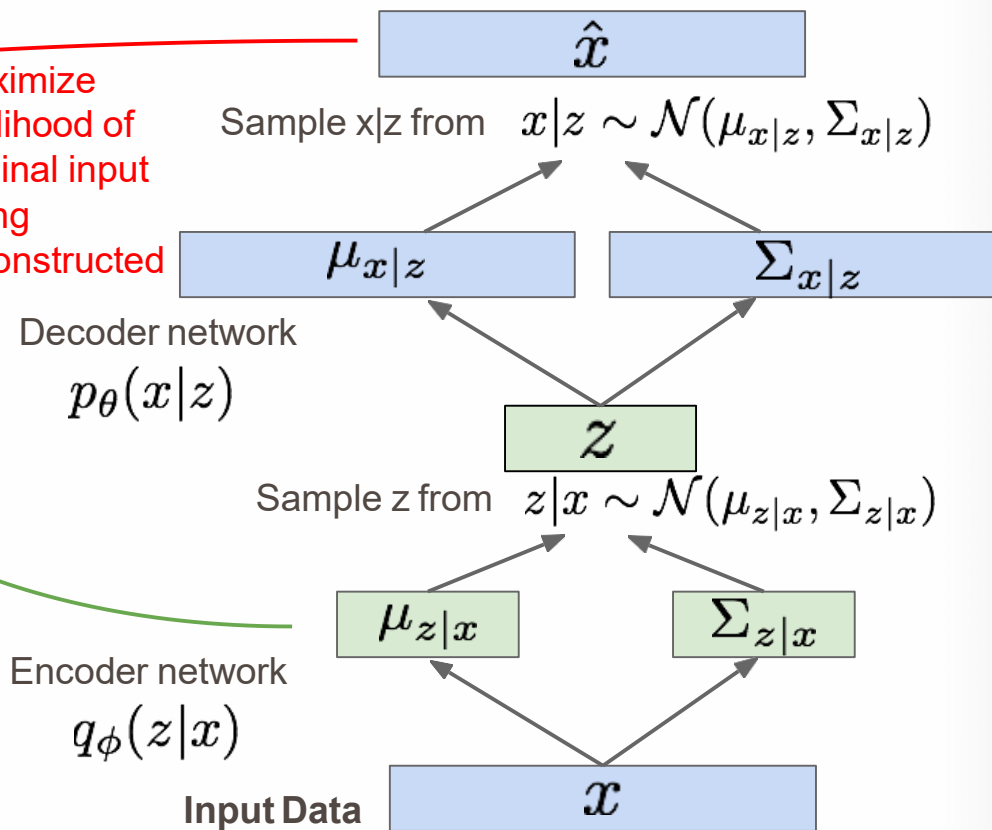
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Make approximate posterior distribution close to prior

Maximize likelihood of original input being reconstructed



Variational Autoencoders

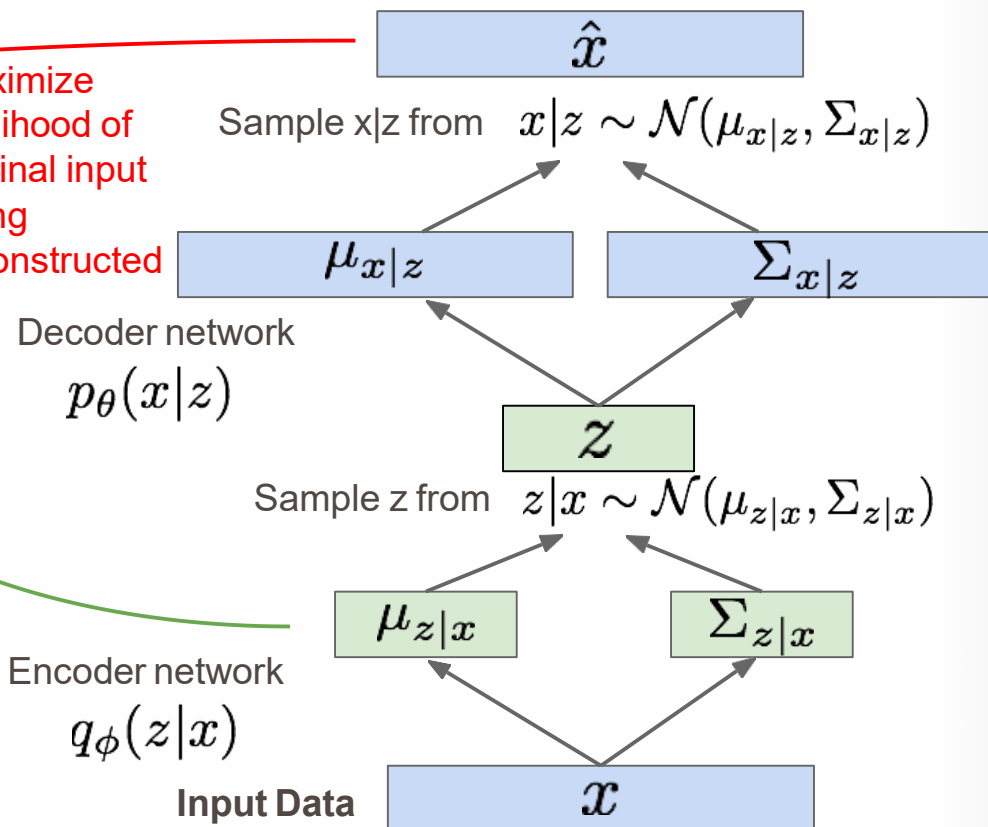
Putting it all together: maximizing the likelihood lower bound

$$\underbrace{\mathbf{E}_z \left[\log p_\theta(x^{(i)} | z) \right] - D_{KL}(q_\phi(z | x^{(i)}) || p_\theta(z))}_{\mathcal{L}(x^{(i)}, \theta, \phi)}$$

Make approximate posterior distribution close to prior

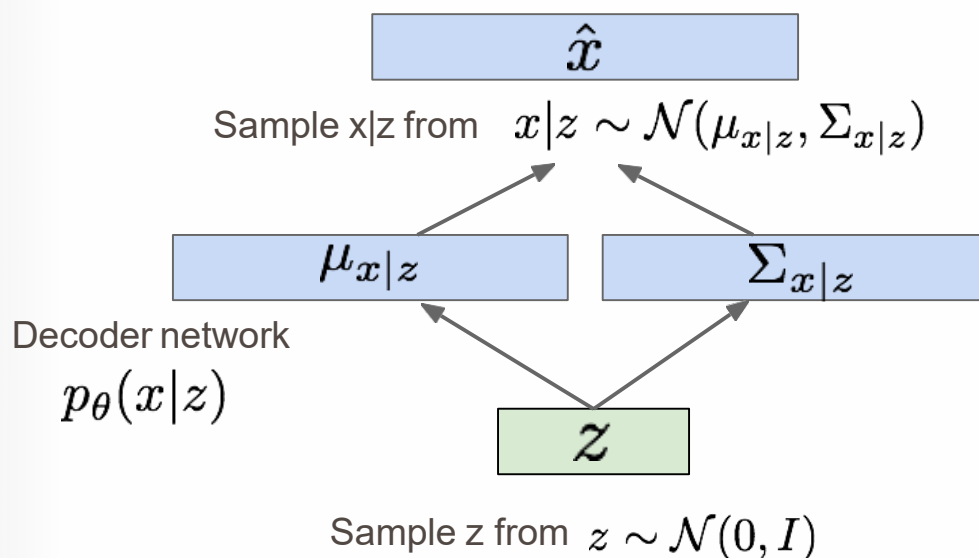
For every minibatch of input data: compute this forward pass, and then backprop!

Maximize likelihood of original input being reconstructed



Variational Autoencoders: Generating Data!

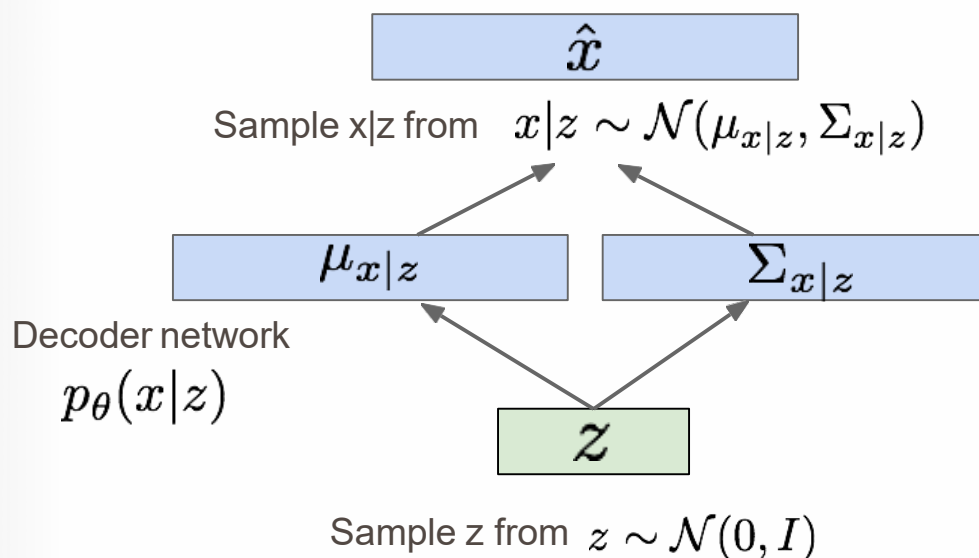
Use decoder network. Now sample z from prior!



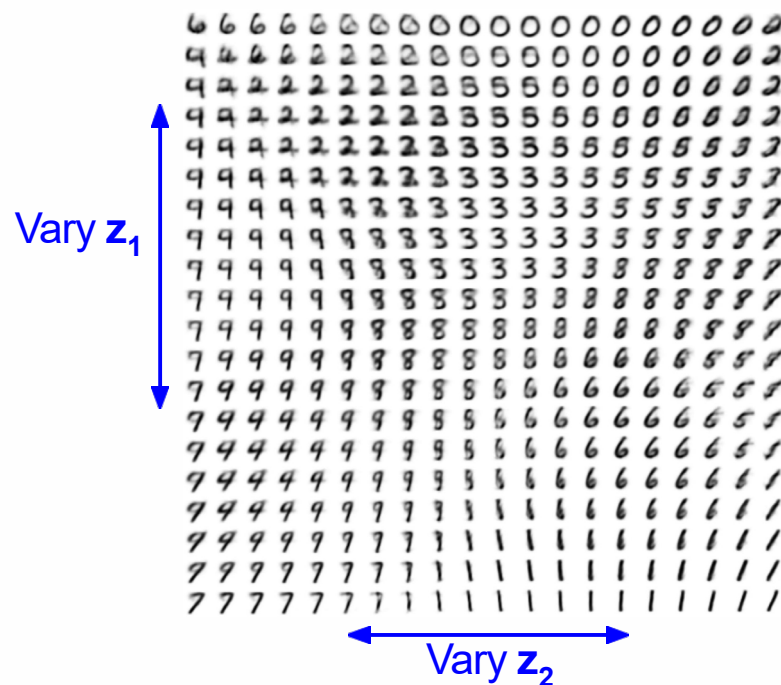
Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders: Generating Data!

Use decoder network. Now sample z from prior!



Data manifold for 2-d z



Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders: Generating Data!

Diagonal prior on \mathbf{z}
=> independent
latent variables

Different
dimensions of \mathbf{z}
encode
interpretable factors
of variation

Degree of smile

Vary z_1



Vary z_2

Head pose

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

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Vary z_1



Vary z_2



Head pose



Also good feature representation that
can be computed using $q_\phi(z|x)$!



Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Can we use other divergence?

Name	$D_f(P Q)$	Generator $f(u)$
Total variation	$\frac{1}{2} \int p(x) - q(x) dx$	$\frac{1}{2} u - 1 $
Kullback-Leibler	$\int p(x) \log \frac{p(x)}{q(x)} dx$	$u \log u$
Reverse Kullback-Leibler	$\int q(x) \log \frac{q(x)}{p(x)} dx$	$-\log u$
Pearson χ^2	$\int \frac{(q(x)-p(x))^2}{p(x)} dx$	$(u - 1)^2$
Neyman χ^2	$\int \frac{(p(x)-q(x))^2}{q(x)} dx$	$\frac{(1-u)^2}{u}$
Squared Hellinger	$\int \left(\sqrt{p(x)} - \sqrt{q(x)} \right)^2 dx$	$(\sqrt{u} - 1)^2$
Jeffrey	$\int (p(x) - q(x)) \log \left(\frac{p(x)}{q(x)} \right) dx$	$(u - 1) \log u$
Jensen-Shannon	$\frac{1}{2} \int p(x) \log \frac{2p(x)}{p(x)+q(x)} + q(x) \log \frac{2q(x)}{p(x)+q(x)} dx$	$-(u + 1) \log \frac{1+u}{2} + u \log u$
Jensen-Shannon-weighted	$\int p(x) \pi \log \frac{p(x)}{\pi p(x)+(1-\pi)q(x)} + (1 - \pi)q(x) \log \frac{q(x)}{\pi p(x)+(1-\pi)q(x)} dx$	$\pi u \log u - (1 - \pi + \pi u) \log(1 - \pi + \pi u)$
GAN	$\int p(x) \log \frac{2p(x)}{p(x)+q(x)} + q(x) \log \frac{2q(x)}{p(x)+q(x)} dx - \log(4)$	$u \log u - (u + 1) \log(u + 1)$

Using the divergence
you want...and take care of it...

Name	Conjugate $f^*(t)$
Total variation	t
Kullback-Leibler (KL)	$\exp(t - 1)$
Reverse KL	$-1 - \log(-t)$
Pearson χ^2	$\frac{1}{4}t^2 + t$
Neyman χ^2	$2 - 2\sqrt{1-t}$
Squared Hellinger	$\frac{t}{1-t}$
Jeffrey	$W(e^{1-t}) + \frac{1}{W(e^{1-t})} + t - 2$
Jensen-Shannon	$-\log(2 - \exp(t))$
Jensen-Shannon-weighted	$(1 - \pi) \log \frac{1-\pi}{1-\pi e^{t/\pi}}$
GAN	$-\log(1 - \exp(t))$